DEVELOPMENT AND OPERATION
OF
MASS RAPID TRANSIT SYSTEM
FOR
VERSOVA – ANDHERI – GHATKOPAR CORRIDOR

TECHNICAL PROPOSAL
VOLUME - II

Reliance Energy Ltd
India

Connex S A
France

MUMBAI METROPOLITAN REGION DEVELOPMENT AUTHORITY
MAY 2005
DEVELOPMENT AND OPERATION OF MASS RAPID TRANSIT SYSTEM FOR VERSOVA – ANDHERI – GHATKOPAR CORRIDOR

Technical Proposal

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<th>Contents</th>
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Mass Rapid Transit System On Versova – Andheri – Ghatkopar Corridor
Concept – Technical Report
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CHAPTER 1

SERVICE REQUIREMENTS

1.1 Planned Train Services on the MRTS and Airport Line

1.1.1 The train service will initially operate from Versova to Ghatkopar. The service will start at Versova and continue to DN Nagar, Azad Nagar, Andheri, WEH, Chakala, Airport Road, Marol Naka, Sakinaka, Subhash Nagar, Asalpha Road and terminate at Ghatkopar. The Airport train service will be diverted from Airport Road and terminate at Sahar Airport. A bifurcated service will be operated from Airport Road to Ghatkopar eastwards and to Sahar Airport in the South. Depending on the passenger demand in 2011, every two to six trains will go to Ghatkopar with one train going to Sahar Airport. When the passenger demand to the Airport grows and the passenger demand going to both Ghatkopar and the Airport are nearly the same, alternate trains between Versova and Airport Road can be operated to Ghatkopar and Sahar Airport respectively.

1.2 Journey Time

1.2.1 The precise end to end journey time will be determined when all alignment and rolling stock performance details are fixed. The target is to limit the journey time between Versova and Ghatkopar within 21 minutes including half/dwell time. Average scheduled speed of trains on Versova – Andheri – Ghatkopar section with 10 intermediate stations will not be less than 33kmph.

1.3 Hours of Operation

1.3.1 The revenue service will last from 05:30 in the morning until 24:00 of the day, operating for a period of 19.5 hours each day. The expected peak hours are from 08:00 to 13:00 and 17:00 to 22:00 Hrs.
1.4 Service Level and Fleet Size

1.4.1 Initial Service Level In 2011

The minimum train service headway from Versova will be 4 minutes during peak hours and 8 minutes during non-peak hours as required in the Technical and Performance Specifications. The train service to Sahar Airport will also operate at the same time if required resulting in a bifurcated service running from Airport Road to Ghatkopar and to Sahar Airport in the south. It is expected that the passengers demand to the Airport will be lower than the demand to Ghatkopar and every two to six trains will go to Ghatkopar with one train going to Sahar Airport. In order to achieve this service interval, 11 x 4-car trains for the peak hours are expected to be required for service with two spare 4-car trains bringing the total to 13 x 4-car trains.

1.4.2 Ultimate Service Level in 2041

The ultimate passenger demand anticipated on the MRTS requires 3 minutes service headway with 6-car trains during peak hours. In order to achieve this service interval, 15 x 6-car trains for the peak period are expected to be required. A minimum of 2 x 6-car trains will be required as spares bring the total train fleet to 17 x 6-car trains for the service between Versova and Ghatkopar/ Sahar Airport.

1.4.3 Summary of Service Level Forecast and Fleet Size Expansion

<table>
<thead>
<tr>
<th>Year</th>
<th>Passengers per hour per direction</th>
<th>Headway in Peak Hour (minutes)</th>
<th>Total No. of Rakes (including spare trains)</th>
<th>Cars per Rake</th>
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<td>4 - Cars</td>
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<td>6 - Cars</td>
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The detailed fleet size calculation is included in Chapter 10 – Rolling Stock. The exact timing of service level growth and upgrading from 4-car to 6-car trains will depend on the travel demand assessment.
1.5 Safety and Reliability

1.5.1 Safety

1.5.1.1 The design of MRTS will employ best design practices to minimise the potential for accidents to passengers on stations and in trains. The stations will be designed to minimise the risk of fire in accordance with N.B Code, NFPA norms and local fire safety guidelines / regulations. The layout of the station will be devised so that the passengers can be evacuated quickly and safely by an immediately obvious route including through AFC gates. For emergency evacuation of a train, provision will be made to clear the platform in 4 min.

1.5.1.2 In the event of a power outage, all train and station systems and services which are vital to safety must be provided with a back-up power supply, so that, if necessary, the passengers may be evacuated without their health or well-being being significantly impaired.

1.5.1.3 A set of emergency procedures will be formulated to deal with different emergency situations. These will be included in Disaster Management Manual.

1.5.2 Service Reliability and Availability

1.5.2.1 The equipment for the MRTS will be of a robust design. Every effort will be made to improve reliability of equipment where this can be done at reasonable cost. The target is that the overall availability of services will not be less than 97% during 1st year of operation. During 2nd and subsequent years, target service availability will be at least 99%. The MKBF will not be less than 100,000km.

1.5.3 Restoration of Service

1.5.3.1 The system will be designed so that, where a fault occurs, a limited service can be provided within a few minutes by isolation of the affected area or equipment, to the extent possible.

1.5.3.2 Emergency cross-overs will be provided at suitable locations to cater for such requirements. The details will be elaborated in Chapter 3 – Alignment.

1.5.4 Bi-directional Signaling

1.5.4.1 Bi-directional signalling will be considered where appropriate to enhance the flexibility of train movement during incident recovery.
CHAPTER - 2

SITE VISIT REPORT

2.1 Introduction

2.1.1 The entire section between Versova to Ghatkopar and Sahar Airport, as well as depot sites has been inspected by the study team. In general, there are a number of generic concerns on the alignment as proposed by MMRDA. They include (not exhaustive though):

- The acquisition of land which has been designated for the MRTS and the time necessarily required for this process.
- The extent of the advance works required such as the widening of the roads to accommodate the track alignment.
- Provision of supporting portals to suit the proposed railway alignment.
- Locations requiring diversion of underground utility services.
- Transportation of rolling stock to depot site and lifting the same onto the elevated tracks.
- Erection of girders at road junction as well as the need of portal structures at very busy road junction together with the construction of station building at very congested site.
- The need to coordinate traffic flow, safety and environment issues during construction.

2.1.2 A number of critical locations along the alignment which can have significant effects during the construction stage have also been identified. They are shown in Figure 2.1 and briefly described as follow:

- The narrow width of the road and heavily congested commercial area between Navarang Cinema to Andheri Station on the west and up to M.V. Road on the east.
- The stipulation that the proposed alignment has to cross Western Express Highway (WEH) can only be achieved through the use of long span bridge (>60 metres) at high elevation over the existing Andheri fly-over. This will have significant impacts resulting in the increased height of approach piers.

- The construction of the elevated structure to cross the railway tracks at Andheri will require the approval from Western Railway Authorities on the structural design. The works to be undertaken will also be carried out under the technical supervision of the railway authority and that continuous coordination will be necessary.

- The provision of a bifurcation train service between Airport Road Station to Sahar Airport.

- The very hilly terrain and narrow roads together with the requirements of sharp curves along the proposed alignment in the vicinity of Asalpha Area.
CHAPTER – 3

ALIGNMENTS

3.1 Alignments

3.1.1 The proposed alignments as indicated by MMRDA in their revised drawing, commences at Chainage W 3.732 from a point on the western edge of Seven Bungalow – Versova Road on the western side of Dadabhai Navroji Gardens and crosses through a large number of structures needing dismantling, till it joins J.P. Road at a distance of 200 meters from the commencement.

3.1.2 Thereafter the alignment extends along the centre-line of the J.P. Road for a distance of 0.6 KM up to Versova Station which is located at Chainage W 3.139 km. (0 Chainage is located at east side of Railway track at Andheri). The J.P. Road is being widened to 36 meters and the work is in progress.

3.1.3 The important road junctions crossed by the alignment on the J.P. Road are:

a) Lokhandwala Junction, where the approach to D.N. Nagar platform is provided by having an elevated station at the intersection of Lokhandwala Road.

b) The link road where the alignment will be crossed by the future MRTS north-south corridor.

c) S.V. Road near approach of Western Railway tracks.

On all these road crossings, longer spans of 31 meters are proposed for the permanent structure.

3.1.4 The alignment crosses Western Railway tracks at Chainage W 30 with provision of 59 meters span. Adequate clearance of 6.5 meters over the railway tracks has been catered for.

3.1.5 Andheri station has been located at Chainage E 0.052 with approach to M.V. Road.
3.1.6 The approach to existing Western Railway Andheri station from the proposed elevated Andheri Station would be via Mathuradas Vissani Road (leading to Andheri Station) and the distance traveled would be around 300 meters. Thereafter the alignment extends along the M.V. Road up to the Western Express Highway with the crossing of 60 meters span at Chainage about 870. Thereafter entering Western Express Highway station at Chainage E 995. After crossing Western Express Highway Station the alignment extends across the Cardinal Gracious Marg and Mahakali Gumpha Road with provision of 31 meters and 40 meters spans. The alignment there after extends to Chakala Road station at Chainage E 2.279 kms. On either end of the station, cross roads connecting the M.V. Road need little modification for diversion of traffic. After Chakala Station the alignment extends further towards east along the centre line of the M.V. Road to Airport Road station located at Chainage E 3.014 kms. After crossing Airport Road station a turnout is provided for diverting the alignment towards Sahar International Airport Station at Chainage E 3240.

3.1.7 The main alignment toward Ghatkopar is extended along the M.V. Road with station at Marol Naka at Chainage E 3.660. Marol Naka is an important location where all industrial activities for SEEPZ area and other offices and industries have been located. Thereafter the alignment extends towards Sakinaka by crossing the Saki Vihar Road with a span 40 meters. The Sakinaka Station has been located at Chainage E 4.696. Beyond Sakinaka Road station the alignment extends towards Ghatkopar via Asalpha village along Andheri-Ghatkopar Road.

3.1.8 Subhash Nagar station is located at Chainage E 6.047. Alignment involves a series of reverse curves on approaches of Asalpha Station at Chainage E 6.788 kms. Beyond Asalpha Station the alignment takes sharp curve of 100 meters radius at Chainage E 7.236 kms. near Sarvodaya Municipal Hospital and then crosses L.B.S. Marg at Chainage E 7.481 kms.

3.1.9 Thereafter alignment extends along Hirachand Desai Road crossing J.V. Road at Chainage E 7.770 with a span of 31 meters and terminates at Ghatkopar Station at Chainage E 7.786 kms.. The end of the station terminates at Chainage E 7.856 kms. adjoining road on the western side of Central Railway tracks at Ghatkopar Station on platform number 1.

3.1.10 The total length of the track on the western side from Chainage 0 at Andheri Station West to Chainage W 3.732 kms. towards Versova and the track length on the eastern site towards Ghatkopar Station extends up to Chainage E 7.856 kms. making the total track length of 11.588 kms. excluding the airport lines and the car shed lines.

3.1.11 The Airport line extends from Airport station to Sahar International Airport involving total length of 1.265 kms.
3.1.12 Thus the total Corridor length is 11.588 + 1.265 = 12.853 kms.

3.1.13 The depot at D.N. Nagar will be elevated with entry / exit connections from Versova end. The area above and below the elevated depot will be utilized for service and offices and other facilities needed for operation and maintenance of the corridor. Control office and the administrative office required for the management of this corridor will be located at the upper floors above the elevated depot. The open area on the ground out side the elevated depot will be utilized for construction, infrastructure and mobilization, girder segments casting yard and storage yard etc. and on completion of the project the area will be utilized for permanent accommodation of the essential staff required for emergency operation and maintenance of the railway corridor.

3.1.14 The single line track length involved from entry to depot to main line connection towards Versova is 200 meters.

3.2 Curves

3.2.1 The alignment will have curves of radius between 100 - 5000 meters and the curve length varies from 10 - 200 meters.

3.2.2.1 The transition length will be provided to all curves varying from length 10 to 80 meters on either side of the curve based on the following design criterion:

- Rate of change of cant (des max 37.5mm/s, abs max 55mm/s)
- Rate of change of cant deficiency (des max 37.5mm/s, abs max 55mm/s)
- cant gradient (max 1 in 440, min 1 in 1000)

3.2.3 Lists of curves along the alignments have been indicated in Table 3.1.

3.2.4 The maximum applied cant on circular curves will be limited to 150mm with maximum cant deficiency limited to 65mm.

3.3 Gradients

3.3.1 List of gradients along the alignments have been given Table 3.2 with the steepest gradient is 3.2% for a length of 375 metres.
### TABLE 3.1

List of Curves MRTS Corridor

**Versova – Andheri - Ghatkopar**

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## TABLE 3.2
Gradients between Andheri – Versova West Side
Details of Gradients from Versova to Andheri

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<th>SLOPE</th>
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Gradients between Andheri – Ghatkopar East Side
Details of Gradients from Andheri to Ghatkopar

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A Schematic Sketch of the Alignment is shown below with detailed vertical and horizontal profile given in Figures 3.1 to 3.12
CHAPTER - 4

SURVEY WORK - METHODOLOGY

4.1 Main Alignment

4.1.1 As MMRDA has specified the alignment fixed by them as obligatory, the triangulation points with respects to its coordinates, as already marked and given by MMRDA will be checked at site with respects to the correctness of its co-ordinates with the help of TOTAL Station / Auto Level (Prism and other accessories as required).

4.1.2 The points found disturbed shall be identified for its revised co-ordinates and the points made firm with its station numbers. For missing points new points with its coordinates would be identified and marked firm with the help of rod in masonry pillars by punch mark or nail. All points will be repainted and protected at site and got checked by MMRDA staff if required by them. All records of coordinates will be documented.

4.1.3 Based on the above, the alignment along with curves and transitions will be fixed at site and coordinates of the proposed piers and pile locations will be marked at site with TOTAL Station / Auto Levels, with all documentation and records. All such sites so fixed will be properly protected. Pier and Pile locations will be probed for any underground utility services falling within piles / pile caps locations by digging 2-meter deep pits manually.

4.1.4 Utilities coming in the way shall be identified and marked for relocations or redesign the locations. Existing temporary Benchmarks fixed by MMRDA will be checked with reference to GTS Benchmark and new Benchmarks will be established along the entire alignment and at 100-meter intervals or as required and converted into permanent benchmark for adoption during construction. For carrying out the above work equipment such as measuring steel tapes of Freeman’s make as calibrated from authorized agency. Prisms target, Auto levels, Leveling staff, Total Stations with 1 second accuracy, Line thread, Line powder for marking, Wooden pegs, Nail points etc. Based on the above survey work detailed working plans of the alignment will be prepared (Scale 1:200) showing there on deviation from Central Verge and widening of the existing roads and relocation of the Central Verge and additional land to be acquired if any and dismantling of existing structures etc.
4.1.5 A longitudinal section of the alignment will also be made out for the entire length. Similarly location of pile foundations, of station building will be fixed along with alignment survey. The survey will also include the locations of an elevated Depot area near D.N.Nagar station with single line entry from Versova end or alternative site at Ghatkopar end at Godrej as proposed by MMRDA i.e. an elevated single line entry of 1.74 kms with depot at underground level with ballasted track on PSC Sleepers.

4.1.6 All survey work and its documentation will be carried out to the satisfaction of MMRDA or its nominated Consultant / engineers appointed for the project. After the survey work is complete the entire construction area will be barricaded in 8-meter width (outside to outside barricade) or as desired by MMRDA in consultation with the local traffic police authorities.

4.1.7 All staff / personnel working within the construction area will wear personal protection equipments (PPE) All employees will follow companies health and safety policy, environment policy, HSE plan of owners i.e. MMRDA's environment policy and plan if any. Quality Assurance and Quality Control of survey works will be as per the quality plan and corresponding inspection test plans.

4.1.8 The following documentation will be maintained:

A. Inspection and Test plans.
B. Triangular points verification report
C. Field books.

4.2 Alternative Depot Locations

4.2.1 At Ghatkopar end, the alternative depot site is also being considered involving an elevated corridor crossing the central railway tracks and terminating at ground level for the depot area. The additional length of 1.74 kms of single line track will be involved in the alternative depot location near Godrej Soap land.
Critical Locations Along the Alignment

4.3.1 It will be seen from the above alignment that the critical locations from the construction point of view would be at Versova, Ghatkoper and Andheri Station and crossing of all railway tracks at Andheri, with the span of 60 meters and crossing of the Western Express Highway with span of 59 meters, crossing through congested area near Asalpha station towards Ghatkopar, crossing of all utility services along the alignment. Crossing of railway tracks will need coordination with concerned local authorities and Western and Central Railways necessitating co-operation and assistance from MMRDA to facilitate the construction work including approval of all proposals in time. Other critical location would be those requiring widening roads, acquisition of lands, dismantling of structure, permanent diversion of roads due to centerline of the railway alignment not coinciding with existing central verge of the road. Any minor changes need due to site conditions or as desired by MMRDA for alignments, stations, construction facilities etc. will be done in consultation and with prior approval of MMRDA.
CHAPTER - 5
DIVERSION OF UTILITIY SERVICES

All drawings especially underground water pipelines, sewer lines, gas lines and other utility services between Versova and Andheri Station made available by the respective utilities were closely examined. Our observations have been brought out in the following paragraphs.

5.1 Water Pipelines

5.1.1 From Chainage W 3400 to W 3720

From Chainage W 3720 to W 3550 no water pipelines are coming in the MRTS alignment. Water pipeline of 200mm dia may foul with foundation of pier no 10 to 17 between Chainage W3518 to W 3380. Similarly water pipeline of 450 dia may foul with foundation of pier no 11 to 15. These two pipelines will have to be shifted along with domestic connections from these pipe lines.

5.1.2 From Chainage from W 2900 to W 3400

Water pipeline of 450mm dia has been observed along the alignment from Chainage W 3360 to W 2990 (pier no 17 to 24). Water pipeline of 450mm dia is coming in the way of pier no 25 to 28 between Chainage W3045 to W 2955 and also water pipeline of 200 dia is likely to foul with foundation of station area. All the three pipelines will have to be shifted along with domestic connections from these pipelines.

5.1.3 From Chainage 2500 to 2900

Water pipeline of 200mm dia is coming in the way of pier no 28 to 44 from Chainage W2955 to W 2500. This will need shifting along with the domestic connections.

5.1.4 From Chainage 2500 to 2200

Water pipeline of 200mm dia is coming in the way of pier no 44 to 60 located between Chainage W2500 to W 2200. Water pipeline will have to be shifted to other location along with domestic connections to these pipelines.
5.1.4.1 From Chainage 2200 to 1500

Water pipeline of 200mm dia is coming in the way of pier no 44 to 60 between Chainage W 2200 to W 1500. Water pipelines are coming in the way of DN Nagar station area and also water pipeline of 200 dia is coming in the way of foundation of station area. All these pipelines will have to be shifted along with domestic connections from this pipeline.

5.1.5 From Chainage 1500 to 1100

Between Chainage W 1500 to W 1100, water pipelines of 200mm dia are coming in the way of pier no 70 to 85. These pipelines will have to be shifted along with domestic connections from these pipe lines.

5.1.6 From Chainage W 1100 to W 750

Between Chainage W 1100 to W 750, water pipeline of 200mm dia is coming in the way of pier no 97 to 112. This pipeline will have to be shifted along with domestic connections from this pipe line.

5.1.7 From Chainage W 750 to W 300

Between Chainage W 750 to W 300, water pipeline of 200mm dia is coming in the way of pier no 111 to 128. This pipeline will have to be shifted along with domestic connections from this pipelines.

5.1.8 From Chainage E 100 to E400

Between Chainage W 120 to W 350, water pipeline of 250mm dia is coming in the way of Andheri station Area (pier no 128 to 134 and 135 to 139). This pipeline will have to be shifted to other location along with domestic connections from this pipeline.

5.1.9 From Chainage E 0.920 to E 0.960

Between Chainage E 0.920 to E 0.960, a water pipeline is crossing the alignment. This pipeline may have to be shifted.
5.1.10 From Chainage E 0.960 to E 1.000

Between Chainage E 0.960 to E 1.000, a water pipeline is crossing the alignment. This pipeline may have to be shifted to other location.

5.1.11 From Chainage E 1.440 to E 1.520

Between Chainage E 1.440 to E 1.520, Water pipeline is running very close to the alignment and also crossing the alignment. This pipeline may have to be shifted to other location.

From Chainage E 2.600 to E 2.640

Between Chainage E 2.600 to E 2.640, (4 Nos) Water pipelines are crossing the alignment. These pipelines cannot be shifted since they are the trunk mains. As such the pier location may have to be modified to accommodate the crossings of the pipelines.

5.1.12 From Chainage E 3.200 to E 3.240

Between Chainage E 3.200 to E 3.240, a water pipeline is crossing the alignment. This pipeline may have to be shifted to other location along with domestic connections from this pipeline.

5.1.13 From Chainage E 3.440 to E 3.480

Between Chainage E 3.440 to E 3.480, water pipeline is crossing the alignment. This pipeline may have to be shifted to other location along with domestic connections from this pipeline.

5.1.14 From Chainage E 4.240 to E 4.280

Between Chainage E 4.240 to E 4.280, water pipeline is crossing the alignment. This pipeline will have to be shifted to other location along with domestic connections from this pipeline.

5.2 Sewer Lines – Our Observations

5.2.1 600mm dia sewer line is likely to foul with the foundation of pier no P7 & P9..

5.2.2 Sewer line of 600mm between Chainage W 3194 to W3107 at Versova station area.
5.2.3 Sewer line of 600mm at station area between Chainage W 1459 to W1100 at Azad Nagar station area.

5.2.4 Sewer line of 600mm between Chainage W 780 to W 810.

5.2.5 Sewer line crossing the alignment between Chainage E 0.920 to E 0.960.

5.2.6 Sewer line crossing the alignment between Chainage E 0.960 to E 1.000.

5.2.7 Sewer line crossing the alignment between Chainage E 1.000 to E 1.080.

5.2.8 Sewer line crossing the alignment between Chainage E 1.400 to E 1.440.

5.2.9 Sewer line crossing the alignment between Chainage E 1.520 to E 1.560.

5.2.10 Sewer line crossing the alignment between Chainage E 2.360 to E 2.400.

5.2.11 Sewer line crossing the alignment between Chainage E 4.000 to E 4.040.

5.2.12 Sewer line crossing the alignment between Chainage E 4.320 to E 4.600.

5.2.14 Sewer line crossing the alignment between Chainage E 4.600 to E 4.640.

Advance action for shifting of these lines is requested in order to avoid hassles during construction.

5.3 Gas Pipeline – Our Observations

5.3.1 2 No gas pipelines, of 150mm dia each are passing through the area between Chainage W 3194 to W 3107 which is located at the junction of S.V. Road and J.P.Road. It may be difficult to shift them as there are many domestic connections from these pipelines.

5.3.2 2 No gas pipelines of 150mm dia each are passing through the DN Nagar station area between Chainage W 2200 to W 1848. It may be difficult to shift them as there are many domestic connections from these pipelines.
5.3.3 2 No gas pipelines of 150mm dia each are passing through the Azad Nagar station area between Chainage W 1459 to W 1100. It may be difficult to shift them as there are many domestic connections from these pipelines.

5.3.4 2 No gas pipelines of 150mm dia each are passing through the Andheri station area between Chainage E 100 to E400 (pier no 150 to 190). It may be difficult to shift them as there are many domestic connections from these pipelines.

5.3.5 A gas pipeline with domestic connections is also running close to the alignment from Chainage E 1.600 to E 1720.

5.3.6 A gas pipeline is crossing the alignment between Chainage E 2.280 to E 2.320.

5.3.7 A gas pipeline is crossing the alignment between Chainage E 2.480 to E 2.520.

5.3.8 A gas pipeline is running close to the alignment from Chainage E 2.640 to E 3.120.

5.3.9 A gas pipeline is crossing the alignment between Chainage E 3.120 to E 3.160.

5.3.10 A gas pipeline is crossing the alignment between Chainage E 3.160 to E 3.200.

5.3.11 A gas pipeline is crossing the alignment from Chainage E 3.520 to E 3.560.

5.3.12 A gas pipeline is crossing the alignment from Chainage E 3.800 to E 3.840.

5.3.13 A gas pipeline is crossing the alignment from Chainage E 4.200 to E 4.240.

5.3.14 A gas pipeline is crossing the alignment from Chainage E 4.440 to E 4.840.

5.3.15 A gas pipeline crossing the alignment from Chainage E 4.560 to E 4.600.

All these lines are with domestic connections. Advance action for shifting these lines or any other alternative arrangement is requested so as to avoid hassles during construction.

5.4 Electrical & Telecommunication Cables

5.4.1 REL and TPC electrical cables between Chainage W2200 to W1848 and W1459 to W1100. These cables might have been shifted during the widening of road, which is in progress. If in case the cables are not shifted they will be shifted by the concerned companies.
5.4.2 Cables coming in between Chainage W2200 to W1850 and W1850 to W1100 and W1100 to W750 and W300 to 0 and E100 to E400. These will be shifted during the road-widening project, which is under construction. Any other cables coming in the way of foundation are to be shifted by the concerned agencies.

5.4.3 Tata 11 KV cable is crossing the alignment between Chainage E 0.960 to E 1.000 and to be shifted suitably.

5.4.4 11 KV (3nos) cables are crossing the alignment between Chainage E 1.280 to E 1.320.

5.4.5 11 KV (2nos) cables are crossing the alignment between Chainage E 1.320 to E 1.360.

5.4.6 22 KV cable is crossing the alignment between Chainage E 1.400 to E 1.440.

5.4.7 11 KV cable is crossing the alignment between Chainage E 1.440 to E 1.480.

5.4.8 Telephone cable is crossing the alignment between Chainage E 1.600 to E 1.640.

5.4.9 22 KV cable of Tata is crossing the alignment near Chainage E 1.720 and to be shifted suitably.

5.4.10 REL cable crossing the alignment between Chainage E 1.760 to E 1.800.

5.4.11 11 KV (2 nos) cables are crossing the alignment between Chainage E 1.800 to E 1.840.

5.4.12 11 KV cable is crossing the alignment between Chainage E 2.000 to E 2.040.

5.4.13 11 KV cable is crossing the alignment between Chainage E 2.080 to E 2.120.

5.4.14 11 KV cable is crossing the alignment between Chainage E 2.120 to E 2.160.

5.4.15 11 KV cable is running parallel very close to the alignment between Chainage E 2.120 to E 2.240.

5.4.16 REL cable is crossing the alignment between Chainage E 2.280 to E 2.320.

5.4.17 11 KV cable is crossing the alignment between Chainage E 2.360 to E 2.400.

5.4.18 11 KV & 22 KV cables are crossing the alignment between Chainage E 2.440 to E 2.480.
5.4.19 REL cable is crossing the alignment between Chainage E 2.440 to E 2.480.

5.4.20 11 KV cable is crossing the alignment between Chainage E 2.520 to E 2.560.

5.4.21 11 KV (3 nos) cable are crossing the alignment between Chainage E 2.560 to E 2.600.

5.4.22 11 KV (3 nos) cable are crossing the alignment between Chainage E 2.640 to E 2.680.

5.4.23 22 KV cable is crossing the alignment between Chainage E 2.760 to E 2.800.

5.4.24 11 KV cable is crossing the alignment between Chainage E 2.800 to E 2.840.

5.4.25 Tata cable crossing the alignment between Chainage E 3.000 to E 3.040.

5.4.26 22 KV cable is crossing the alignment between Chainage E 3.600 to E 3.640.

5.4.27 22 KV cable is crossing the alignment between Chainage E 3.880 to E 3.920.

5.4.28 11 KV cable is crossing the alignment between Chainage E 3.960 to E 4.000.

5.4.29 Telephone cable is crossing the alignment between Chainage E 4.040 to E 4.080.

5.4.30 REL cable is crossing the alignment between Chainage E 4.090 to E 4.120.

5.4.31 11 KV cable is crossing the alignment between Chainage E 4.120 to E 4.160.

5.4.32 Telephone cable is crossing the alignment between Chainage E 4.160 to E 4.200.

5.4.33 11 KV cable is crossing the alignment between Chainage E 4.160 to E 4.200.

5.4.34 22 KV cable is crossing the alignment between Chainage E 4.280 to E 4.320.

5.4.35 22 KV & 11 KV cables are crossing the alignment between Chainage E 4.320 to E 4.360.

5.4.36 22 KV cable is crossing the alignment between Chainage E 4.400 to E 4.440.

5.4.37 11 KV cable is crossing the alignment between Chainage E 4.480 to E 4.520.

5.4.38 REL cable is crossing the alignment between Chainage E 4.520 to E 4.560.
5.4.39 Tata Broadband Communication cable is crossing the alignment between Chainage E 4.560 to E 4.600.

5.4.40 22 KV (2 nos) cable are crossing the alignment between Chainage E 4.560 to E 4.600.

While shifting of REL power cables shall be coordinated by us internally, advance action is requested for shifting of other utility cables so as to facilitate hassle free construction.

5.5 Notes on Future Proposed Flyover

5.5.1 MMRDA have proposed two flyovers along the alignment; one at Saki Naka Junction and the other at Western Railway crossing. Both flyovers are proposed parallel to the MRTS line and proposals are above the platform level. These flyover alignment are not affecting any MRTS alignment and suitable planning will be done while designing the structures in this areas.

5.5.2 Our team of Engineers in association with MTR Corporation, Hong Kong who are the consultants for formulation of the technical proposal have extensively carried out the survey for utility services and also collected the data regarding the utility services in the area from concerned organization. However, the list of utilities fouling with the alignment / station area is not exhaustive and cooperation is requested in case any more utilities are discovered during construction.
CHAPTER 6

LAND REQUIREMENT

6.1 Land Requirement Outside Right of Way (ROW) for Permanent Acquisition by MMRDA (Pl. Ref. Table 6.1)

6.1.1 Our survey team carried out walk over survey along the MRTS corridor, from Versova (7 bungalow – Andheri) along JP road, MV Road (Andheri Kurla Road and Saki Naka, to Ghatkopar Via Asalpa). The survey team noticed and identified a number of critical locations where the problems are likely to arise during the construction of MRTS. These critical locations are:

6.1.2 MMRDA has made available the alignment (ROW) on a drawing. Although the road widening work along the alignment are in progress and ROW are marked at the sight by the road contractor, we have noticed that most of encroachments have not yet been removed thus hampering the progress of road work. In order to avoid such problems during construction, MMRDA are requested to initiate advance action to remove all such structures causing obstruction along the ROW before finalizing the award.

6.1.3 There are 13 stations along the Versova – Andheri – Ghatkopar and a few of which require additional land beyond the proposed ROW land. This additional land is indicated on the plan and the same needs to be acquired by MMRDA before finalizing the award.

6.1.4 Versova station

6.1.4.1 For this station additional land is required. The part of the land belongs to forest department and part of the land belongs to private owners. Land acquisition for forestland requires more time and lot of formalities have to be carried out for acquiring the land. We therefore request to initiate the land acquisition for the forestland at the earliest. The area assumed for acquisition outside ROW is 1184 sqm. (Ref. Figure 6.1).

6.1.5 Western Express Highway (WEH) station

In this part of the alignment, the height of columns will be much more than the normal height of the columns because of the Andheri Fly over. Also this part is crowded and narrow and Western Express Highway station is located at very high level than the normal station.
Hence, the time required for construction will be more. These locations will be critical for construction work. Hence MMRDA are requested to initiate the work of land acquisition at this place in advance.

6.2 Land Requirement within the Right of Way (ROW) for Permanent Acquisition by MMRDA (Pl. Ref. Table 6.2)

6.2.1 Area between Navrang theater to Nagardas Road Andheri East

This part of the road is very narrow and having a lot of commercial and religious buildings. Also, it is required to cross Western Railway tracks through a crowded area. Since the main market is located in this area, lot of resistance in locating and relocating the structures affected in this area is expected. It is therefore earnestly requested to take up the land acquisition and resettlement activity at this place at the earliest.

6.2.2 Crossing Western Railway Track

MRTS has to cross over the Western Railway tracks at Andheri. The length of crossing is approx. 100m. The existing 6 meter wide Foot Over Bridge across the railway tracks is coinciding with the MRTS alignment. This FOB may therefore have to be relocated before starting the construction works for which necessary permission is required from Western Railway. The designs and drawings of this section have also to be got approved from Western Railways. The construction works at this section will have to be carried out under supervision of Western Railway. All the above permissions require constant follow up with the WR and the time required for obtaining these permissions is very high. MMRDA are therefore requested to initiate activities to get the permission and also obtain ROW from Western Railways.

6.2.3 Area between Airport station, Marol Station and Saki Naka Station

This area is most congested area in the alignment having lots of industrial estates and commercial complexes and hotels. There is very heavy traffic on this section and the traffic jam problem is all the time in this area. Hence it is requested to expedite the work of land acquisition within the ROW proposed.
6.2.4 Saki Naka Aaspha and Ghatkopar

This area is mostly covered by hutments and temporary structures and religious structures and is having very steep gradients and sharp curves for which more time is required for construction. It is noted that MMRDA have not yet started the resettlement and rehabilitation works in this area. Also, there are a few permanent structure coming in the ROW. Hence it is requested to expedite the work of land acquisition within the ROW proposed.

6.3 Land Requirement for Temporary Works

6.3.1 There is large number of activities which has to be undertaken in various disciplines viz. Foundation, Wayside Structures, Station Buildings, Service Building, Track Laying, Electrical, and Signaling works etc. The land along the ROW of the alignment would not be sufficient for large number of integrated activities in various disciplines. Hence an additional land apart from ROW land would be required on temporary basis for carrying out following activity:

1. Casting yard for Girder casting.
2. Storage area for storing construction material.
3. Storage area for storing construction machinery.
4. Parking area for Heavy construction machinery.
5. Site offices for construction staff of various disciplines.

6.3.2 There will be two agencies out of which one will operate on east side of alignment and other on the west side of the alignment. The land shown in the drawing is proposed to accommodate two agencies and also other site offices of various disciplines.

6.3.3 The proposed alignment is passing by the Airport Authority of India's (AAI) land near Hotel Leela. It is proposed that 450m x 250m land on either side of the airport road be temporarily acquired. This location is in the centre of the alignment, is well connected by road, so this location is suitable for various centralized activities and also for Site Offices.

Figure 6.8 indicates the above location of the land to be temporarily acquired.
Table 6.1 - Details of Land Acquisition outside ROW by MMRDA

<table>
<thead>
<tr>
<th>Sr No.</th>
<th>Chainage</th>
<th>Area outside ROW (Sq.m)</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>W3139</td>
<td>1184</td>
<td>Versova Stn L1</td>
</tr>
<tr>
<td>2</td>
<td>W2139</td>
<td>5000</td>
<td>D.N. Nagar Stn L2</td>
</tr>
<tr>
<td>3</td>
<td>W1344</td>
<td>740</td>
<td>Azad Nagar Stn L3</td>
</tr>
<tr>
<td>4</td>
<td>E 0.052</td>
<td>666</td>
<td>Andheri Stn L4</td>
</tr>
<tr>
<td>5</td>
<td>E 0.995</td>
<td>546</td>
<td>WEH Stn L5</td>
</tr>
<tr>
<td>6</td>
<td>E2279</td>
<td>126</td>
<td>Chakala Stn L6</td>
</tr>
<tr>
<td>7</td>
<td>E7786</td>
<td>632</td>
<td>Ghatkopar Stn L7</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td><strong>8894</strong></td>
<td></td>
</tr>
</tbody>
</table>

Table 6.2 - Details of Land Acquisition inside ROW by MMRDA

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Chainage</th>
<th>Area Inside ROW (Sq.m)</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
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<td>W3875</td>
<td>3411</td>
<td>Versova Garden</td>
</tr>
<tr>
<td>2</td>
<td>W2139</td>
<td>301</td>
<td>D.N. Nagar Stn</td>
</tr>
<tr>
<td>3</td>
<td>W900</td>
<td>179</td>
<td>Bhardawadi</td>
</tr>
<tr>
<td>4</td>
<td>W858</td>
<td>38</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>W803</td>
<td>8</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>W714</td>
<td>100</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>W245 - W700</td>
<td>2008</td>
<td>Navrang to S.V. Road</td>
</tr>
<tr>
<td>8</td>
<td>W201 to 150</td>
<td>1116</td>
<td>Masjid Gully</td>
</tr>
<tr>
<td>9</td>
<td>W100</td>
<td>598</td>
<td>East of Rly Line</td>
</tr>
<tr>
<td>10</td>
<td>W14 - W80</td>
<td>457</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>E0.061</td>
<td>63.6</td>
<td>M.V. Road</td>
</tr>
<tr>
<td>12</td>
<td>E1300</td>
<td>350</td>
<td>WEH</td>
</tr>
<tr>
<td>13</td>
<td>E1380</td>
<td>83</td>
<td></td>
</tr>
<tr>
<td>14</td>
<td>E1770</td>
<td>156</td>
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<tr>
<td>15</td>
<td>E2700 to E2858</td>
<td>373</td>
<td>Chakala</td>
</tr>
<tr>
<td>16</td>
<td>E3300</td>
<td>193</td>
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<td>17</td>
<td>E3600</td>
<td>373</td>
<td>Marol</td>
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<td>18</td>
<td>E4467</td>
<td>334</td>
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<td>19</td>
<td>E4600</td>
<td>298</td>
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<td>20</td>
<td>E4696</td>
<td>486</td>
<td>Saki Naka</td>
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<tr>
<td>21</td>
<td>E4880 to E4798</td>
<td>1142</td>
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<tr>
<td>22</td>
<td>E4950 to E5054</td>
<td>973</td>
<td>Saki Naka</td>
</tr>
<tr>
<td>23</td>
<td>E5184 to 5100</td>
<td>2214</td>
<td></td>
</tr>
<tr>
<td>24</td>
<td>E5200 to E6047</td>
<td>4873</td>
<td>Asalpa</td>
</tr>
<tr>
<td>25</td>
<td>E6050 to E7700</td>
<td>50000</td>
<td>Complete Slum Area on the ROW</td>
</tr>
<tr>
<td>26</td>
<td>E7300</td>
<td>638</td>
<td>Building</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td><strong>70746</strong></td>
<td></td>
</tr>
</tbody>
</table>
ANDHERI STATION [MRTS]
CH: 0.052 Km
AREA OUTSIDE ROW PERMANENT ACQUISITION
= 666 SQM
5
WEH STATION
CH: E 0.995 Km
AREA OUTSIDE ROW
PERMANENT AQUISITION
= 546 SQM
CHAKALA STATION
CH: E 2.279 Km
AREA OUTSIDE ROW
PERMANENT AQUISITION
= 126 SQM

ANDHERI - GHATKOPAR MRT SYSTEM
AREA EXISTING ROW PERMANENT AQUISITION - CHAKALA

FIGURE 6.6
GHATKOPAR STATION
CH: E 7.786 Km
AREA OUTSIDE ROW
PERMANENT ACUISION
= 632 SQM
CHAPTER - 7
CIVIL ENGINEERING

7.1 Design

7.1.1 The way structure has been designed for axle load of 18 tonnes. The train configuration consists of a maximum of 6 coaches per train. Other loads and forces considering the design are in accordance with the Bridge Rules of Indian Railways. As continuous welded rails are proposed with non-ballasted track, the forces due to temperature variations have been catered for RCC and pre-stressed concrete work are in accordance with Indian Railways Concrete Bridge Code and Indian Railways Sub-structure and Foundation Code. Prestressed concrete girder has been adopted for super structure of the all spans with provision for sound barriers all along the corridor. The way structures have been designed to be supported on single column erected along medium of the road. At few places where it not possible to adopt the same portal frames supports have been adopted in lieu of single column. The depth of foundation proposed varies from 15 to 18 meters based the geological data along the alignment. The width of the Way structure has been proposed at 8.95 meters with track centers at 4.05 meters. The minimum clearance above road has been maintained at 5.5 meters location where alignment crosses railway tracks the minimum clearance has been kept at 6.55 meters as applicable for 25 kV AC traction. A passage of 700 mm wide has been provided all along the corridor 6.5 m either side to facilitate evacuation of commuters during emergency. Ducts for laying electrical signals and communication cables have been provided. Provision has been made for drainage with connections to the underground drainage with a crossfall of 2.5% on deck top. The provision for drainage has been on the annual rainfall of 2000 mm with a maximum rainfall intensity of 75 mm per hour. In view of the congested location of the alignment the structure has been designed for construction with the use of 3 meter pre-cast elements for the entire length. While designing the shape of the super structure and sub structure aesthetics has been kept in view. The spans of the way structure has been mainly at 25 meters with minor adjustments at critical locations by use of shorter spans and adoption of 28 and 31 and 40 spans for road crossing and with 60 meters span for crossing tracks at Andheri and the Western Express Highway. While planning the location care has been taken to ensure that no piers are located in front the religious sites. Plan showing location of columns along the alignment has been enclosed in the documents furnished. A statement of number of type of span with the design group is enclosed. Drawings of typical cross sections for 60 meter span, 40 meter spans, 31-25 meter span, 22 and below meter spans are enclosed (Figures 7.1 to 7.9). The super structure has been designed to cater for anti crash barriers in concrete and provision made for OHE mass and
7.2 Design Codes

7.2.1 Piles And Pile Cap

7.2.1.1 Design of piles and pile cap is based on IS 2911 (part III), IRS/Concrete Bridge Codes and IS 456/2000. Durability criteria have been taken as per IRS Concrete Bridge Code (Correction slip 1) taken as severe condition. Environmental exposure has been catered for severe conditions as specified in the IRS Concrete Bridge Code. Earthquake effects on foundation and detailing have been checked as per Caltrans Codes (Post Loma Prieta) and Japanese code (Post Kobe) besides Indian Railways / BIS Codes.

7.2.2 Pier And Pier Cap

7.2.2.1 Designs are based on IRS Concrete Bridge Codes clause 15.6 and IS 456/2000 along with SP 16 of BIS. Earthquake effects of sub structures have been checked as per Caltrans Codes (Post Loma Prieta) and Japanese Code (Post Kobe).

7.2.3 Bearings

7.2.3.1 Two sliding and two-fixed bearings are to be attached with each simply supported girder. On each pier cap two fixed and two sliding bearings are to be rested. Bearing forces, displacement & rotations for each span category to be work out using SLS Combinations with added factor of safety, design bearing load, displacement & rotations are to be calculated as per IRC 83 part III and BS 5400 as no railway specification in this subject is available.

Proposed no. of bearing to be used – 1184 X 2 (1184 – Fixed & 1184 – Sliding)

7.2.4 Girders

7.2.4.1 Segmental construction technology has been proposed for designs, constructions and erections of prestressed concrete girders. The design incorporates IRS Concrete Bridge Codes Clause 16 and 17, ACI Codes, IS 14268/1995 and IS 13920/1999.

7.3 Assessment of Volume of Work in Way Structures, Station and Depot

7.3.1.1 The details of the number and type of spans including the quantities under various categories for the way structure have been tabulated below in tables A, B, C, D and E.
(A) Main way structure including Station (Along Alignment) but Excluding Depot

<table>
<thead>
<tr>
<th>SPAN TYPE</th>
<th>60</th>
<th>40</th>
<th>25-31</th>
<th>≤ 22</th>
<th>PORTAL</th>
<th>STN.</th>
<th>TOTAL</th>
</tr>
</thead>
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<tr>
<td>I - SPANS</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1) No of Spans</td>
<td>2</td>
<td>3</td>
<td>372</td>
<td>92</td>
<td>58*</td>
<td>120</td>
<td>589*</td>
</tr>
<tr>
<td>2) No of Int. Segments</td>
<td>36</td>
<td>36</td>
<td>2662</td>
<td>496</td>
<td>-</td>
<td>480</td>
<td>3710</td>
</tr>
<tr>
<td>3) No of End Segments</td>
<td>4</td>
<td>4</td>
<td>744</td>
<td>184</td>
<td>-</td>
<td>240</td>
<td>1178</td>
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<td>II - PILES</td>
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<td></td>
<td></td>
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<td></td>
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<tr>
<td>1) No. of Piles</td>
<td>24</td>
<td>36</td>
<td>2226</td>
<td>364</td>
<td>232</td>
<td>480</td>
<td>3362</td>
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<tr>
<td>2) Qty. m^35 in Piles</td>
<td>1380</td>
<td>1167</td>
<td>38316</td>
<td>6348</td>
<td>4002</td>
<td>8280</td>
<td>59493</td>
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<td>3) Qty. Tor Steel in Piles</td>
<td>188</td>
<td>156</td>
<td>5208</td>
<td>856</td>
<td>540</td>
<td>1116</td>
<td>8064</td>
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<td>III - PILE CAPS</td>
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<td></td>
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<tr>
<td>1) No of Pile Caps</td>
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<td>6</td>
<td>371</td>
<td>91</td>
<td>58</td>
<td>120</td>
<td>650</td>
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<tr>
<td>2) Qty. m^35 in Pile Cap</td>
<td>960</td>
<td>750</td>
<td>26040</td>
<td>2852</td>
<td>1800</td>
<td>3720</td>
<td>36122</td>
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<tr>
<td>3) Qty. of Tor Steel in Pile Cap</td>
<td>200</td>
<td>150</td>
<td>4836</td>
<td>575</td>
<td>363</td>
<td>750</td>
<td>6874</td>
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<td>371</td>
<td>91</td>
<td>58</td>
<td>120</td>
<td>650</td>
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<td>240</td>
<td>14136</td>
<td>3680</td>
<td>2320</td>
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<td>25376</td>
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<td>3) Qty. of Tor Steel in Piers</td>
<td>120</td>
<td>132</td>
<td>7812</td>
<td>1748</td>
<td>1102</td>
<td>2280</td>
<td>13194</td>
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<td>V - PIER CAPS</td>
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</tr>
<tr>
<td>1) No of Pier Caps</td>
<td>4</td>
<td>6</td>
<td>371</td>
<td>91</td>
<td>58</td>
<td>120</td>
<td>650</td>
</tr>
<tr>
<td>2) Qty. m^40 in Pier Caps (cum)</td>
<td>46</td>
<td>69</td>
<td>4252</td>
<td>1043</td>
<td>340</td>
<td>1375</td>
<td>7125</td>
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<td>3) Qty. of Mt)</td>
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<td>7</td>
<td>426</td>
<td>104</td>
<td>34</td>
<td>137</td>
<td>712</td>
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<td>VI - BEARINGS</td>
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</tr>
<tr>
<td>1) No. of Bearings</td>
<td></td>
<td></td>
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<td>a) Fixed</td>
<td>8</td>
<td>12</td>
<td>742</td>
<td>182</td>
<td>-</td>
<td>240</td>
<td>1184</td>
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<tr>
<td>b) Sliding</td>
<td>8</td>
<td>12</td>
<td>742</td>
<td>182</td>
<td>-</td>
<td>240</td>
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<td>VII - GIRDERs</td>
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<td>92</td>
<td>-</td>
<td>120</td>
<td>589</td>
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<td>2) Qty. of Concrete (cum)</td>
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<td>56472</td>
<td>1073</td>
<td>-</td>
<td>15240</td>
<td>83643</td>
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<td>3) Qty. of Steel (Mt)</td>
<td>88</td>
<td>84</td>
<td>7184</td>
<td>1094</td>
<td>-</td>
<td>1560</td>
<td>10010</td>
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<tr>
<td>4) Strands 19/13 (Rm)</td>
<td>2040</td>
<td>1314</td>
<td>94740</td>
<td>1490</td>
<td>-</td>
<td>21120</td>
<td>134118**</td>
</tr>
<tr>
<td>5) Strands 12T13(Rm)</td>
<td>600</td>
<td>264</td>
<td>28422</td>
<td>3726</td>
<td>-</td>
<td>5280</td>
<td>38292**</td>
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</table>

*58 is not added in 589 being included in other spans.
**Total high tensile steel = 2375 Mt.

(B) Depot / Carshed Elevated

<table>
<thead>
<tr>
<th>QTY. M 35 in m^3 &amp; Fe 415 in Mt.</th>
</tr>
</thead>
<tbody>
<tr>
<td>SPAN</td>
</tr>
<tr>
<td>---------</td>
</tr>
<tr>
<td>PILES</td>
</tr>
<tr>
<td>PILE CAPS</td>
</tr>
<tr>
<td>PIERS</td>
</tr>
<tr>
<td>BEAMS</td>
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<tr>
<td>SLABS</td>
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<tr>
<td>TOTAL</td>
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</table>

Miss Rapido Transit System On Versova – Andheri – Ghatkopar Corridor
(C) Station Building Excluding Portals under Way Structure Alignment

<table>
<thead>
<tr>
<th>SPAN</th>
<th>No. of SPAN (in No)</th>
<th>M 35 (in m³)</th>
<th>Fe 415 (in Mt.)</th>
</tr>
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<td>2496</td>
<td>706</td>
<td>96</td>
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<td>273</td>
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<td>PIERS</td>
<td>624</td>
<td>5616</td>
<td>685</td>
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<td>BEAMS</td>
<td>600</td>
<td>810</td>
<td>95</td>
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<tr>
<td>SHELL ELEMT</td>
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<tr>
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(D) Station Roof Structure

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<th>FIBER</th>
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<td>FRAME (Mt)</td>
<td>1616</td>
<td>-</td>
</tr>
<tr>
<td>CLADING (Sqm)</td>
<td>-</td>
<td>75000</td>
</tr>
<tr>
<td>TOTAL</td>
<td>1616 Mt</td>
<td>75000 Sqm</td>
</tr>
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</table>

(E) Location of Pier Portal

<table>
<thead>
<tr>
<th>Sr.</th>
<th>Pier No</th>
<th>No of Portal</th>
<th>Challet No</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>P3</td>
<td>1</td>
<td>Ch: W3700</td>
<td>Versova</td>
</tr>
<tr>
<td>2</td>
<td>P16, P17</td>
<td>2</td>
<td>Ch: W3360</td>
<td>Versova</td>
</tr>
<tr>
<td>3</td>
<td>P25, P26</td>
<td>2</td>
<td>Ch: W3085</td>
<td>Versova</td>
</tr>
<tr>
<td>4</td>
<td>P34 to P45</td>
<td>11</td>
<td>Ch: W2800 to W2550</td>
<td>D. N. Nagar C/S</td>
</tr>
<tr>
<td>5</td>
<td>P104 to P108</td>
<td>4</td>
<td>Ch: W900 to W803</td>
<td>Navrang</td>
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<tr>
<td>6</td>
<td>P183 to P188</td>
<td>5</td>
<td>Ch: E1260 to E1420</td>
<td>Chakala</td>
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<tr>
<td>7</td>
<td>P287 to P289</td>
<td>2</td>
<td>Ch: E4160 to E4250</td>
<td>Saki Naka</td>
</tr>
<tr>
<td>8</td>
<td>P299 to P302</td>
<td>3</td>
<td>Ch: E4425 to E4500</td>
<td>Saki Naka</td>
</tr>
<tr>
<td>9</td>
<td>P343 to P356</td>
<td>13</td>
<td>Ch: E5637 to E5690</td>
<td>Asalpha</td>
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<tr>
<td>10</td>
<td>P362 to P383</td>
<td>2</td>
<td>Ch: E6200</td>
<td>Asalpha</td>
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<td>11</td>
<td>P375 to P381</td>
<td>6</td>
<td>Ch: E6525 to E6662</td>
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<td>12</td>
<td>P400</td>
<td>1</td>
<td>Ch: E7236</td>
<td>Asalpha</td>
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<tr>
<td>13</td>
<td>P425</td>
<td>1</td>
<td>Ch: E7300</td>
<td>Ghatkopar</td>
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<tr>
<td>14</td>
<td>P446</td>
<td>1</td>
<td>Ch: E7800</td>
<td>Ghatkopar</td>
</tr>
<tr>
<td>15</td>
<td>P449 to P450</td>
<td>2</td>
<td>Ch: E7900</td>
<td>Ghatkopar</td>
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<tr>
<td>TOTAL</td>
<td></td>
<td>56</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
7.4 Construction

7.4.1 Quality Control Field Laboratory

7.4.1.1 An engineering laboratory will be set up to cater for the quality assurance testing of all civil engineering materials, soils, concretes, cubes etc. to be tested on MRTS project. The lab will be located at depot site area. It will have facilities for compression test for cubes, soil physical and chemical analysis etc. The productivity and quality of testing would be improved by computerization of the system with automatic data acquisitions and printout of results. The concrete tests will also be computerized for easy retrievals and analysis of results. Electronic mail systems will also be adopted for quick response and timely decisions. Quality assurance test on building materials will be carried out to ensure consistency of quality in the construction process. Tests will be carried in the selection of raw materials to be used in production of concrete and continued to site production, supervision and control. Any deficiency observed in quality of material would be taken up immediately with the supplier. All document for testing and analysis will be properly maintained for future references admixture of different types proposed to be used would be checked for their proportions like workability, retardation of setting time and water reduction. Each administrator will be checked for its performance requirements to BS 5075. The manufacturer will be asked to obtain test data from an independent laboratory as required. Routine quality control testing, dry material content, ash content, relative density and chloride Iron content will be determined. The chloride content in admixture shall generally less than 0.1%. The quality test on fine and coarse aggregates will be as per relevant IS Code or as decided by MMRDA and their consultants if any.

7.4.2 Pile Foundation (Cast-In Situ RC Bored Piles)

7.4.2.1 It is proposed to adopt 1.2 meter diameter piles for the major portion of the way structures up to 31 meters span and 1.5 meter diameter piles for 40 meter span and 2 meter diameter piles for 60 meter span. The total number of piles required for way structure for the entire alignment from Versova to Ghatkopar excluding depot and station buildings, including Airport line to Sahar Airport would be about 3200 numbers. The approximate quantity of concrete in piles is 90000 cubic meters and steel is around 12000 metric tones. It is expected that the piles would be 15 meters depth below the ground level and about 18 meter depth for 40 meter and above span. The piles will be anchored in to the hard rock 1.5 – 2.0 meters as necessary. The entire way works would be divided into 2 sections to be executed by two independent agencies. The average progress of the piling work for the entire section would be about 120 piles per month to be completed in a period of 30 months. It is proposed to
complete 4 piles per day by using 4 rotary piling machines including accessories suitable for 1200 mm / 1500 mm / 2000 mm diameter bore (soil make 518 BG-15) piling equipments.

7.4.2.2 Additional piling equipments will be used for simultaneously working for the elevated depot at D.N. Nagar and separately for 13 station buildings to complete entire project within the time schedule of 5 years for commissioning. All piling work is being planned for completion within 36 months from commencement including 6 months for mobilization. The piling points shall be marked with reference to nearby control points by using Total Station. Manual excavation will be done to a depth of 2 meters to check obstruction of underground utility services. Adequate care will be taken to position the piling machine to ensure verticality of the bore without disturbing the already cast pile. Temporary casing will be driven in the borehole by attachment fixed to the piling machine and casing top level will be at least 300 mm above the ground level. The tilt and shift of casing shall not exceed 1.5% and 75 mm respectively. The muck removed during the boring operation shall be disposed off at approved dumping yard with cover-protected dumpers.

7.4.2.3 Bentonite method will be used for boring below temporary casing. Two MS tank of adequate capacity will be used within the barricaded place. Piling of 4 numbers of consecutive pier foundations will be done. Alternatively the above tanks will be kept at suitable location outside the barricaded space without hindrance to common public or kept at suitable location over trailers / trucks without obstruction to common public / traffic.

7.4.2.4 Bentonite solution will be pumped from the above tank into the bored hole and Bentonite coming out from the pile boreholes will be collected into a pit from where used Bentonite will be pumped in to excavated recycled Bentonite pit at pile cap location, where the sand and other material coming out of the bore will be allowed to set. The clear Bentonite will be pumped into steel tank to be re-used. Enough resources will be deployed to ensure that the reinforcement cages will be kept ready at the bar bending fabrication yard with spiral helix tac welded at the both end of the cage. Cover blocks will be provided at 3 meter center-to-center spacing all around the cage to provide uniform cover of 75 mm. The strength of concrete cover block shall be the same as that of the pile concrete. The reinforcement cage will be lowered into the pile bore by crane as one unit (or in segments and lap joints will welded or tied with binding wire to avoid slippage of segment lowered). It should be ensured that adequate length of reinforcement is available for pre embodiment into the pile cap.

7.4.2.5 The borehole will be cleaned before lowering the cage. Specific gravity of the water at the bottom before commencement should not exceed 1.2, Marsh Cone will be used to ensure viscosity of the water collected from the bottom of the borehole. M35 concrete with 10% extra cement will be used for the pile concrete and will be arranged by transit mixer from approved ready mixed batching plant such as L&T, ACC, GODREJ, TARMAC after checking their
design mix at their plant. The slump of the concrete would be between 150 mm – 175 mm at site. The first charge of the concrete will be placed with the floating plug inside the tremmie and with a suitable charged plate at hopper mouth. It should be ensured that one tremmie (2.0 Mtr.) is embedded in concrete at all times to take care of accidental withdrawals. As concrete progresses the tremmie will be dismantled and regular sounding will be taken to ensure extra concrete built up beyond the cut-off level is not less than 1000 mm. When concreting of the pile reached up to one meter of the cut-off level the top one meter detachable casing will be removed and concrete above the cut-off will be gently pushed into excavated pit and pile top shall be finished at the cut-off level.

7.4.2.6 Concrete cubes will be taken for testing compressive strength for concrete quality as per IS456-2000 and cylinders will be taken for permeability test. Number of cubes to be tested will be as per Indian Railways specifications. It should be ensure that concrete temperature does not exceed 40 degree centigrade.

7.4.3 Load Testing Of Piles

7.4.3.1 The initial load test will be done for 2.5 times the designed load and test load may be increased up to 1.25 times the designed test load. The testing will be done by placing hydraulic jacks over the MS girders and plates and as per the procedures led down in IS code of pile testing.

7.4.4 Pile Cap Casting – Methodology

7.4.4.1 The total number of pile caps would be approximately 540 nos. involving a total concrete of 60000 cubic meters and quantity of the steel would be 9000 metric tones, (excluding station buildings and depot). Different sizes of the pile caps will be adopted for different span and pile groups and diameters. It is proposed to complete the pile cap concreting work in a total period of 30 months. This will need an average progress of 18 pile caps per month. It is proposed to cast 1 pile cap per day on an average. This work will be taken up after 3 to 4 weeks of pile casting when sufficient number of pile caps would be available for continuous casting of the pile caps. It is proposed to engage two sets of agencies for the entire work one starting from Versova end towards Andheri station including and the other from Andheri station excluding to Ghatkopar station including.

7.4.4.2 Before commencement of excavation of the pile cap the area will be marked on the ground with reference to control points and center-line pillars. The pile locations will be checked with reference to control points for any shift and will be documented. After leveling to desired level of excavation to PCC M15 grade, 75 mm thick will laid on which the layout of the pile cap will be marked with reference to control points by total stations.
7.4.4.3 The reinforcement bars will be fixed in position with 75 mm cover blocks spaced at not more than 2 meters. It would be ensured that the reinforcement of the pile extends inside the pile cap depth and reinforcement of the pile cap also extends in the pier position as per the drawing. Thereafter the shuttering duly oiled will be fixed with proper props for support. M35 concrete will be poured into the pile cap from transit mixer from the ready mixed concrete plant. The slump will be kept between 75 – 150 mm admixtures will be used within limits specified as necessary to control workability. The shuttering will be removed after 24 hrs. of concreting. The concrete will be cured by ponding method and the sides of the pile cap will be covered by Hessian cloth till back filling is done and kept moisturised with water. Back filling will be done in layers of 300 mm and compacted by plate vibrators. Concrete cubes will be taken for testing compressive strength for concrete quality as per IS456-2000 and cylinders will be taken for permeability test. Number of cubes to be tested will be as per Indian Railways specifications. It should be ensured that concrete temperature does not exceed 40 degree centigrade.

7.4.4.4 The following equipments will be used:

1. Excavator – JCB (0.5 Cubic meter capacity) x 2 Nos.
2. Dumpers – TATA / LEYLAND (6 Cubic meter capacity) x 4 Nos.
3. Welding Generators - 400 AMPS x 2 Nos.
4. Concrete Vibrators – Internal x 4 Nos.
5. Vibrating Needles – 60 mm x 8 Nos.

(Transit Mixers, Concrete Pumps, Batching plants will be from ready-mix concrete supplier.)

7.4.4.5 All safety procedures and barricading of the roads and caution signage to road users will be ensured at site as necessary. Additional staff will be engaged for traffic control to assist the Police department for the security and safety of Public.

7.4.5 Pier Shafts – Methodology

7.4.5.1 The total number of piers along the alignment would be around 540 numbers excluding for stations and depot. The size of the piers for the majority of the spans between 25 – 31 meters would be 2 meter x 2.5 meters and for longer spans beyond 31 meters would be 2.5 x 2.5 meters. For spans lower than 25 meters the size of the column would be about 1.75 x 2.5 meters. The height of the piers from top of the pile cap to the bottom of the pier head is around 4 – 11 meters. The average height would be around 9 meters. The total quantity of concrete involved in piers would be approximately 25000 cu. meters and steel would be 4000 metric tonnes.
7.4.5.2 It is proposed to complete the pier work in 30 months by providing two sets of agencies. The average concrete per month would be 800 cubic meters i.e. approximately 30 cu. meters per day. We have planned to complete about 20 pier shafts per month i.e. average of 1 pier shaft per day. Pier locations and their layouts shall be rechecked with reference to points already established during survey of piles and piles cap.

7.4.5.3 In the reinforcement fabrication yard, advance manufacture of pier reinforcement cages would be taken to ensure that sufficient numbers are kept ready duly fabricated for placing in position so that work is not held up on this account. The vertical reinforcement and necessary transverse reinforcement would be placed in position prior to the concreting of the piles cap. Additional vertical bars if any required to reach concrete up to the pier cap would placed and fixed in position with concrete cover blocks of 50 mm thick for reinforcement cover. Steel formwork fabricated in the yard as per scheme and design up to the full height of the pier to be cast and assembled at site.

7.4.5.4 Formwork will be of standard height with few of non-standard size to cover the full height of the pier. The Formwork before use will be cleaned and applied with the shutter oil before fixing in position. M/45 – M/60 concrete will be used as per design and concrete procured from ready-mix batching plant in transit mixer. The slump will be kept between 75 -- 150 mm. Re-dosing of admixtures within limits will be done in transit mixer. Concrete pump with flexible hose or bucket or tremmie will be used for placing concrete. The height of fall of concrete shall not be more that 2 meters. Needle type vibrators will be use for vibration. Cubes will be taken to check compressive strength. Curing will be done with Hessian cloth after removal of formwork and kept moist with water sprinklers.

7.4.5.5 The equipments proposed are:

1. Vibrator x 4 Nos.
2. Vibrator Needles 60 / 40 mm diameter x 6 Nos.

All safety and quality control procedure will be followed as specified in the manuals to be prepared during construction.

7.4.6 Concrete Portals – Methodology

7.4.6.1 Total numbers of portals required along the alignment are 56 Nos. spread over 15 locations (Statement # 7.3(E)). These portals (Figure 7.10) will be cast along with casting of piers. The location points will be marked with reference to nearby control points using total stations. Center line pillars will be installed for checking the position of the structure. All items
mentioned in the methodology of piles and piles cap and piers for way structure will be followed for casting of portals. All safety methods barricading quality control procedures will be followed. The diversion of road wherever necessary will be done in advance and land acquisition will be done prior to commencement of work at such locations. Minimum of 6.5 meter wide road ensured for temporary movement of traffic. On completion of top beam of portal the centre-line will be marked on top surface in both directions with reference to control points to fix bearing pedestals locations (reflectors, blinkers, diversion boards, proper elimination, painting of barricades etc. will be provided for guiding road users and for their safety).

7.4.7 Pier Caps – Methodology

7.4.7.1 The total number of pier caps to be cast for the way structure will be about 540 numbers excluding stations and depot area involving 15000 cu meter of concrete and 2500 metric tones of steel. The entire work will be planned for completion within 30 months by using two separate agencies as stated earlier. The work of pier caps will be taken up in 3 to 4 weeks after casting of columns. The average progress has been planned at 1 pier cap in 2 days i.e. an average of 1 pier per day per agency. Suitable staging will be erected from ground level to support the formwork. Space will be kept on staging beyond planned dimension of pier caps on all sides for used as a platform for fixing reinforcement, side formwork, concreting and vibrations. The cap length will be design for additional length for accommodating erection scheme for girders and will be used later for inspection facilities.

7.4.7.2 MS railing will be provided at the edge of the pier cap platform and MS railing will be fixed from ground level to climb up to top of the pier cap. The bar bending schedule for the pier cap will be prepared and reinforcement fabricated in the fabrication yard. Adequate numbers will be fabricated in advance and stacked properly so that they are not in contact with ground surface. The reinforcement cage will be transported in trucks and erected in position by crane / manually and fixed in positions providing adequate concrete cover by use of concrete cover blocks. After inspection and checks formwork will be fixed in position. The steel formwork will be fabricated in fabrication yards and will be suitably numbered for ease of assembling before transport to site. The steel formwork surface will be cleaned and oiled before fixing in position. Concrete of required strength M35 shall be poured by concrete pump and received in transit mixtures from ready-mix concrete plant and ensured for desire workability with suitable admixture (use within limit). The concrete will be vibrated suitably and slump test will be taken at site and slump will be between 75 – 150 mm. Concrete cubes will be taken for testing compressive strength for concrete used in the work. The quality of concrete will confirm to IS-456/2000. Permeability test will also be conducted by taking concrete cylinders
during concreting. After removal of formwork adequate curing will be done by watering by user of Hessian cloth storing water on top of pier cap.

7.4.7.3 Equipment such as cranes, concrete pumps, vibrators, will be used as required. The centre-line of the pier cap will be marked on the top surface of the pier cap in both directions from control reference points. Bearing central pedestal locations will be checked with reference to control point before fixing formwork and concreting. Necessary safety procedure, quality control procedures will be followed during this operation and all records documented for future reference.

7.4.8 Girder / Casting Of Segment – Methodology

7.4.8.1 Segments

- The total numbers of spans for the corridor ways structure are 540 numbers with spans varying from 60 meters to 8 meters centre to centre excluding station areas and depots. A single 60-meter span each has been adopted for crossing of Western Express Highway and railway tracks at Andheri. 3 x 40 meters spans have been adopted at different locations for over-road crossing. A common structure arrangement for girders has been adopted for spans varying from 25 – 31 meters and separate structure adopted for spans less than 25 meters. At stations the span are of 15 meters and with beam and slab type arrangement. The concreting of these columns, beam and slabs will be taken up along with station building construction. It will be ensured that way structure station yard girder and slabs are completed ahead of the erection way structure span to provide continuity for erection of main span girder.

- A single span girder for both up and down tracks is to be adopted for all spans. A casting yard for girder segment is proposed to be located at depot site in the open ground area, outside the elevated depot structure. Approximate area required for casting and the storing of the segment would be around 35000 sq. meters. The second casting yard will be located near the Sahar International Airport requiring similar area of 35000 sq. meters.

- This is necessary as it proposed to adopt two separate agencies for the way structure to complete the project in time. Out of the total spans 60% of the spans are on straight and 40% are on curves. Each segment is proposed to be of 3.0 meter in length. It is proposed to pre-cast the segment on long line as well on short line base. Curved span
segment will be essentially cast on long line beds. The segment of straight spans will be cast on long line as well as short lines beds.

- The total number of segments will be 4888 numbers x (Comprising of 1178 end segments and 3710 intermediate segments). The end segment cycle time will be around 4 – 5 days per segment. Intermediate segment cycle time will be around 1 day per segment. The time cycle for 31 meter span girder on long line method will be around 10 days. It has been assessed that about 6 beds per agency will be necessary. The area required for casting yard will cover that for casting beds, moulds, reinforcement fabrication and tying area, reinforcement jigs, stacking area for segments, area for pre-stressing material, other civil and mechanical items, water tanks, lavatory, office blocks, sand blasting pedestals, general inspection area, generator sheds, survey towers, access road, gantries (80 tone capacity x 2 nos. and 10 tone capacity x 2 nos. at each location).

- Approximate assessment of moulds and equipment in casting yard (both sides) are as under:
  1. Long line casting beds less than 25 meters x 2 Nos.
  2. Long line casting beds more than 25 – 31 meters x 6 Nos.
  3. Long line casting beds more than 31 meters x 2 Nos.
  4. Normal moulds x 16 Nos.
  5. Pier segment moulds independent x 4 Nos.
  6. Pier segment moulds for long lines x 8 Nos.
  7. Batching plants x 2 Nos. (Provisional)
  8. Transit Mixer x 6 Nos. (Provisional)
  9. Reinforcement Jigs x 20 Nos.
  10. Goliath Cranes 80 tone capacity 30 meter span x 4 Nos.
  11. Goliath Cranes 10 tone capacity 20 meter span x 12 Nos.
  12. Needle vibrators x 12 Nos.
  13. Concrete pumps x 2 Nos.
  14. Welding sets x 2 Nos.
  15. Total stations x 2 Nos.
  16. Auto levels x 2 Nos.
  17. Theodolite x 2 Nos.
7.4.8.2 Moulds

The assessment of the number of moulds of different types has been based on an assumption of completing the castings of all segment in a period of 30 months. Further detailed assessment will be done at the time of execution to access the numbers required of different types to complete the project in the stipulated period. Detailed drawings will be prepared for the moulds of different type and different spans including for bottom shuttering and site shuttering. A traveling gantry will be provided for the movement of side shuttering with provision to cast to intermediate segment simultaneously one from each end. A detail drawing of sequence of costing will be prepared before taking up casting operation. Detail assessment of time cycle for casting/curing/storing of end segments and intermediate segments will be made out during execution to the satisfaction of the nominated consultant if any / MMRDA. It is assessed that the time cycle for each girder of say 31 meter span will be around 10 days. Details of typical casting sequence and time cycle for casting segments for 31 meter span are shown in the tables at Para 7.4.8.8 (A), (B), (C) & (D).

7.4.8.3 Reinforcement Jig

This will be fabricated to provide a 40 mm clear cover for reinforcement with adequate spare jigs as stand bys. The reinforcement cage shall be cut and bend by using cutting and bending machines in the yard and checked through respective reinforcement jigs. Sheathing shall be fitted inside reinforcement cage at the required dimensions and approved tendon profile drawings and held in position by chairs and hooks. The cage will be lifted by 10 tone capacity EOT crane ensuring no distortion of the cage. M45 concrete / plastic semi circular cover blocks will be used to provide 40 mm cover.

7.4.8.4 Concreting

The sequence of the concreting will be as follows:

1. Soffit Slab
2. Half height of web –1
3. Half height of web –2
4. Remaining half height of web –1
5. Remaining half height of web –2
6. Top flanges
M45 grade concrete based on the design with slump of 80 - 130 mm shall be used and transported by transit mixers. The concrete shall be vibrated by vibrators of various sizes of various diameters like 40 mm / 60 mm etc. cubes will be cast for respective ports for testing. For separation of matched cast segment a suitable bond breaking material (e.g. Concore, WB FOSROC will be used on the surface of previously cast surface). All fixtures of OHE mask bolts and drainage spouts etc. will be provided at specified locations as detailed in the drawing.

7.4.8.5 Geometry Controls

Geometry Controls will be ensured by providing survey towers on one side and target pole on the opposite side of the casting bed. The centre line will be marked on a plate embedded in the segment with nails / bolts embedded at the center of each of top flanges for measurement of levels (wider space used on curved span). The bottom shuttering is fixed to the pedestals as per actual profiles. During subsequent casting the matching of the centre line along the crown and top levels of top flanges are controlled.

7.4.8.6 Curing Of Segments

Curing compound will be used for curing after casting of segment and application on all exposed surfaces after initial set and surface kept moist. Shuttering can be removed after concrete has achieved M15 crushing strength. The matched cast segment will be fitted with lifting frame in the lifting holes provided at the time of casting. The lifting will be done from Soffit shutter by 80 tone capacity EOT crane and place in stacking yard. The lifting of segment will be done after achieving the strength of M25.

7.4.8.7 Pier Segments

Pier Segments need not be pre-stressed transverse at the time of match casting. It shall be pre-stressed before erection as per detail specification led down by the designer. Sand blasting of the concrete segment shall be done gently for 5 minutes so as to avoid any damage and remove any de-bonding agents, laitance, dirt, and foreign material before transportation. The sand blasting will be done by the combination of dry fine sand and compressed air through sand blaster equipment.
7.4.8.8 Segment Castings – Activities and Time Cycle

(a) Activities for Casting of Each Intermediate Segment

<table>
<thead>
<tr>
<th>S.No.</th>
<th>ACTIVITY</th>
<th>TIME (Hours)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Demoulding of previous segment collapse of internal shuttering and sliding of the external formwork.</td>
<td>1.0</td>
</tr>
<tr>
<td>2.</td>
<td>Clean the bed for the next segment.</td>
<td>0.5</td>
</tr>
<tr>
<td>3.</td>
<td>Shift mould to next location and external shutters (cleaning &amp; oiling done parallelly).</td>
<td>1.0</td>
</tr>
<tr>
<td>4.</td>
<td>Apply debonding agent.</td>
<td>0.5</td>
</tr>
<tr>
<td>5.</td>
<td>Place the rebar cage with the help of 10T Gantry.</td>
<td>1.0</td>
</tr>
<tr>
<td>6.</td>
<td>Fix the sheathing pipe including its connection to match cast segment.</td>
<td>1.0</td>
</tr>
<tr>
<td>7.</td>
<td>Inspection by client.</td>
<td>1.0</td>
</tr>
<tr>
<td>8.</td>
<td>Move the internal shutter and fix the same butting against match cast segment.</td>
<td>1.0</td>
</tr>
<tr>
<td>9.</td>
<td>Provide inserts, holes etc. for lifting and temporary prestressing.</td>
<td>1.0</td>
</tr>
<tr>
<td>10.</td>
<td>Fix end stopper.</td>
<td>1.0</td>
</tr>
<tr>
<td>11.</td>
<td>Inspection &amp; approval from client for concreting.</td>
<td>1.0</td>
</tr>
<tr>
<td>12.</td>
<td>Concreting of the segment.</td>
<td>2.0</td>
</tr>
<tr>
<td>13.</td>
<td>Setting time for concrete.</td>
<td>12.0</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>24.0</td>
</tr>
</tbody>
</table>

(b) Time Cycle for Casting Pier Segment S1 on Long Line Bed

<table>
<thead>
<tr>
<th>S.No.</th>
<th>ACTIVITY</th>
<th>TIME (Hours)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Clean the bed.</td>
<td>0.5</td>
</tr>
<tr>
<td>2.</td>
<td>Fix the external formwork including cleaning and oiling.</td>
<td>1.5</td>
</tr>
<tr>
<td>3.</td>
<td>Install special shuttering plates at bearing locations.</td>
<td>0.5</td>
</tr>
<tr>
<td>4.</td>
<td>Fix the anchorages.</td>
<td>2.0</td>
</tr>
<tr>
<td>5.</td>
<td>Lower the rebar cage and move inside by 10T Gantry.</td>
<td>1.0</td>
</tr>
<tr>
<td>6.</td>
<td>Attach the sheathing pipe to the trumpet.</td>
<td>1.0</td>
</tr>
<tr>
<td>7.</td>
<td>Inspection by client.</td>
<td>1.0</td>
</tr>
<tr>
<td>8.</td>
<td>Fix end stopper from one side.</td>
<td>1.0</td>
</tr>
<tr>
<td>9.</td>
<td>Provide inserts, holes etc. for lifting and temporary prestressing.</td>
<td>1.0</td>
</tr>
<tr>
<td>10.</td>
<td>Fix and shutter toward span side and close the formwork including fixing of internal shuttering to both sides and stoppers.</td>
<td>1.0</td>
</tr>
<tr>
<td>11.</td>
<td>Inspection and approval from client for concreting.</td>
<td>1.0</td>
</tr>
<tr>
<td>12.</td>
<td>Concreting of the segment.</td>
<td>3.0</td>
</tr>
<tr>
<td>13.</td>
<td>Setting to time fro concrete &amp; waiting period for stressing.</td>
<td>72</td>
</tr>
<tr>
<td>14.</td>
<td>Demoulding.</td>
<td>1.5</td>
</tr>
<tr>
<td>15.</td>
<td>Stressing.</td>
<td>2</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>80</td>
</tr>
</tbody>
</table>
(c) Time Cycle for casting of 31 M span on long line

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Operation</th>
<th>Total Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Cast S1 and place S11 and make ready for match casting.</td>
<td>0 – 2 days</td>
</tr>
<tr>
<td>2</td>
<td>Cast S2, S10</td>
<td>2 – 3 days</td>
</tr>
<tr>
<td>3</td>
<td>Cast S3, S9 / Lift S1, S11.</td>
<td>3 – 4 days</td>
</tr>
<tr>
<td>4</td>
<td>Cast S4, S8 / Lift S2, S10.</td>
<td>4 – 5 days</td>
</tr>
<tr>
<td>5</td>
<td>Cast S5, S7 / Lift S3, S9.</td>
<td>5 – 6 days</td>
</tr>
<tr>
<td>6</td>
<td>Cast S6 / Lift S4, S8.</td>
<td>6 – 7 days</td>
</tr>
<tr>
<td>7</td>
<td>Lift S5, S7</td>
<td>7 – 8 days</td>
</tr>
<tr>
<td>8</td>
<td>Lift S6.</td>
<td>8 – 9 days</td>
</tr>
</tbody>
</table>

7.4.9 Girder Erection – Methodology

1) The main components of the launching girder are:

   a) Main Box Girder
   b) Front, Middle and Rear Support
   c) Rear Trolley and Slider Beam
   d) Moving Trolley
   e) Counter Weight
   f) Fixed Mounted Hoist

2) Stages of erection includes:

   a) Transportation of segments from casting yard to bridge site.
   b) Lifting of Segments
   c) Dry matching, gluing and temporary pre-stressing of segments.
   d) Post Tensioning works
   e) Erection Sequence for pre-cast segments
   f) Auto launching of launching girder
   g) Erection Cycles

3) Launching Erection Works will be handled by two agencies. Each agency will have approximately 270 – 300 girders to be launched. The segments will be cast at the yard at D.N.Nagar depot and the other at the Airport yard at Sahar.

4) Configuration of Bridge and Launching Girder
   a) Maximum span length 31 meters.
   b) Minimum span length 17 meters.
   c) Maximum steepest gradient 2.5%
   d) Maximum weight of the segment 80 tones.
   e) Maximum length of the segment 3 meters
   f) Minimum horizontal radius 100 meters
   g) Total height of intermediate segment 2 meters
   h) Total end segment 3 meters
   i) Segment glued or dry jointed Epoxy Glue
   j) Loading of Segments from ground

5) Staff required – Each launcher would need 2 x Engineers, 2 x Foremen, 30 x Workers, Servers and Erection Manager.

6) Steps Involved in Operations at Site
   a. Transportation and Unloading of segments at site
   b. Sparr Erection
   c. Epoxy Gluing
d) Temporary pre-stressing works
e) Post Tensioning
f) Lowering on Temporary Bearings
g) Permanent Bearings / Vertical Bearing and Shear Key Installations.
h) Hand Drilling
i) Expansion Joints.

7) Launching Works

a) The transverse stressing and grouting of pier segments will be done at the storage yard and sand blasting of the joining surfaces before transportation to site (see Photo 7.1).

Photo 7.1 - Storage yard

b) Segments will be loaded on trailer by 80 tones capacity Gantry crane in sequence with adequate packing and tie with slings / ropes and trailer transported to the site (see Photo 7.2).

Photo 7.2
c) Transport of segments will be done at night and about 6 trailers will be required at each site for erection works. The segments will be unloaded from the trailer in sequence by using 80 tone hoist of the launcher. Segments will be lifted to the launcher and hung on to the suspender bars one at a time.

8) Span Erection

a) For erection of segment the launcher have to be erected over the pillars.

b) For the first span launcher will be erected on temporary structure consisting of cribs (designed to suit the span length)

c) The main components of the launching girder are:
   - Box Girder
   - Front, Middle and Rear support
   - Rear Trolley Support
   - Slider Beam
   - Counter Weights
   - Fixed Mounted Hoists

d) The main box girder consists of 7 box type steel structures of length 9.25 meter each, spliced together by 24 diameter HSFG bolts.

9) Launching Girder

a) The launching girder main box structure rests on 4 supports viz. Front Support, Middle support, Rear Support and Rear Trolley. Front support consists of Top Beam, Bottom beam, Top Vertical legs and Telescopic Legs with built-in height adjustments. Telescopic leg moves on top of the vertical leg and can be locked using 100 mm diameter pins. Where entire support rest on concrete pedestals on the front pier and is secured to the pier cap by 4 numbers, 32 mm diameter anchor bolts (Photo 7.3). Vertical adjustment of support is done by 2 hydraulic jacks of 150 tonnes capacity. Side shifting of front support with reference to launching girder is not possible. Middle support is located at rear pier segment of the span. During erection the weight of the whole launcher is supported by front support and middle support and level adjusted by using 4 numbers of single acting hydraulic jacks of 200 ton capacity. Longitudinal pushing and breaking device is clamped to the top beam of the middle support with attachment to a monorail underneath the launching girder. This assembly ensures
forward movement of launching girder with 40 tonnes double acting jacks for its operations and connecting it to monorail is done by 40 mm diameter pins. The rear support is similar to middle support without the pushing device assembly but has a breaking device assembly. Four numbers of 100 tones jacks are used in the assembly to withstand the load of the launcher during auto launching operations. The rear support consists of pair of A – Type truss support connected to 4 wheels on each truss moving on a pair 90 lbs rails at distance of 4.7 meters distance c/c laid on completed span. The truss will be connected to each other by a pin connection to the top beam to facilitate vertical rotation movement during auto launching. The launching girder can be locked with respect to this support by 4 sets of Macalloy bars passing through brackets in launching girder and movable brackets consisting of 2 ISMC 200 and bolted at top and bottom.

(b) Sliding Beam

Consists of assembly of 2 numbers of build up I section connected by stiffeners. The sliding beam rest on 70mm x 40mm plates with 3 mm stainless steel plate fitted on top of the launching girder's main box. Each sliding beam will have one number of suspender bars on each end. The slider beam will have 2 suspended bars at each end which move 500 mm on either sides in transverse direction while maintaining constant distance of 5.8 meters among themselves. The suspended bars and the assembly will then be connected to the temporary pre-stressed beam, which has been fixed to the segment. Longitudinal movement of the segment will be done by using high tensile wires attached to the sliding beam and pulled by mono jacks from one end of the launcher.
(c) Moving Trolley

- Moving Trolley is an independent device consisting of 2 tools resting on a platform mounted on 4 wheels and is mainly used for forward movement of middle support and rear support. Moving trolley is also useful for temporary supporting the rear support and middle support for minor repairs and maintenance of the support jacks.

(d) Counter Weight

- Counter weight is provided in the form of pre-cast concrete segments, which will remain fixed at rear end of the launching girder during the entire launching process. This counter weight balances the launcher during auto launching of the launching girder.

e) Fixed Mounted Hoist

- An electrically operated device fixed over a bracket at a distance of 13.4 meters from the front end of the launching girder. Lifting capacity of Hoist is 80 tonnes and is used for lifting segments during erection stages. (Photo 7.5).
f) First Span Erection

- A temporary support is required to support the launcher by erection of trestles TR1, TR2, TR3.
- Erect stool over the pier and erect front support over the next pier.
- Erect box S1 and connect it to the front support so that the box S1 will sit on the front support while the other will sit on the trestle TR1.
- Box S2 are connect to box S1 by splicing plate and 24mm Ø HSFG bolts. This box S2 will rest on trestle TR1 at one end and TR2 at the other and (Photo 7.6)
- Erect box S3 and connect it to box S2 so that box S3 will rest on trestles TR2 and TR3.
- Erect middle support over stool –1 on the first pier.
- Erect box S4 and connect it box S3. Thus the S4 will rest on trestle TR3 at one end and middle support at the other (Photo 7.7).
- Remove trestle TR1 & TR2 but maintain TR3.
- Erect TR1 and TR2 behind the pier.
- Erect box S5 and connect it to box S4. Thus the S5 will rest on TR1 and TR2.
- Remove trestle TR3 from front of the pier and erect it behind TR2.
- Erect box S6 and connect it to box S5. Thus box S6 will rest on TR2 and TR3.
- Erect Crab Hoist, brackets for mono-jacks and sliding beams on the launcher at box S1 and S2.
- Erect temporary trestle over the pier and counter weight over the trestle.
- Erect extra two numbers of sliding beams on the launcher and hang counter weights to these extra sliding beams. Slide the counter weights to box S6.
- Remove temporary trestles from the pier and the span is ready for the erection of segments.
(g) Erection of Segments (Procedure for 31.0 meter Span)

- Move all the slider towards the span to be erected and install 250-ton flat-jacks, two numbers of each side of the pier cap to received pier segments of S1 and S11.
- Position the trailer under 80 ton hoist and attached lifting beams hanging from the hoist to temporary pre-stressing beam attached to the segment at the soffit level and lift and rotate the segment to the required direction and height.
- Attached suspender bar assembly of first sliding beam to the temporary pre-stressed beam and slightly lift the suspender bars by a mono jack and thereafter detached and remove the lifting beam together with the suspended segment to position (Photo 7.8).
- Repeat procedure for segments S1, S2, S3, S4, S5 & S6 and move the remaining segment beams towards segment 6.
- Lift pier segment S11 and position it as near as possible to the shear key of the front pier.
- Lift segment S10 and park it over segment S11.
- Lift segment S9, S8 & S7 and position them at their respective location (Photo 7.9).
- Arrange the segment by adjusting the level and alignments of pier segments S1 & secure it against any movement similarly adjust segment S2 to match it to segment S1 (Dry match) and then detach it from segment S1.
- Apply Epoxy Glue to the matched surface of segment S1 & S2 with a thin 1mm thick layer to manufacturers recommendations and match the surface of segments S1 & S2 together.
- Carry out temporary pre-stressing of segments S1 & S2 Macalloy Bars.
- Repeat the process to the rest of the segments.
(h) Post Tensioning Works

- Insert pre-stressing tendons and carry out longitudinal pre-stressing of the entire span.
- After pre-stressing the load is transferred on to temporary bearings. There after release the suspenders and remove the temporary pre-stressing beams and move the sliding beams towards the counter weight side.
- Launching girder is ready for auto launching.

(i) Auto Launching

- Auto launching involves forward movement of launching girder from the previously erected span to the span to be erected.
- All safety precautions will have to be taken for auto launching as specified in working drawings to be prepared during project execution.
- Bring the slider beam backwards so as to rise at 4.45 M from the end of the launching girder (near Rear Trolley) and all other slider beams rests in succession at spacing of 0.9M.
- Erect rail over the span and the rear support of segment S1 on the completed spans and jack it up to take a load of min 10 tons of launcher.
- Transfer the load to the rear support and remove the middle support and stool 1 from pier.
- Erect middle support on segment S11 on completed span and jack the middle support to take a min of 10-ton load of the launcher.
- Slide all sliding beams and counter to box S2 & S3 of the launcher and close the leg of the front support.
- Open the jacks of the middle support and the rear support by 100mm and release trestles TR1, TR2 & TR3 from vertical and horizontal roads.
- Slide the launcher forward by 4M using pushing and breaking device.
- Push the launcher forward to the optimum stroke.
- Lock the pin of the breaking device to the monorail and thereafter free the jack from the monorail.
- Lock the pin of the pushing device and release the pin of the breaking device of the monorail and the launcher is ready for the next round of movement forward. This process is repeated until the launcher reaches the required position. It should ensure that one of the pins must always be slotted in all times to avoid accidents.
- Move the sliding beam and the counter weight and slide the launcher girder another 5M.
- Slide the sliding beam and counter weight to box S5 & S4 after erecting box S7 over trestles TR2 & TR3 and connecting by splicing.
- After realizing all the trestle free of load slide the launcher forward by another 10M and the sliding beams and counter weights over box S7 & S6 at a distance of 7M from the end of the launcher.
- Slide the launcher forward by another 9.5 M and remove trestles TR1, TR2 & TR3.
- After opening the front support leg and anchoring it to pier cap slide all the sliding beams and counter weights to box S1, S2 & S3.
- Slide the rear support from segment S1 to Segment S9. Erect rear trolley support at segment S2 slide all sliding beams and counter weights to box S5 & S6 and lowered down the middle support jacks so that the soffit of the launcher touches the top of the rear trolley support.
- Lock the rear trolley support to the launcher and slide the counter weights to the final position of box S7.
- Remove the two extra sliding beams and slide all the sliding beams to box S7 & S6.
- Slide the launcher forward to the final position anchor the jacks of the front support to the pier cap and the jacks of the rear support should just touch the soffit of the launcher without taking any load.
- Then the launcher is ready for the next span erection.

j) Erection Cycle

- In the initial stages the erection cycle may be as long as 10 days per span initially but this will get reduced to optimum cycle of 3 days per span.

**SUMMARY OF ERECTION CYCLE TIME FRAME**

<table>
<thead>
<tr>
<th>ITEM</th>
<th>ACTIVITY</th>
<th>DURATION (MINUTES)</th>
<th>(HOUR)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A1</td>
<td>Preparation works</td>
<td>120</td>
<td>2.00</td>
</tr>
<tr>
<td>A2</td>
<td>Erection works with 11 segments</td>
<td>385</td>
<td>6.20</td>
</tr>
<tr>
<td>A3</td>
<td>Total time rearrange the segments (11 nos.)</td>
<td>550</td>
<td>9.17</td>
</tr>
<tr>
<td>A4</td>
<td>Total stressing time</td>
<td>1140</td>
<td>19.00</td>
</tr>
<tr>
<td>A5</td>
<td>Auto Launching Works</td>
<td>1665</td>
<td>27.27</td>
</tr>
</tbody>
</table>

**TOTAL LAUNCHING CYCLE** = 3860 = 64.33

= 64.33/24 = 2.68 = 3 Days
(k) Other Works

- Other works will start as soon as the launcher moves from the completed span. This is done so as to interfere with the launching works.

- These works include:
  a) Span adjustment.
  b) Remove temporary bearing.
  c) Permanent bearing installation.
  d) Grouting of tendons.
  e) Installation of Shear key.
  f) Installation of Vertical bearings.
  g) Cable Trough.
  h) Hand Railing.
  i) Expansion joints.

7.5 Details of Hydraulic Equipments / Miscellaneous Items

<table>
<thead>
<tr>
<th>S.No.</th>
<th>Item Description</th>
<th>Unit</th>
<th>Qty.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Jacks and Power Pack</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.1</td>
<td>Front Support</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(a) Hydraulic Jack 150 T capacity Stroke 250mm Single</td>
<td>Nos</td>
<td>2</td>
</tr>
<tr>
<td></td>
<td>Acting with threaded ram, lock nut and base plate of</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>size 300mm x 300mm x 25mm.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(b) Power Pack to operate the above jacks simultaneously</td>
<td>Nos</td>
<td>1</td>
</tr>
<tr>
<td>1.2</td>
<td>Middle Support</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(a) Hydraulic Jacks 200 T capacity 300mm strike single</td>
<td>Nos</td>
<td>4</td>
</tr>
<tr>
<td></td>
<td>acting with threaded ram &amp; lock nut plate of size 400mm x 400mm x 25mm.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(b) Power Pack to operate the above four jacks simultaneously</td>
<td>Nos</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>(c) Longitudinal launching jacks 40 t capacity, Double acting with a stroke of 1200mm including over valve.</td>
<td>Nos</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>(d) Power Pack to operate above jacks.</td>
<td>Nos</td>
<td>1</td>
</tr>
<tr>
<td>1.3</td>
<td>Rear Support</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(a) Hydraulic Jack 100 T capacity Stroke 300mm Single</td>
<td>Nos</td>
<td>4</td>
</tr>
<tr>
<td></td>
<td>Acting with threaded ram, lock nut and base plate of size 300mm x 300mm x 25mm.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(b) Power Pack to operate the above jacks simultaneously</td>
<td>Nos</td>
<td></td>
</tr>
<tr>
<td>1.4</td>
<td>Rear Trolley</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(a) Hydraulic Jacks 100 T capacity 300mm strike single</td>
<td>Nos</td>
<td>2</td>
</tr>
<tr>
<td></td>
<td>acting with threaded ram &amp; lock nut plate of size 300mm x 300mm x 25mm.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(b) Power Pack to operate the above 2 jacks simultaneously</td>
<td>Nos</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>(c) Central Hole jack for pulling 26.5mm Mac alloy bar with stroke of 200mm.</td>
<td>Nos</td>
<td>1</td>
</tr>
</tbody>
</table>
## 1.5 Slider Beams

<table>
<thead>
<tr>
<th>Description</th>
<th>Unit</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Hydraulic Jack with Central hole capacity 60T and stroke 200mm for moving suspenders of 40mm dia Mac alloy bars.</td>
<td>Nos</td>
<td>2</td>
</tr>
<tr>
<td>(b) Hydraulic jacks for transverse movement of slider beam capacity 20T, Double Acting, stroke 600mm.</td>
<td>Nos</td>
<td>2</td>
</tr>
<tr>
<td>1.6 Jacks for Supporting and Lowering the span after launching capacity 200T single Acting with lock nut maximum height 250mm.</td>
<td>Nos</td>
<td>4</td>
</tr>
</tbody>
</table>

## 2 Macalloy Bars

<table>
<thead>
<tr>
<th>Description</th>
<th>Unit</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) 40mm dia Macalloy bars as suspended each of length 6.5m.</td>
<td>Nos</td>
<td>22</td>
</tr>
<tr>
<td>(b) Nut of dia 51mm to suit 40mm dia suspenders.</td>
<td>Nos</td>
<td>44</td>
</tr>
<tr>
<td>(c) 26.5mm dia Mac alloy bar for side shifting over rear trolley.</td>
<td>M</td>
<td>11.8</td>
</tr>
</tbody>
</table>

## 3 Hoist / Winches

<table>
<thead>
<tr>
<th>Description</th>
<th>Unit</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) 80 T capacity hoists resting over brackets of launching girder for fitting of segments.</td>
<td>Nos</td>
<td>1</td>
</tr>
<tr>
<td>(b) Mono jacks for pulling slider beams capacity 10 T.</td>
<td>Nos</td>
<td>2</td>
</tr>
<tr>
<td>(c) 10 T Hoist for shifting supports operating on monorail attached to bottom of L.G.</td>
<td>Nos</td>
<td>1</td>
</tr>
</tbody>
</table>

## 4 Wire Ropes

<table>
<thead>
<tr>
<th>Description</th>
<th>Unit</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) 20mm dia wire rope for pulling sliders beams.</td>
<td>M</td>
<td>60</td>
</tr>
<tr>
<td>(b) 40mm dia rope assembly for lifting segments.</td>
<td>M</td>
<td>100</td>
</tr>
<tr>
<td>(c) Thimble for 40mm dia wire rope assembly.</td>
<td>Nos</td>
<td>44</td>
</tr>
<tr>
<td>(d) Aluminum grip foll for 40mm dia wire rope assembly.</td>
<td>Nos</td>
<td>88</td>
</tr>
</tbody>
</table>

## 5 Miscellaneous

<table>
<thead>
<tr>
<th>Description</th>
<th>Unit</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>5.1 Bolts for Launching Girder splices 24mm dia. HSFG bolts</td>
<td>Nos</td>
<td>2100</td>
</tr>
</tbody>
</table>

---

*Mass Rapid Transit System On Versova – Andheri – Ghatkopar Corridor*
TYPICAL CROSS SECTION OF 60m SPAN

DETAILS OF CROSS-SECTION AT MID SAPN FOR 60m

ANDHERI - GHATKOPAR MRT SYSTEM
VIADUCT DETAILS - SHEET 1

FIGURE 7.1
TYPICAL CROSS SECTION OF 40m SPAN

DETAILS OF CROSS-SECTION AT MID SAPN FOR 40m

PILE CAP LEVEL SECTIONAL PLAN FOR 40m
PILE CAP LEVEL SECTIONAL PLAN FOR 25–31m

TYPICAL CROSS SECTION OF 25–31m SPAN

DETAILS OF CROSS-SECTION AT MID SAPN FOR 25–31m
SEGMENT LAYOUT 25-31m L-SECTION
PILE CAP LEVEL SECTIONAL PLAN FOR \( \leq 22 \text{m} \)

TYPICAL CROSS SECTION OF \( \leq 22 \text{m} \) SPAN

DETAILS OF CROSS-SECTION AT MID SPAN FOR \( \leq 22 \text{m} \)

ANDHERI - GHATKOPAR MRT SYSTEM

VIADUCT DETAILS - SHEET 7

FIGURE 7.7
SEGMENT LAYOUT <= 22m L-SECTION
BEARING ARRANGEMENT
FOR ALL SPANS OF WAY STRUCTURE

LEGEND:
1. POT BEARING
2. AXIS OF ROTATION IN VERTICAL PLANE
3. DIRECTION OF ROTATION MOVEMENT

EPoxy BOxED
SECTION JOINT

DETAIL-2
FOR ALL SPANS OF WAY STRUCTURE

SECTIONAL PLAN B-B
FOR ALL SPANS OF WAY STRUCTURE

DETAIL-4
FOR ALL SPANS OF WAY STRUCTURE

ANDHERI - GHATKOPAR MRT SYSTEM
VIA DUCT DETAILS - SHEET 9

FIGURE 7.9
STEP 1

SEGMENT S1 CAST IN PLACE AND S11 TO CAST ELSEWHERE & KERP IN PLACE

STEP 2

SEGMENT S2 MATCHCAST WITH S1 AND S10 MATCHCAST WIT S11

STEP 3

SEGMENT S3 MATCHCAST WITH S8 AND S9 MATCHCAST WITH S10

STEP 4

SEGMENT S4 MATCHCAST WITH S3 AND S9 MATCHCAST WITH S8

STEP 5

SEGMENT S5 MATCHCAST WITH S4 AND S7 MATCHCAST WITH S8

STEP 6

SEGMENT S6 MATCHCAST WITH S9 & S7

VERSOVA-ANDHERI-GHATKOPAR [MRTS]
SEQUENCE OF GIRDER CASTING
CHAPTER - 8
TRACK - METHODOLOGY

8.1 Construction Method

8.1.1 Track for the entire alignment including depot and Airport line will be elevated except for the portion for alternative depot site at Ghatkopar where it will be at ground level. It is, therefore, proposed to provide non-ballast track for the entire elevated portion including depot and a ballasted track for the ground level portion for the alternative depot site at Ghatkopar.

8.1.2 Considerations shall be given to the design of the trackform with derailment protection in mind. The principle of derailment protection shall be to contain a derailed train to within the trackform in order to:

- minimize risk to passenger safety
- minimize damage to surrounding structure
- minimize damage to the track
- minimize damage to the rolling stock

8.1.3 The work of providing concrete blocks for the non-ballast tracks will commence on completion of viaduct deck construction and progress will be maintained. The pre-cast RCC Blocks will be provided as per the design standards and the specifications of the Ballast less track for standard Gauge. After adequate curing of blocks, track fittings installation and track laying will commence. The track will be laid by using 10 rails / 3 rail panels made from 60 Kg/m rail section conforming to IRS / UIC specifications to form CWR / LWR track with joints by A.T. welding as per Indian Railways specifications. The track fittings will include Rubber pad on Steel shims, Pre-cast track bolts, CI bearings, Pandrol clips/ Vossloh fittings & Grooved Rubber Pads.

8.1.4 The total route kilometers are as under:

(a) Versova to Ghatkopar - 11.588
(b) Air Port side - 1.265 12.853 D/L
(c) D N Nagar- Depot Entry S/L 0.37 km
(d) Alternative Car Depot at Ghatkopar end - S/L 1.74 Km
(e) Car Shed - S/L 5.0 Km
(f) No of Turn Outs in yard at D N Nagar - 1 in 9 & 1 in 6
   i) No of Turn Outs in Main Line 1 in 9 27 Nos.
   ii) In the Car Depot - 1 in 6 25 Nos.

(g) Total track length 60 Kg – 26.076 Km & 52 Turnouts/ 49 Kg – 5.370 Km

Making a total track length of- 31.446Km, of which 60 Kg is 26.076 and 52 Turnouts/ 49 Kg is 5.370

8.1.5 It is proposed to complete the entire track work including welding and destressing etc in a total period of 26 months. The total track length involved including yards is around 32Kms and the monthly progress therefore has been planned at the rate of 2Kms per month engaging two agencies the average progress per agency will be 1Km per month. The destressing welding etc will be done in the remaining period of 10 months. The track tolerances will be as per the International Standards of Standard Gauge.

8.1.6 The rail expansion joints for continuous welded track will be designed to suit the rail temperature ranging from 10 deg to 60 deg C. The ballasted track will be laid on 250mm thick ballast bed at sleeper density of 1540 PSC sleepers per kilometer. PSC sleepers to standard gauge designs for yard lines and turnouts of proven design will be provided.

8.1.7 Buffer stops will be provided to standard designs at Ghatkopar and Versova and Sahara station and in yards. The numbers of Buffer Stops are 26.

8.2 Summary of Track Components

Summary of the track materials required for the entire track work is given below:

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>ITEM</th>
<th>Unit</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>RAILS 60Kg</td>
<td>MT</td>
<td>3200</td>
</tr>
<tr>
<td>2</td>
<td>RAIL 52 Kg for Yard (OR 49 Kg)</td>
<td>MT</td>
<td>600</td>
</tr>
<tr>
<td>3</td>
<td>CHECK RAILS</td>
<td>MT</td>
<td>600</td>
</tr>
<tr>
<td>4</td>
<td>CONCRETE BLOCKS</td>
<td>Nos</td>
<td>1,00000</td>
</tr>
<tr>
<td>5</td>
<td>RUBBER PADS</td>
<td>Nos</td>
<td>1,00000</td>
</tr>
<tr>
<td>6</td>
<td>GROOVED RUBBER PADS</td>
<td>Nos</td>
<td>1,00000</td>
</tr>
<tr>
<td>7</td>
<td>ERC CLIPS</td>
<td>Nos</td>
<td>2,00000</td>
</tr>
<tr>
<td>8</td>
<td>PANDROL CLIPS</td>
<td>Nos</td>
<td>2,00000</td>
</tr>
<tr>
<td>9</td>
<td>S.E.J</td>
<td>Nos</td>
<td>12</td>
</tr>
<tr>
<td>10</td>
<td>BUFFERS STOP</td>
<td>Nos</td>
<td>26</td>
</tr>
<tr>
<td>11</td>
<td>WELDING JOINTS</td>
<td>Nos</td>
<td>2500</td>
</tr>
<tr>
<td>12</td>
<td>49/62 Kg, 1:6 Turnouts with fittings and PSC Sleepers.</td>
<td>Nos</td>
<td>25</td>
</tr>
<tr>
<td>13</td>
<td>60 Kg, 1:6 Turnouts with fittings and PSC Sleepers</td>
<td>Nos</td>
<td>27</td>
</tr>
</tbody>
</table>
### P-way Works at D.N. Nagar Car shed

<table>
<thead>
<tr>
<th>Sr. No</th>
<th>Description of items</th>
<th>Unit</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Supplying 52/49 kg (T18) Rails (Plain track and check rail)</td>
<td>MT</td>
<td>520</td>
</tr>
<tr>
<td>2</td>
<td>Laying charges for plain track</td>
<td>Km</td>
<td>5000</td>
</tr>
<tr>
<td>3</td>
<td>Fishplates for 52 kg/49 kg rails</td>
<td>Pair</td>
<td>600</td>
</tr>
<tr>
<td>4</td>
<td>Fish plates Bolts &amp; nuts 52 / 49 kgs</td>
<td>Nos</td>
<td>2400</td>
</tr>
<tr>
<td>5</td>
<td>SKV welding 60kg rails</td>
<td>Nos</td>
<td>200</td>
</tr>
<tr>
<td>6</td>
<td>Supplying Concrete Block sleepers for 52 kg rails</td>
<td>Nos</td>
<td>12000</td>
</tr>
<tr>
<td>7</td>
<td>Supplying GR rubber pad</td>
<td>Nos</td>
<td>11000</td>
</tr>
<tr>
<td>8</td>
<td>Supplying ERC clips</td>
<td>Nos</td>
<td>22000</td>
</tr>
<tr>
<td>9</td>
<td>Supplying Pandrol Clips</td>
<td>Nos</td>
<td>22000</td>
</tr>
<tr>
<td>10</td>
<td>Supply of MS Plates</td>
<td>Nos</td>
<td>12000</td>
</tr>
<tr>
<td>11</td>
<td>Rubber Pad</td>
<td>Nos</td>
<td>12000</td>
</tr>
<tr>
<td>12</td>
<td>Pandrol Clip Assembly Block</td>
<td>Nos</td>
<td>12000</td>
</tr>
<tr>
<td>13</td>
<td>Supplying and fixing the buffer stop in correct position</td>
<td>Nos</td>
<td>25</td>
</tr>
<tr>
<td>14</td>
<td>Laying charges for check rails</td>
<td>Km</td>
<td>1000</td>
</tr>
<tr>
<td>15</td>
<td>Special block for check rail</td>
<td>Nos</td>
<td>1000</td>
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</table>

### P-way Works at Alternative Car shed at Ghatkopar

(By the side Eastern Express Highway)

<table>
<thead>
<tr>
<th>Sr. No</th>
<th>Description of items</th>
<th>Unit</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Supplying 52/49 kg (T18) Rails (Plain track and check rail)</td>
<td>MT</td>
<td>520</td>
</tr>
<tr>
<td>2</td>
<td>Laying charges for plain track</td>
<td>Km</td>
<td>5000</td>
</tr>
<tr>
<td>3</td>
<td>Fishplates for 52 kg/49 kg rails</td>
<td>Pair</td>
<td>600</td>
</tr>
<tr>
<td>4</td>
<td>Fish plates Bolts &amp; nuts 52 / 49 kgs</td>
<td>Nos</td>
<td>2400</td>
</tr>
<tr>
<td>5</td>
<td>SKV welding 60kg rails</td>
<td>Nos</td>
<td>200</td>
</tr>
<tr>
<td>6</td>
<td>Supplying MBC sleepers for 52 kg rails</td>
<td>Nos</td>
<td>6000</td>
</tr>
<tr>
<td>7</td>
<td>Supplying GR rubber pad</td>
<td>Nos</td>
<td>11000</td>
</tr>
<tr>
<td>8</td>
<td>Supplying ERC clips</td>
<td>Nos</td>
<td>22000</td>
</tr>
<tr>
<td>9</td>
<td>Supplying Pandrol Clips</td>
<td>Nos</td>
<td>22000</td>
</tr>
<tr>
<td>10</td>
<td>Supplying ballast</td>
<td>Cum</td>
<td>10000</td>
</tr>
<tr>
<td>11</td>
<td>Laying of ballast</td>
<td>Cum</td>
<td>8000</td>
</tr>
<tr>
<td>12</td>
<td>Supplying and fixing the buffer stop in correct position</td>
<td>Nos</td>
<td>25</td>
</tr>
<tr>
<td>13</td>
<td>Laying charges for check rails</td>
<td>Km</td>
<td>1000</td>
</tr>
<tr>
<td>14</td>
<td>Special block for check rail</td>
<td>Nos</td>
<td>1000</td>
</tr>
</tbody>
</table>

### P-way Works for main line

<table>
<thead>
<tr>
<th>Sr. No</th>
<th>Description of items</th>
<th>Unit</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Supplying 60 kg rails</td>
<td>MT</td>
<td>3200</td>
</tr>
<tr>
<td>2</td>
<td>Supplying 52 kg rails</td>
<td>MT</td>
<td>600</td>
</tr>
<tr>
<td>3</td>
<td>Check rail</td>
<td>MT</td>
<td>600</td>
</tr>
<tr>
<td>4</td>
<td>Concrete block</td>
<td>Nos</td>
<td>100000</td>
</tr>
<tr>
<td>5</td>
<td>MS plate</td>
<td>Nos</td>
<td>100000</td>
</tr>
<tr>
<td>6</td>
<td>Rubber pad</td>
<td>Nos</td>
<td>100000</td>
</tr>
<tr>
<td>7</td>
<td>Pandrol Clip Assembly Block</td>
<td>Nos</td>
<td>100000</td>
</tr>
<tr>
<td>8</td>
<td>Grooved rubber pad</td>
<td>Nos</td>
<td>200000</td>
</tr>
<tr>
<td>9</td>
<td>ERC clip</td>
<td>Nos</td>
<td>200000</td>
</tr>
<tr>
<td>10</td>
<td>Pandrol Clip</td>
<td>Nos</td>
<td>200000</td>
</tr>
<tr>
<td>11</td>
<td>Switch expansion joint</td>
<td>Nos</td>
<td>12</td>
</tr>
<tr>
<td>12</td>
<td>Buffer stops</td>
<td>Nos</td>
<td>26</td>
</tr>
<tr>
<td>13</td>
<td>SKV welding 60kg rails</td>
<td>Nos</td>
<td>2500</td>
</tr>
<tr>
<td>14</td>
<td>Turnout 52 / 49 Kg 1 in 6 with fitting and sleeper</td>
<td>Nos</td>
<td>25</td>
</tr>
<tr>
<td>15</td>
<td>Turnout 52 / 49 Kg 1 in 9 with fitting and sleeper</td>
<td>Nos</td>
<td>27</td>
</tr>
</tbody>
</table>
CHAPTER - 9

STATIONS - PLANNING AND ARCHITECTURE

9.1 Introduction

9.1.1 Elevated Stations

9.1.1.1 Elevated stations are gaining prominence world wide and have been acknowledged as a progressive solution by urban transit planners to relieve traffic congestion caused by increasing number of cars over the years, along with trucks, lorries, buses, motorcycles etc. Therefore, elevated stations are also expected to get appreciated in India as it can separate rail traffic with the road traffic as an alternative to the traditional at-grade rail system.

9.1.2 Location Of Elevated Stations

9.1.2.1 The locations of the stations are recommended by MMRDA, considering space, route and existing development of the area.

9.1.3 Inter-Station Distances

<table>
<thead>
<tr>
<th>From</th>
<th>To</th>
<th>Distance in Km</th>
</tr>
</thead>
<tbody>
<tr>
<td>Versova</td>
<td>D.N. Nagar</td>
<td>1.00</td>
</tr>
<tr>
<td>D.N. Nagar</td>
<td>Azad Nagar</td>
<td>0.80</td>
</tr>
<tr>
<td>Azad Nagar</td>
<td>Andheri – MRTS</td>
<td>1.40</td>
</tr>
<tr>
<td>Andheri – MRTS</td>
<td>Western Exp. Highway</td>
<td>0.94</td>
</tr>
<tr>
<td>Western Exp. Highway</td>
<td>Chakala</td>
<td>1.28</td>
</tr>
<tr>
<td>Chakala</td>
<td>Airport Road</td>
<td>0.74</td>
</tr>
<tr>
<td>Airport Road</td>
<td>Marol</td>
<td>0.65</td>
</tr>
<tr>
<td>Marol</td>
<td>Saki Naka</td>
<td>1.04</td>
</tr>
<tr>
<td>Saki Naka</td>
<td>Subhas Nagar</td>
<td>1.35</td>
</tr>
<tr>
<td>Subhas Nagar</td>
<td>Asalpa</td>
<td>0.74</td>
</tr>
<tr>
<td>Asalpa</td>
<td>Ghatkopar – MRTS</td>
<td>1.00</td>
</tr>
</tbody>
</table>
9.2 Planning Principles

The station serves two groups of users and they are the PASSENGERS and the OPERATORS.

9.2.1 Passengers

- The design of the station fulfills the primary concern of passengers, which is to move through the station as quickly and efficiently as possible.
- The station is user friendly and fully accessible to the physically challenged people.
- Lifts, escalators, staircases are designed at each level at appropriate position so that there is no confusion.
- Clear and direct pathways to and from the platform with adequate queuing areas.
- Visible fare vending machines & other facilities like toilets, refreshments, are also designed in appropriate areas. All these reduce congestions and speeds up passenger flow.

9.2.2 Operators

- Station buildings have been provided with separate area for operators, which is the "Operational Area".
- The heart of the "Operational Area" is the "Station Control Room".
- Staff efficiency is achieved by making access points, all concourse and other public areas visible from the Station Control Room.
- Visibility is required for the security surveillance of the station public spaces, monitoring of passenger flow at escalators and crown control at Platform Level.

9.3 Design Flow

Keeping the passengers and operators in mind the station is designed as follows:

9.3.1 Passengers Area

- The passenger area is divided into two parts:
  - The NON-PAID AREA i.e TICKETING AREA
  - The PAID AREA
• Escalators, staircases, lifts are designed on both sides of the road so that passengers can come to the NON-PAID or TICKETING AREA at the Concourse Level.

• After buying the tickets, they go to the PAID AREA from which there is another set of staircases, escalators and lifts on both sides which lead the passengers to the Platform Level.

• The NON-PAID AREA has ticketing counters with proper queuing facilities, kiosks, ATM etc.

9.3.2 Operational Area

• Operational area is only for the staff so it is placed on one side of the concourse Level.
• Both PAID as well as NON-PAID areas are visible from the Operational Area.
• Vantage points of Operational Area are Station Control Room and Signaling Room.

9.4 The Station in the Community

9.4.1 The overall design ought to send a message to the regional economic and political establishment that WE live in a modern society.

9.4.2 The station buildings will be new and predictable concentrations of people who are the economic and social resource in the community, fostering both Commerce & Communication. Therefore existing community patterns and land use are studied and the station design give due consideration of:

• The pedestrian flow.
• Existing buildings in the vicinity of the proposed stations.

9.5 Aesthetics

9.5.1 FORM follows FUNCTION whilst at the same time it should be aesthetically appealing. Thus the whole building must be both functionally and appearance Wise so that it becomes the "LANDMARK OF THAT PARTICULAR PLACE".
9.6 Architectural Finishes

9.6.1 Materials and finishes proposed must satisfy the following criteria:

- Satisfy Safety requirements
- Fire resistant
- Floors are non-slip.
- At the same time low maintenance
- Sufficient Acoustics.
- Materials also suffice the ambience requirements

9.7 Graphics and Signage

Graphics and Signage are proposed in the design for the smooth passenger flow in order to minimise chaos and confusion.

9.8 The Final Product

The final product achieved is a functional design with a unique aesthetics in totality.

9.9 Salient Features of Design

9.9.1 Flow Wise

Planning is in 3 levels:

1) Road level,
2) Concourse level,
3) Platform level.

9.9.1.1 Road level

- There are two sets of covered staircase & up & down escalators on both sides of roads on footpath leading to the NON-PAID AREA at concourse level.
- Lift has also provided to go to the concourse level.
- Staircase width proposed is 4m & each escalator width is 1.75m. to cater the Crowd at peak hour.
9.9.1.2 Concourse level

- The Entrance lobby area at concourse level is large enough to suffice huge crowd.
- Passengers reach the NON-PAID AREA, take tickets & come to PAID AREA where there are again 2 sets of staircase, up and down escalators as well as lifts on both sides to go to platform level.
- The other part of concourse level is the operational area.
- The size of concourse area in main station is approximately 85m by 30m.

9.9.1.3 Platform level

- The tracks are in the center and side platforms are proposed.
- The side platforms result in greater traveling comfort and help in the uniform distribution of pedestrian flow.
- Each platform measures approximately 135m in length and of appropriate width as required.
- Platforms are covered from top and open from sides giving sense of openness, at the same time protecting sunlight and rains.
- In all type of plans passenger flow remains the same.
- Openness in planning incorporated since it fosters security and a sense of comfort.

9.9.2 Aesthetic Wise

9.9.2.1 Type 1 (refer to Figures 9.1 to 9.5)

- MODERN DESIGN – A tensile structure with trusses etc. reflecting a modern & confident society.

9.9.2.2 Type 2 (refer to Figures 9.6 to 9.10)

- This is the combination of traditional Indian Architecture blended with Modern Architecture.
- In both types the design aesthetically satisfies the technical & functional needs.

9.10 Materials & Finishes

- Stainless Steel anti-rust for tensile parts.
- Granite slabs 25 mm thick, 600 X 600 flame finish for floors making them non-slippery.
• Acoustic panels on walls, ceiling for clarity of public system.
• Purpose made glass fiber reinforced concrete.
• Artworks, Murals inside to enhance Station Architecture.
• Lighting, A.C's, Electrical services etc. are proposed to have good control over the overall architectural ambience.

9.11 Conclusions

Finally, over all, ELEVATED STATION BUILDINGS, come out as a dynamic system having far reaching impact on the lives & well being of the people providing them with an efficient system to support the daily & business activities.

9.12 Design and Construction Methodology

9.12.1 Station area of approximately 135x40m will be used to support concourse and plat form structure. The whole structure will be designed as elevated framed structure comprising of columns, beams, slabs, pile caps and piles. Tentative size of column is 600x600mm and total no. of columns in a typical station is 52 approximately. Tentative size of beam are 450x600mm and slab thickness is 200mm. Tentative size of piles is 3600mm and 4 no. of piles underneath each pile cap supporting individual columns in a typical station. Piles are to be socketted in the hard rock not less than 900mm which is nearly 15m deep below the ground level. Piles will be designed as per IS: 2911 (part 3) and National Building codes. Apart from dead load, superimposed dead load, a live load of 5kN/m2 will be taken into the design. Roof and its supporting assembly may be designed separately mainly for wind load and gust effect because of its geometry, light weight and inaccessibility. The reaction from roof and its supporting assembly may be applied in the main column, beam and slab grid. The whole station building during erection and service is to be checked for seismic forces as per IS: 1893-2002. (Part 1) for zone 3 and ductile detailing of reinforcement will as per IS: 13920-1999. Other codes used in designing of station building are given in code list.

9.12.2 Alignment and proposed stations are located in a busy district of suburban Mumbai, it is therefore proposed ready mix concrete (RMC) for all cast in situ construction and bored piles. During construction, proper barricades and other protective measures are to be taken so as to ensure a safe flow of traffic and construction activity with close coordination and cooperation of concerned authorities. RMC quality and workability will be constantly monitored by regular cube test and slump test in laboratory. Cube test will also be done for assessment of strength of concrete.
9.12.3 Three groups of construction agencies will be arranged with 4 to 5 sets of station building to each group. This will ensure the timely completion of this activity (approximately 3 years). The construction of station building is a parallel activity with way structure construction. However way structure construction will be given due priority. It will be ensure the in detail planning that the erection of main girder of the way structure is not effected by the progress of station building.

9.12.4 During detail designing, composite construction may also be considered on the basis of economic, ease, and other considerations.
9.13 Examples

Typical arrangements of station layout and other associated facilities are shown in the following figures.

FIGURE 9.11

FIGURE 9.12
CHAPTER 10

ROLLING STOCK

10.1 Introduction

10.1.1 Our offer for the Rolling Stock (Electrical Multiple Unit Stock) for the MMRDA’s most prestigious Mass Rapid Transit System along Versova-Andheri-Ghatkopar Corridor is going to be advanced, modern and with the latest State of the Art Technology.

10.1.2 The proposed design of the EMU stock will FULLY MEET the required parameters of the MMRDA as contained in their BID document.

10.1.3 The Rolling Stock and their components will be in conformity with one or more of the following specifications:

- Bureau of Indian Standards (BIS)
- Indian Railway Standards (IRS)
- Research, Design & Standards Organization Specification (RDSO-spec)
- British Standards Specifications (BS)
- UIC, ASTM, IEEE, IEC

10.1.4 The special features of the proposed EMU coaches are described in the following sections.

10.2 Train Set

10.2.1 The trains can be configured to a train set which consists of 4 coaches or of 6 coaches.

10.2.2 Considering the severe service conditions (Steep Grade and Sharp Curves) under which train sets are required to operate, necessitated by the high availability (97%), need to minimize the maintenance down time and to meet the stringent operating parameters (High Acceleration, Heavier Load, Optimum Running Time and Higher Deceleration), it is proposed to have at least half of axles motorised either for the 4-car train or the 6-car train.

10.2.3 Subject to detailed design, the train formation for the 4-car train will be:

\[ \text{DT-M-M-DT} \]

and the train formation for the 6-car train will be:

\[ \text{DT-M-M-M-DT \ or \ DT-M-M-T-M-DT} \]

where DT – Driving Trailer

\[ \text{M – Motor Coach} \]
\[ \text{T – Trailer Coach} \]

10.2.4 Each coach will be approximately 22 meters in length (buffer to buffer), 3.2 meters in width and 3.6 meters in height. The overall train length will be less than 135 meters.

10.2.5 The 6-car train set will comprise two 3-car units equipped with wide interior gangways automatic couplers at each end and with intermediate couplers of the semi permanent type in-between coaches to allow flexibility for cut out. Hostler control will be provided at the unit end of the non-driving coach.
10.2.6 During the initial stages of MRTS operation period when the traffic demand does not warrant 6-car train sets, the system can be operated with 4-car train set consisting of two motor coaches and two driving trailer coaches as a measure of economy. The 4-car train set will be expanded to form 6-car train set when traffic demand requires.

10.3 **Coach Body**

10.3.1 The Coach Body will be made out of Stainless Steel, which will ensure lightweight construction.

10.3.2 The Coach Body will have 4 doorways along each side ensuring equal spacing along the entire train length. The ends of the Roof structure shall be recessed to accommodate the Roof mounted AC package units.

10.3.3 The structural design will cater to the maximum vertical loading of about 20 % higher than the maximum envisaged service loading of 22,500 Kg (375 passengers) and the vertical deflection at the body centre will be limited to the acceptable international standards.

10.3.4 The Coach body will be capable of resisting the designed static end load applied through the coupler and draft gear centre line.

10.3.5 The headstocks of the coaches shall have anti-climber protection of appropriate design to absorb the permitted vertical shear loads restricting the damages to the couplers and anti-climb devices.

10.3.6 The under frame will be strengthened in such a way to provide for direct suspension of underframe equipment.

10.3.7 The coach profile will be designed to ensure near verticality of the sides for easy washing through mechanized washing machines. The nose end of the Driving Trailer coaches shall also be designed to offer least aerodynamic resistance at a reasonable cost.

10.3.8 For heavier roof mounted air conditioning units it will be necessary to provide additional structure to further distribute loads applied to the floor structure.

10.3.9 The Coach floor assembly and the side wall assembly will be provided with an effective fire barrier protection and heat barrier protection. The detailed design will ensure the required anti-noise measures.

10.3.10 The Coach body shell structural design will be checked by the use of F.E.M computer analysis and will be verified by the static load testing of the prototype.

10.3.11 Each coach shall be provided with two numbers of high performance air conditioning units of adequate cooling capacity each. Suitably designed blowers will be provided for emergency.

10.3.12 The on board power supply for the high performance A/C units would be provided by means of static inverters featuring IGBT technology.

10.3.13 Each Coach will be fitted with 6 to 8 longitudinal benches made out of Stainless Steel / Glass Reinforced Plastic (GRP) which can accommodate 42 to 48 seated passengers.

10.3.14 Standing room in coach will be ample with a normal crush load of 300 passengers (6 persons per sq. meter) and a maximum of 375 persons at dense crush load (8 persons per sq. meter).

10.3.15 On each side of the coach, four pairs of double leaf pocket sliding doors will be provided with 1400 mm clear width and 1900 mm clear height when opened. This clear opening of 1400mm door ways will also provide easy access for physically challenged persons and carriage of Wheel chairs in to the compartment with passengers of impaired mobility.
10.3.16 Gang ways of minimum 1400 mm clear width and 1900 mm clear height will be provided on the non-cab end of the driving trailer coach and on either end of the motor coaches which will allow an optimal passenger flow of up to about 2250 passengers per train equal to about 135 tonnes of pay load.

10.3.17 The interior of the coaches will be provided with modern panelling materials, which will give aesthetically pleasant look and comfortable feeling to the passengers. The external profile, colour and finishes of the car body should give an attractive appearance.

10.3.18 All coach windows will be of tinted and double-glazed to reduce radiant heat transmission into the coaches in view of Mumbai's sunny tropical climate.

10.3.19 Body side thermal and acoustic insulation shall be of compressed fibre glass wool to satisfy specified heat and noise transmission criteria to create a comfortable and quiet environment for passengers.

10.3.20 Appropriate design of hopper windows will be provided to ensure adequate ventilation into the compartment during emergency. The operation of the hopper windows will be made user friendly for easy operation by the commuters.

10.3.21 Adequate handholds for standing passengers shall be provided through vertical grab poles suitable for passengers of varying heights.

10.4 Bogies

10.4.1 Each motor bogie will carry two Frame mounted AC Traction Motors connected to Axle mounted gear units by flexible couplings.

10.4.2 Wheel arrangement for motor coach will be of Bo-Bo type.

10.4.3 Bogie frames are of bolster less H configuration fabricated from steel plates.

10.4.4 The Axle box primary suspension will be of conical bonded rubber springs or coil springs. If rubber springs are used, they will be of high hysteretic self damping type obviating the need for separate dampers. If coil springs are used, the coil springs will have vertical absorbers according to the wheelset guide principle.

10.4.5 The secondary suspension will be of single convolution Air Springs. The air springs connect directly between bogie frame and under frame bolster without a separate bogie bolster. These springs will be mounted in series with circular section, bonded rubber / steel sandwich springs providing emergency support for safe operation of the coach in the event of an air spring failure and for ensuring adequate rotational freedom to avoid undue twisting of air spring when negotiating curved tracks.

10.4.6 The secondary suspension will be provided with viscous dampers in both vertical and horizontal planes.

10.4.7 The air springs will be controlled by one central leveling valve at each bogie and this system will also provide reference signals to the load weighing of Traction and Brake performance.

10.4.8 Each bogie set of air springs will be transversely interconnected to ensure that in the event of failure including a burst air spring, both springs on the affected bogie deflate simultaneously.

10.4.9 Wheel sets are equipped with monoblock wheels and cast aluminum Axle boxes with spherical roller bearings. Single pusher tread brake units are provided at each wheel and will be fitted with brake blocks of high friction composition type.
10.4.10 Bogie frames and associated equipments are generally identical for motor and trailer coach application as far as practical.

10.4.11 The primary suspension system shall have suitable arrangement to provide requisite packing to maintain the Buffer heights (Bogie heights) within the specified limits under varying Wheel wears.

10.5 Brake System

10.5.1 MRTS train sets will be equipped with the following brake system:
An electro pneumatic friction service brake.
An electric regenerative service brake.
A pneumatic friction emergency brake.
An automatic spring applied air release parking brake.

10.5.2 The brake system can be made to operate through encoder units under Automatic Train Operation System (ATO) if provided or through manually controlled cab equipment. The brake demand will be proportional between driving trailers and motor coaches to maximize electric brake effort on motor coaches upto limit of Wheel / Rail adhesion. Brake blending normally ensures priority for electric brake with pneumatic brake being utilized for topping-up and low speed braking. In the event of an electric brake failure, the pneumatic brake will provide a full-time rated service brake.

10.5.3 The pneumatic brake system will be fed by a suitable electric motor driven air compressor mounted at driving trailer / motor coach.

10.5.4 The emergency brake will use many components common to the pneumatic service brakes but operates as a fully independent high integrity friction brake. Emergency braking will be initiated variously by operation of the master controller, emergency valve with handle in the cab, loss of low voltage control supply, release of the Deadman’s handle, interruption of train line due to separation of couplings (train parting), loss of main reservoir pressure or by the Automatic Train Protection (ATP) system.

10.6 Auxiliary Equipments and Systems

10.6.1 Each motor coach will be provided with a static converter / inverter (IGBT) for the auxiliary power supply system providing 110 V DC, 240V AC and 415V AC three phase, 50 Hz from the pantograph.

10.6.2 Two independent, roof mounted and self contained type Air Conditioners will be provided in each coach with redundancy and adequate cooling capacity.

10.6.3 An additional smaller capacity roof mounted and self contained type Air Conditioner will be provided for the driving cab.

10.6.4 The EMU will be equipped with headlight, signal lighting and internal lighting.

10.6.4 A battery bank of adequate capacity on driving trailer will be provided for the essential and emergency electrical loads of a three-car unit including emergency lighting and emergency ventilation.

10.7 Doors

10.7.1 Four sets of outside hung doors with exterior door sills will be provided on each side of the coaches which when opened will provide 1400mm clear width and 1900mm clear height. The door operating mechanism will be housed above the doorway within the coach and will be mounted on a rigid frame, which can be removed as a unit for ease of maintenance.
10.7.2 The door operating mechanism will have provision for:

- Pushback mechanism allowing door leaves to be opened by about 100 mm permitting withdrawal of trapped objects.
- A cutout cock for pneumatic isolation of individual pairs to enable manual opening and closing.
- A device to latch defective doors in the closed position.

10.7.3 Each cab will be provided with manually operated pocket type sliding/hinged doors.

10.8 CAB

10.8.1 A full width cab will be provided at each end of the train on the driving trailer coaches. The driving console and fully adjustable operator's seat will be located on the left hand side of the cab, with the equipments and console being designed ergonomically for one man operation.

10.8.2 The driving console comprises the control desk and the dashboard. The control desk houses Fore and Apt type master controller for manual operation with the mode selector. Mounted on the console desk are also push buttons for door control, train start and emergency stop. A foot-operated horn will be provided for whistling. During normal station stop the train operator remains in the cab for control of passenger doors and monitor's platform conditions on the CCTV screen. The radio communication system is provided in the console desk. The dashboard will be provided with the speedometer indicating the target speeds, duplex air pressure gauges and variety of indicators.

10.8.3 The train-borne ATP equipment will be housed within the cabinet in the non driving side of the cab with the partition wall behind driver's seat housing fault/status indicators, miniature circuit breakers (MCBs) and auxiliary push buttons.

10.8.4 Two hinged door leaves will be located at each cab/coach partition wall, which can be opened in emergencies for the passengers to move toward coach cab and for evacuation.

10.8.5 Emergency detraining doors will be located as the centre of coach cab end. These will have two functions

- Folding down to provide a ramp to track level.
- Sliding aside to give access to the cab of a coupled train.

10.8.6 Under emergency condition passengers can be detrained to the track by first releasing the door, pushing it over vertically and then unfolding it to form a sloping ramp to track level with the assistance of train staff.

10.8.7 Alternatively passengers can be detrained to a coupled train with the doors pushed out and slid aside to provide wide exit passage. Passengers will be detrained under staff supervision by the use of detraining plates normally will be kept in the emergency equipment cabinet under the adjacent seats.

10.8.8 Station signages, destination boards and route maps will be displayed appropriately.

10.9 Fire Prevention Requirement

10.9.1 The rolling stock will be provided with all the fire prevention measures contained in the "Code of Practice for Prevention of Fires on EMU Stock" published by RDSO with latest amendments.

10.9.2 All cables and wirings shall be of fire retardant, low smoke and halogen free type. All significant cable runs shall be protected by MCBs and Fuses. inflammability and smoke parameters shall confirm to the specifications contained in relevant National / International standards.
10.9.3 The coach floor, walls including doors and both sides of any equipment areas within the coach body and the rear walls of the Drivers cab shall have adequate fire barriers of at least 30min duration as per BS476 or equivalent internationally accepted standards.

10.10 Train Communication

10.10.1 The train communication system will have following primary functions:

- Operation centre to train driver communication and vice versa.
- Cab to Cab Communication
- Emergency Calls
- Public address system.

10.10.2 The micro phone and the monitor speakers in the cab will be shared with the radio system and the mode changed by the selected switch. This will be normally positioned for radio use and will require switching to use the train communication system. Passengers can communicate with the train driver by means of micro phones that will be provided at door pillars in the coaches. Communications will be initiated by the operation of the adjacent passenger alarm buttons.

10.10.3 Train passenger information display system will be provided to allow the train crew at the front cab to make predefined visual message to passenger on train.

10.10.4 Details of train radio and train-borne communication systems should be referred to Chapter 15.

10.11 Summary of Various Indicative Parameters of the Proposed Rolling Stock for the MRTS on Versova-Andheri-Ghatkopar Corridor

<table>
<thead>
<tr>
<th>No.</th>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Track gauge</td>
<td>1435 mm</td>
</tr>
<tr>
<td>02</td>
<td>Minimum curve radius</td>
<td>100 m</td>
</tr>
<tr>
<td>03</td>
<td>Maximum gradient</td>
<td>4%</td>
</tr>
<tr>
<td>04</td>
<td>Length of Coach – Buffer to Buffer</td>
<td>22 m</td>
</tr>
<tr>
<td>05</td>
<td>Width of Coach – outer to outer</td>
<td>3.2 m</td>
</tr>
<tr>
<td>06</td>
<td>Height of Coach from Rail level to Top most part under tare</td>
<td>3.6 m</td>
</tr>
<tr>
<td>07</td>
<td>Height of platform rail level</td>
<td>1 m</td>
</tr>
<tr>
<td>08</td>
<td>Height from Rail level to floor level of Coach under tare with a new wheel</td>
<td>1.1 m</td>
</tr>
<tr>
<td>09</td>
<td>Type of Traction</td>
<td>25 kV Single phase, 50 Hz.</td>
</tr>
<tr>
<td>10</td>
<td>Type of current collection</td>
<td>Through Pantograph</td>
</tr>
<tr>
<td>11</td>
<td>Train Configuration</td>
<td>4-car train will be:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>DT-M-M-DT</td>
</tr>
<tr>
<td></td>
<td></td>
<td>6-car train will be:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>DT-M-M-M-M-DT or DT-M-M-T-M-DT</td>
</tr>
<tr>
<td>12</td>
<td>Length of train</td>
<td>Maximum 135 m</td>
</tr>
<tr>
<td>13</td>
<td>Coach tare weight (Approx)</td>
<td>DT – 35 ton, M – 40 ton, T – 35 ton</td>
</tr>
<tr>
<td>14</td>
<td>Passenger capacity per coach</td>
<td>Normal:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Seated - 48</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Standing - 282</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Total = 300</td>
</tr>
<tr>
<td></td>
<td>Maximum:</td>
<td>Seated - 48</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Standing - 327</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Total = 375</td>
</tr>
<tr>
<td>15</td>
<td>Number of Passenger Doorways</td>
<td>8/coach (4/coach side)</td>
</tr>
<tr>
<td>----</td>
<td>-----------------------------</td>
<td>------------------------</td>
</tr>
<tr>
<td></td>
<td>Additional Doorways</td>
<td>2/DT</td>
</tr>
<tr>
<td>17</td>
<td>Size of Passenger Doorway</td>
<td>1400 mm width.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>1900 mm Height</td>
</tr>
<tr>
<td>18</td>
<td>Gangways</td>
<td>1 on non-driving end of DT, 2 on each side of M and T</td>
</tr>
<tr>
<td>19</td>
<td>Size of Gangways</td>
<td>1400 mm W, 1900mm H</td>
</tr>
<tr>
<td>20</td>
<td>Vestibules Per Train</td>
<td>5 Nos. (2 Nos. between DT &amp; M, and 3 Nos. between M &amp; T or between motor cars)</td>
</tr>
<tr>
<td>21</td>
<td>Length of Coaches over body</td>
<td>21300 mm</td>
</tr>
<tr>
<td>22</td>
<td>Distance between Bogie centers</td>
<td>15000 mm</td>
</tr>
<tr>
<td>23</td>
<td>Wheel Base</td>
<td>2400 mm</td>
</tr>
<tr>
<td>24</td>
<td>Maximum axle load and wheel load</td>
<td>18 Tonnes (axle load), 9 Tonnes (wheel load)</td>
</tr>
<tr>
<td>25</td>
<td>Wheel Diameter</td>
<td>860 mm New, 820 mm Half Worn, 780 mm Full Worn</td>
</tr>
<tr>
<td>26</td>
<td>Speed Control System</td>
<td>Speed through VVVF inverter blended with regenerative braking.</td>
</tr>
<tr>
<td>27</td>
<td>Brake System</td>
<td>Dynamic Regenerative Brake, Electro Pneumatic Tread Brake, Emergency Mechanical Tread Brake, Parking Brake.</td>
</tr>
<tr>
<td>28</td>
<td>Traction Motor</td>
<td>4 poles, self ventilated Asynchronous Squirrel Cage Type. 3 Phase A.C. Induction Motor. No. of Motors per MC = 4, No. of Motors per Train = 16. Continuous Rating: shall be suitably rated to meet the operating parameters</td>
</tr>
<tr>
<td>29</td>
<td>Converter / Inverter</td>
<td>Voltage Source PWM Inverter based on IGBT technology</td>
</tr>
<tr>
<td>30</td>
<td>Pantograph</td>
<td>Single Arm, Air Operated, Direct Air Type.</td>
</tr>
<tr>
<td>31</td>
<td>Main Air Compressor</td>
<td>3 Phase, 415 V A.C., 50 Hz, Induction Motor of suitable capacity.</td>
</tr>
<tr>
<td>32</td>
<td>Auxiliary Air Compressor</td>
<td>110 v DC, 10 minutes rating.</td>
</tr>
<tr>
<td>33</td>
<td>Auxiliary Power Supply</td>
<td>Type = IGBT Converter, IGBT Inverter. Natural Cooling, Input Voltage= 470 v AC at 25 kv, Link Voltage – 825 DC, Output AC 415 V, 3 Phase, AC 230 V Single Phase, DC 110 V</td>
</tr>
<tr>
<td>34</td>
<td>Air Conditioning</td>
<td>Roof Mounted, Self Contained type of suitable capacity. Passenger Area temperature = 25 degree C at 60% Humidity</td>
</tr>
<tr>
<td>35</td>
<td>Cab Air Conditioning</td>
<td>Roof mounted and self-contained of suitable Capacity.</td>
</tr>
<tr>
<td>36</td>
<td>Train PIDS</td>
<td>Central Unit (CU), Local Unit (LU), Video Display Unit (VDU), Display Controller (DC)</td>
</tr>
<tr>
<td></td>
<td>Battery</td>
<td>Type – Nickel Cadmium Alkaline Battery, 110V DC of suitable capacity.</td>
</tr>
<tr>
<td>---</td>
<td>---------</td>
<td>-------------------------------------------------------------------</td>
</tr>
<tr>
<td>37</td>
<td>Noise level</td>
<td>Pass-by noise at maximum speed not exceeding 80dB(A), measured at a distance of 25m from centerline of track and 3.5m above top of rail. Internal noise at passenger areas not exceeding 70dB(A).</td>
</tr>
<tr>
<td>38</td>
<td>Train Performance Parameters</td>
<td>Maximum Operating Speed = 80 kmph. Maximum Design Speed = 90 kmph. Maximum Acceleration = 1.1 m / Sec / Sec Maximum Deceleration = 1.2 m / Sec / Sec Jerk Rate = 0.8 m / Sec / Sec / Sec Round Trip Schedule Speed With 30 Sec / Halt and 8 % coasting (Excluding terminal station turn around time) with fully loaded train with 10 intermediate halts – 33 kmph. Maximum Journey time between Versova and Ghatkopar, including station dwell time = 21 Minutes.</td>
</tr>
</tbody>
</table>
10.11.1 The proposed general arrangement of the Driving Trailer coach and motor coach is shown in figure attached.
10.12 Projected Requirement of Rolling Stock

10.12.1 The Rolling stock requirement on holding basis has been worked out based on:

- Normally, an enhanced service than the minimum train service requirement will be operated during the peak hour due to:
  - Peak of the peak passenger distribution within the 1-hour period
  - Uneven train loading
- Dense crush load capacity of 2250 passengers per 6 coach train, 1500 for 4 coach train.

10.12.2 On the above basis, the minimum EMU stock requirement will be as follows:

<table>
<thead>
<tr>
<th>Sr.No</th>
<th>Particulars</th>
<th>2011</th>
<th>2021</th>
<th>2031</th>
<th>2041</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Year of Operation</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Projected Traffic peak hour per direction</td>
<td>18600</td>
<td>23600</td>
<td>30500</td>
<td>39500</td>
</tr>
<tr>
<td>3</td>
<td>Frequency of peak hour trains per direction in minutes</td>
<td>4</td>
<td>3.25</td>
<td>3.5</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>No. of Coach in a Train</td>
<td>4</td>
<td>4</td>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td>5</td>
<td>Minimum no. of trains required in operation</td>
<td>11</td>
<td>14</td>
<td>12</td>
<td>15</td>
</tr>
<tr>
<td>6</td>
<td>Minimum no. of spare trains required for standby and maintenance</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>7</td>
<td>Minimum no. of Rakes Required in Total</td>
<td>13</td>
<td>16</td>
<td>14</td>
<td>17</td>
</tr>
<tr>
<td>8</td>
<td>Minimum no. of Coaches Required in Total</td>
<td>52</td>
<td>64</td>
<td>84</td>
<td>102</td>
</tr>
</tbody>
</table>

10.13 Transportation and Commissioning of the Rolling Stock

10.13.1 The EMU coaches may be imported in a fully assembled condition or in a completely knocked down condition. Or the coaches may be got manufactured indigenously through technical collaboration with foreign manufactures for the Electrics. The coaches can be transported by road over special trailers in a knock down condition or can be transported by rails over special railway wagons on the Broad Gauge system of the Indian Railways.

10.13.2 The coaches on arrival at the DN Nagar car depot have to be unloaded by special cranes installed or hired. Since the coach maintenance depot being at the elevated floor, the coaches, bogies and other equipments will be lifted and placed on the track at the elevated floor through EOT cranes of the carshed or by special cranes hired.

10.13.3 Each coach will be fully assembled at the elevated carshed floor and taken for pre-commissioning, inspection and test. After each coaches is tested then 3-car unit will be formed and tested unit wise. Thereafter two 3-car units will be coupled to form a 6-car train set. All the tests and sequence of operations will be carried out over the test track provided for this purpose at the car depot.
10.14 Electromagnetic Interference (EMI) and Electromagnetic Compatibility (EMC)

10.14.1 All equipment and systems provided will be electromagnetically compatible with each other and with all existing external systems. Liaison with the appropriate authorities will be carried out to agree the standards to be adopted and any custom specifications to supplement these standards. Failure of any electromagnetic suppression components fitted to safety critical systems shall not cause that equipment to fail and cause an unsafe condition on the railway, when it is subjected to interference that is within the bounds of the adopted standards.

10.14.2 A typical rolling stock electromagnetic compatibility standard for a recent Hong Kong MTR project is attached in Appendix A as reference. MTR will develop the relevant electromagnetic interference and electromagnetic compatibility requirements for MRTS during the detailed design based on the intensive EMC experience. This will ensure the system to be protected from electromagnetic interference from both elements of the system and external elements that might affect it.

10.15 Sample Design Specification

10.15.1 A sample design specification which can meet the proposed technical requirements is attached in Appendix B as reference. The design features shown are indicative only.
MRTS
Mass Rapid Transit System
MUMBAI, INDIA

Technical description
4 Car EMU and
6 Car EMU

Jenbach, 11. May 2005
Index

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1. VERSION

Version 1.4
11.05.2005

Filename: MRTS_technical description_110505_V1-4.doc
Description: Technical Description of 4-Car and 6-Car-EMU
Number of pages: 50

Written: Christian Hassler
Checked: Josef Kometer
Approved: Michele Molinari

Revisions: -

Copyright remark:

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2. REFERENCE PROJECT

The Malaysian EMU

KTMB’s Kommuter Service in Kuala Lumpur

In 1994/95 Molinari Engineering’s predecessor company Jenbacher Transport supplied 18 advanced electric trains for KTMB in a multi-million dollar contract. Designated Malaysian EMU, the trains featured advanced design stainless steel bodysides, full air-conditioning and the latest energy saving regenerative three-phase AC traction equipment with numerous safety and comfort features.

Each three-car train had seating capacity for 224 passengers. Other features included power-operated sliding plug doors with pushbutton passenger control, air suspension and a destination indicator.

Jenbacher Transport Malaysian EMUs featured vehicles constructed from welded stainless steel, with 3-phase AC drives and regenerative braking. The interior layout, seating and doors of the unit were specifically arranged to suit a high intensity urban duty with frequent stops.
Three-phase power traction equipments were installed in the two end cars in each set, the greater acceleration from station stops, cutting journey times considerably. Three-phase drive also allowed energy saving as well as reduced maintenance costs.

With the improved adhesion benefits of 3-phase AC traction and a greater proportion of the axles in the unit motored, Jenbacher Transport Malaysian vehicle had superior performance. This afforded obvious advantages in being able to meet tighter timetables, thereby permitting more frequent services or running existing timetables with fewer trains.

Superior 3-phase AC traction technology with the ability to regenerate electricity back into the overhead supply when braking, made the Malaysian EMU decidedly much more energy efficient, exceptionally so when taken over the whole life situation.

3-phase AC traction motors have no wearing parts other than bearings, giving inherently higher levels of reliability. Coupled with lower maintenance, this ensures higher levels of availability which are essential to maximising revenue potential. An additional benefit of regenerative electric braking is the significant reduction in friction pad wear and its consequent lower maintenance requirements, with attendant reduced costs and downtime.
Conceived and constructed for Malaysia, this three-car electric multiple units were designed for the particular requirements of operators in tropical regions. In order to guarantee corrosion resistance under the extreme environmental operating conditions, the units were constructed from stainless steel. Plug door operation was provided by electric actuators. This system was smoother in operation and provided enhanced reliability and obstruction detection than comparable pneumatic systems.
<table>
<thead>
<tr>
<th>Main Technical Data</th>
<th>Three-car KTMB Class 8 EMU for bi-directional use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type</td>
<td>Axle sequence: B 'B' +2 'Z' + B 'B'</td>
</tr>
<tr>
<td></td>
<td>Track gauge</td>
</tr>
<tr>
<td></td>
<td>1,000 mm</td>
</tr>
<tr>
<td>Trainset length</td>
<td>Width of car</td>
</tr>
<tr>
<td></td>
<td>2,700 mm</td>
</tr>
<tr>
<td>Distance between pivots</td>
<td>Bogie pitch</td>
</tr>
<tr>
<td></td>
<td>2,300 mm</td>
</tr>
<tr>
<td>Weight in working order</td>
<td>Tare weight</td>
</tr>
<tr>
<td></td>
<td>121.85 t</td>
</tr>
<tr>
<td>Starting accelerations</td>
<td>Braking decelerations</td>
</tr>
<tr>
<td></td>
<td>0.796 m/s²</td>
</tr>
<tr>
<td>Riding Index</td>
<td>Noise level at saloon compartment</td>
</tr>
<tr>
<td></td>
<td>70 ± 3 dBA at 90 km/h</td>
</tr>
<tr>
<td></td>
<td>&lt; 2.5</td>
</tr>
<tr>
<td>Power supply system</td>
<td>25 kV AC, 50 Hz overhead catenary</td>
</tr>
<tr>
<td>Passenger capacity</td>
<td>224 seats, 90 standees</td>
</tr>
<tr>
<td>Wheel diameter (new)</td>
<td>851 mm</td>
</tr>
<tr>
<td>Maximum speed</td>
<td>120 km/h</td>
</tr>
<tr>
<td>Drive</td>
<td>8 force-ventilated AC induction motors (190 kW each)</td>
</tr>
<tr>
<td>Gear ratio</td>
<td>1:5.47</td>
</tr>
<tr>
<td>Emergency braking</td>
<td>0.79 m/s²</td>
</tr>
<tr>
<td>Traction equipment</td>
<td>3-phase AC-propulsion system with 2 independent traction groups, each of 4 motors, a converter and inverter/controlled regenerative equipment</td>
</tr>
<tr>
<td>Auxiliary power supply system</td>
<td>3-phase 415V, 50 Hz converter</td>
</tr>
<tr>
<td>Brake system</td>
<td>Air brake and dynamik brake with automatic parking brake</td>
</tr>
<tr>
<td>Control/emergency power supply</td>
<td>96V battery (charging at 110 DC volts)</td>
</tr>
<tr>
<td>Air conditioning</td>
<td>6 Toshiba roof-mounted, fully hermetic units, each rated cooling capacity 25,000 cal/hr</td>
</tr>
</tbody>
</table>
Reference Pictures:
3. GENERAL DESCRIPTION

The proposed Electrical Multiple Unit (EMU) for the Mumbai MRTS is a variation of an existing EMU's which is a proven design and which offers high reliability and simple maintenance features.

4 Car EMU

The 4-car EMU consists of two almost equal train parts with driven motor car in the middle (B1 and B2-car) and a in the combination A + B1 + B2 + A.
The axle sequence of the EMU is 2'+2'+Bo'+Bo'+Bo'+2' 2'.
Each A-car with the drivers cab at the end is equipped with the auxiliary supply. The B-cars contain the equipment for the traction power supply and each is powered by 4 traction motors. B1 contains also the pantograph. B2 has a high Voltage connection to B1 and its own main circuit breaker.
6 Car EMU

In case of a 6-Car unit the construction will simply be the same as the 4-Car unit with one additional B1 and C-Car passenger coaches in the train. So the combination is: A+B1+B2+C+B1+A.

The axle sequence of the EMU is 2' 2' + Bo' Bo' + Bo' Bo' + 2' 2' + Bo' Bo' + 2' 2'.

Each A-car with the drivers cab at the end is equipped with the auxiliary supply. The B-cars contain the equipment for the traction power supply and each is powered by 4 traction motors. B1 contains also the pantograph. B2 has a high Voltage connection to B1 and its own main circuit breaker.

The C-Car is only a passenger coach without any traction equipment.

Each car is equipped with 4 large, fast opening entrance doors with a clear with of 1400 mm on each side of the car. The passenger compartment is designed for a maximum passenger capacity with large standing room and a seating bench along the side wall.

The floor height is 1100 mm over top of rail (empty car).

The bogies shall be supplied by known manufacturer and are approved by the Germany Railway Authority EBA.
The design speed for the EMU is 80 km/h. Up to 2 EMU's can be coupled for emergency evacuation from the track. Coupling is only mechanical without any electrical controlling from the Master unit to the other one.

Regarding the design of the interior of the EMU the following characteristics have to be mentioned:

- A modern and attractive driver's desk, which has been designed according to ergonomic considerations
- Sufficient handrails to allow a safe movement of the passengers through the train

During the development of the EMU the following rules and regulations have been considered, as long they are relevant for the application and there aren't any contradictions between them:

- Indian Railay Standards
- UIC leaflets
- EN/CEN/DIN/ÖV-norms
- BS standards
- EBO (Railway Operation Rules of Germany)
- VDE and VEI-determinations
- Accident prevention regulations
- VDV-scripts
- Fire prevention and protection regulations

For all Indian rules and regulations with regard to this EMU the actual and valid version at the date of submittal are taken into account. If no correspondent standard is published in India the engineering and execution shall be based upon UIC or Germany-standards. These can be:

- Static and dynamic calculations
- Strengths calculations
- Welding checks
- Static test on car body
- Dynamic test on bogie frame

All indicated values and figures are indicative. No tolerances will be indicated. All described components and sub-systems as well as the respective supplier are subject to revision.
4. MAIN TECHNICAL DATA FOR 4-CAR EMU

Service conditions

Track gauge: 1435 mm
Min. radius of curvature of track: 100 m
Max. gradient of track: 40 %
Rail type: UIC 60
Platform height: 1100 mm
Clearance: UIC 505-1
Rail class: UIC 700, C2

Main technical data

Max. Operating speed: 80 km/h
Max. Certification speed: 88 km/h
Axle arrangement: 2' 2' + Bo' Bo' + Bo' Bo' + 2' 2'
Max. Service power: 2×1200 kW
Max. Starting acceleration: 1.1 m/s²
Overall length: 88000 mm
Car body width: 3200 mm
Car body height: 3600 mm
Maximum height: about 3900 mm
Wagon base: 22000 mm
Coupler Height: 850 mm
Floor height: 1100 mm
Doors at each side: 16 doors
Net width of doors: 1400 mm
Seats about: 168
Standees about: (6 per m²) 1032
(8 per m²) 1376
Passengers total: (6 per m²) 1200
(8 per m²) 1544

Performance parameters

Tare weight: about 160 t
Maximum weight under dense crush load: about 288 t
Max. Axle load: 18 t
Emergency braking deceleration: 1.2 m/s²
Designed service life: 30 years
Overhaul period for complete car: 7 years or 2.4 million km
Overhaul period for the main parts: 1.2 million km
Max. Daily running distance: about 500 km

Service conditions

Altitude 0—300 m
Ambient temperature 10 °C — 60 °C
5. MAIN TECHNICAL DATA FOR 6-CAR EMU

Service conditions

Track gauge: 1435 mm
Min. radius of curvature of track: 100 m
Max. gradient of track: 40 %
Rail type: UIC60
Platform height: 1100 mm
Clearance: UIC 505-1
Rail class: UIC 700, C2

Main technical data

Max. Operating speed: 80 km/h
Max. Certification speed: 88 km/h
Axle arrangement: 2' 2' + Bo' Bo' + Bo' Bo' + 2' 2' + Bo' Bo' + 2' 2'
Max. Service power: 3 x 1200 kW
Max. Starting acceleration: 1.1 m/s²
Overall length: 132000 mm
Car body width: 3200 mm
Car body height: 3600 mm
Maximum height: about 3900 mm
Wagon base: 22000 mm
Coupler Height: 850 mm
Floor height: 1100 mm
Doors at each side: 16 doors
Net width of doors: 1400 mm
Seats about: 252
Standees about: (6 per m²) 1572
              (8 per m²) 2096
Passengers total: (6 per m²) 1824
                 (8 per m²) 2348

Performance parameters

Tare weight: about 240 t
Maximum weight under dense crush load: about 432 t
Max. Axle load: 18 t
Emergency braking deceleration: 1.2 m/s²
Designed service life: 30 years
Overhaul period for complete car: 7 years or 2.4 million km
Overhaul period for the main parts: 1.2 million km
Max. Daily running distance: about 500 km

Service conditions

Altitude 0—300 m
Ambient temperature 10 °C — 60 °C
6. NOISE LEVEL

For the measurement of the noise level the following boundary conditions have to be considered:
- Condition of track according to prEN 3095; acoustic noise 03 basic value 48
- HVAC in intermediate operating conditions
- Radiator fan in partial load
- Measurement of the interior noise level according to DIN EN ISO 3381 (DIN 45637 and DIN 45638)

The noise level in passenger compartment at normal conditions is: max 64 dB (A)
The noise level in passenger compartment at maximum speed, acceleration or deceleration under maximum conditions is: max 70 dB (A)

7. UNDERFRAME EQUIPMENT

The following main components are mounted in the underframe of A-Car
- Battery box
- Brake equipment for air suspension of driving bogies
- Brake Frame (includes the main parts for braking system)
- On board Power Supply (Auxiliary converter)

The following main components are mounted in the underframe of B-Cars
- Brake equipment for air suspension of bogies
- Power-Pack including:
  - Traction transformer
  - Cooler Group
  - 2 Traction Inverters
- 2 bogies, each including:
  - 2 Tractions Motors
  - 2 Coupling
  - 2 Gear Boxes

The following main components are mounted in the underframe of C-Car (only 6-Car Version)
- Brake equipment for air suspension of bogies

8. ROOF EQUIPMENT

The following main components are mounted on Roof of A-Car
- Air condition

The following main components are mounted on Roof of B-Cars
- Air condition
• Cooler
• Break resistant
• High Voltage equipment including pantograph (only B1)

The following main components are mounted on Roof of C-Car (only 6-Car Version)
• Air condition

9. CAR BODY DESIGN

A light-weighted construction made out of Stainless Steel is used for the car body.

The strength of the car body meets the requirements of UIC-S66 and EN 12663-2000, category P-II. The car body has sufficient strength and rigidity which can meet the demand for lifting in the workshop. The strength of driver’s cab meets the requirements of UIC 651.

Structure type

With a fully-welded thin-wall cylindrical integral bearing structure without mid-beam, the steel structure of the car body of the EMU consists of underframe, side walls, end walls and roof.

Car body strength

The load bearing structure of the car body can bear the following test load without permanent deformation or exceeding the allowed stress.

Static compression

• 300 kN compression force is evenly applied on the upper side beams at the ends of the car body.
• 300 kN compression force is evenly applied on the waist at the ends of the car body.
• 400 kN compression force is evenly applied on the location 150 mm above the center line of the underframe at the ends of the car body.
• 1500 kN compression force is applied on the underframe plane and the joint bearing structure.
• 400 kN compression force is applied on the location 150 mm above the underframe plane.
• The evenly distributed 300 kN compression force is applied on the waist of the driver’s cab.
• The evenly distributed 300 kN compression force is applied on the upper side beam plane at the end of the driver’s cab.

Static longitudinal pulling force

1000 kN longitudinal pulling force is applied on the end of the coupler connector.

Vertical static load

The vertical force is evenly distributed. The load is: \( F_Z = 1.3 \times (M_1 + M_2) \times g \), M1 is the vehicle mass, M2=passenger capacityx80kg+the area of corridor and vestibule (m²)x4x75kg, g=9.81/s².
Derailment test will according to Clause 4.1.1.5 provisions in the standard of UIC 566. The minimum safety coefficient of the above tests will conform to the provisions in the standard of UIC 566.

The strength of components fixations on the steel structure of the car body can resist the stress caused by acceleration. The stress of the fixation supports does not exceed the yield strength of the material, when the longitudinal acceleration is 3g, the vertical acceleration is \((1+c)g\) and the lateral acceleration is 1g, where \(c = 2\) at the end of the car and linearly decreasing to 0.5 in the middle of the car.

10. EXTERIOR DESIGN

The exterior design of the 4-car and of the 6-car-version will be identical.

Exterior lining / Front end
The EMU has an aerodynamic and modern design with low aerodynamic resistance. At the front end a faceplate made of GRP will be mounted, which can be removed easily in case of damage.

Exterior paint
The vehicle will receive a 2K-PUR exterior painting according to UIC 842 consisting of an anticorrosive layer and paint layer. The surface treatment of metal parts and the car body will be carried out according to UIC 842.3. The colour has to be defined by the customer.

Exterior markings
The exterior markings will be made with adhesive markings according to UIC 580. Name and logo of the operator will be placed according to the customer’s requirements.

Decorative / protection strip
No decorative or protective strips are foreseen.

Apron, flaps
Out side the body side sole bar aprons and flaps are mounted. Their function is to insulate noise of the Power Unit and protect it from dirt. For noise reduction a special noise insulation and absorption material is mounted on aprons and flaps. Apron and flaps can be flapped up for maintenance purposes.
11. DOORS

Four sets of fast opening outside hung doors with exterior door sills are provided on each side of each coach with when opened will provide 1400mm clear with and 1900mm clear height. The door operating mechanism is housed above the doorway within the coach and can be removed as a unit for ease maintenance.

The side door system has the functions of anti-squeeze and automatic locking when the train speed is higher than 5 km/h (5 km/h signal is provided by the car). Each door has an internal emergency unlocking system and a switch isolation system. The internal emergency unlocking system will guarantee that the mechanical unlocking can be performed with a steel wire rope to open the door manually at door system failure.

The doors can be operated centrally by the driver from the driver's cab, or individually by the passengers. The driver can select to open the doors on only one or both sides of the vehicle with the respective switch in the driver’s desk.

The passengers can open the doors by pushing the illuminated push-button installed at the interior and the exterior of the vehicle.

The doors close automatically after a default time. Each door has an audio warning horn. The horn is operated by the driver for closing or opening as well when the internal emergency unlocking system is operated. When the door reaches its terminal position the warning horn is shut off.

The doors are locked depending on the speed of the vehicle against opening. In case a door is open the vehicle will be stopped automatically.

An outer operation system will be installed on the doors close to the driver’s cab and be operated with a special key when the vehicle is in power-off.

12. COUPLER

A proven coupler from Voith Scharfenberg, Germany is installed at each end of the vehicle. The couplers can ensure the mechanical coupling of the vehicles for emergency evacuation. No electric coupler head is installed.
13. GANGWAY

The Gangway is the flexible part of the EMU which takes the relative movements of the cars between each others and allows the passenger to pass from one car into the other in a safe and comfortable way. Gangways of 1100mm clear width and 1900mm clear high are provided at the non cap end of each coach and will allow an optimal passenger flow of up to 2250 passenger per train.

Bellows

Each gangway is composed of a double-deck twin bellow. It is fixed to the end of the car body to form an eco-friendly sealed structure to provide the passengers with safe and comfortable conditions. The noise level is no higher than 72 dB (A) in the gangway when the speed of the train set is 80 km/h. The fire protection and flame resistance of the gangway are in correspondence with the relevant UIC standard.

14. WINDOW SYSTEM

Transparent windows

The passenger compartments are equipped with tinted insulating double glazing with reduced light transmission in view of Mumbai’s sunny tropical climate. The windows are fixed with polyurethane glue from the outside to the car body. With the outside surface of the window glass and the car body skin on the same plane, the car window is designed to be a glass window with a belt cohesion structure.

Movable windows

In each section of the vehicle skylight windows in the upper part are installed. The opening angle of the skylight window is limited and the window can be locked with a four-square key.

Emergency windows

In each car are four emergency windows. The passengers can escape from the window by breaking the glass with the special hammer in case of an emergency. The glass meets UIC 564-1 requirements.

Driver’s cab windscreens

The front window will be glued in frameless into the structure and the outside will be even with the structure. The windscreen is of high penetration resistant laminated glass and meets UIC 651 requirements.
15. ADDITIONAL EXTERIOR EQUIPMENT

Windscreen wiping system
The windscreen are equipped with a wiping system, which is electrically driven. The water tank is sufficiently big for daily operations. Per windscreen an one-sector-wiper is installed and part of the system. The wiping system is operated by a switch on the driver's desk.

Signal horns
Acoustic signals are made by UIC-standard macrophones which are driven by compressed air. These are placed by two in the roof section of the driver's cab. The operation of the macrophones is done by a push-button or a foot-valve under the driver's desk.

Exterior lighting
The EMU is equipped with the following headlights:
- Lower part, outside position: 2 x Red/White combined headlights, diameter 170 mm
- Lower part, inside position: 2 x full beam headlights, diameter 135 mm
- Upper part, central position: 1 x position lamp, diameter 170 mm

Exterior handrails
No exterior handrails are installed. When the doors are open the handrails on each side of the doors can be reached easily.

Insulation
The EMU is provided with insulation (glass wool) in the underframe, in the side walls and in the roof section.
In combination with the foreseen insulation material appropriate k-values will be defined.
The heat insulation is designed to act as a noise absorber at the same time.
16. MOTOR BOGIE

Type Drawing

Structure
The motor bogie has a wheel-base of 2,100 mm and is located in the middle below the power pack. It contains two diagonally installed motors with two spur-wheel gears. The bogie frame is designed in a welded-steel construction. All surfaces that accommodate components are processed mechanically. The bogies are designed for a maximum axle load of 20 t.

Suspension, shock absorption, wheelset mounting
The primary suspension of the motor bogies is implemented with coil springs and vertical absorbers according to the wheelset guide principle.

The wheelsets are equipped with tapered roller bearing units (cartridges). The tapered roller bearings are accommodated in the wheelset guides.

The wheelset guides are located separately on the wheelset bearing and thus allow the wheelsets to be quickly removed and mounted. For acoustic insulation, the primary suspension stage is separated from
the bogie frame by rubber elements. Flat pneumatic springs with an emergency suspension function support the drive module on the welded bogie frame (secondary suspension).

Wheelset
The motor wheelsets are designed as monobloc wheelsets. They have two pairs of wheel brake discs per wheelset. The motor wheel diameter is 860 mm in new condition. The wear limiting dimension is reached at 800 mm. The wheel profile S1002 acc. to EN13715 with reduced thickness is used.

Bogie / vehicle body connection
In the case of the motor bogies, the horizontal load transmission takes place via a horizontal pushrod with wear-free metal/rubber elements that is mounted transversely in the bogie. A pendulum support guides the vehicle body. The bogies are connected to the vehicle body via lift-off safety guards.
Traction motor / drive suspension
The fully suspended drive, consisting of a traction motor and gear, is elastically suspended from the frame of the motor bogie and is not supported on the wheelset shaft. This reduces the dynamic track forces by reducing the unsuspended masses as much as possible.

1 Motor
2 Pinion
3 Intermediate gear
4 Large gear wheel
5 Wedge-type rubber pad coupling
6 Quill shaft
7 Wheelset

Further bogie equipment

- Wheel disk brakes
- Sanding system
- Rotational speed sensor
- Earthing contact for protective earthing
17. TRAILER BOGIE

Type Drawing

Structure
The trailer bogies consist of a welded steel frame and have a wheel-base of 2,100 mm. All surfaces for accommodating components are processed mechanically. The trailing bogies are designed for a maximum axle load of 20 t.

Suspension, shock absorption, wheelset mounting
The primary suspension of the trailing bogies is implemented with coil springs and vertical absorbers according to the axle guide principle.
The wheelsets are equipped with tapered roller bearing units (cartridges). The tapered roller bearings are accommodated in the wheelset guides.
The wheelset guides are linked to the bogie frame via metal/rubber elements. For acoustic insulation, the primary suspension stage is separated from the bogie frame by rubber elements.
The secondary suspension is implemented with pneumatic springs. If a pneumatic spring is faulty, the rubber/metal spring elements in the pneumatic spring bellows will function as an emergency shock absorber.

The level regulation takes place via an overflow valve.

The secondary shock-absorber stage is supplemented by a stabilizer and a vertical absorber. Furthermore, curve-dependent cross stops keep the carbody within the loading gauge. The suspension is designed to maintain high driving comfort while preventing the transmission of structure-borne noise to the greatest possible extent.

One axial box is equipped with an earthing brush for protective earthing. Rotational speed sensors for the non-skid device are installed on two axial boxes.

Wheelset
The bogie wheelsets are equipped with monobloc wheels. In order to achieve a sufficiently high braking force at maximum speed, all bogie wheelsets are equipped with two axle-mounted brake discs.

The bogie wheel diameter is 750 mm when new. The wear limit is reached at 690 mm. The wheel profile S1002 acc. to EN13715 is used with reduced thickness.
Bogie / vehicle body connection
In the case of trailing bogies, the horizontal load transmission takes place via lemniscate guides and traction rods. A pendulum support guides the vehicle body. The bogies are connected to the vehicle body by lift-off safety guards.

Further bogie equipment
- Axle-mounted disc brakes
- Wheel flange lubrication
- Rotational speed sensor
- Earthing contact for protective earthing
- Track clearer
18. TRAIN TRACTION SYSTEM

The proposed traction system is designed to operate in the operating conditions in India between 10° C and +60° C and in severe ambient conditions with rain, snow, and high dust intensity.

The main units of the traction system are (all figures and technical parameters preliminary):

**Modular Traction System**

- Pantograph
- Main Circuit Breaker
- Potential Transformer
- Current Transformer
- Surge Arrestor
- Auxiliary Compressor

- 4-quadrant controllers
- Traction Inverters
- Traction Transformer
- Cooler Group

- Traction Motor
- Coupling
- Gear Box

Advantages of the modular Traction System are:

- Fewer Interfaces
- Plug-Type cable connections
- Pre-Assembled and tested modules
- Increased quality
- Ease to maintenance
Principle arrangement of traction equipment in bogie

Schematic Diagram of Traction System in B1-Car (B2 without pantograph)
Location of equipment:

B-Car:
The pantograph mounted on B1 supplies the power-Unit's of B1 and B2 with High Voltage. Traction Transformer and one Traction Converter for each powered bogie are part of power unit. Break resistors for electric regenerative breaking and cooler are mounted on the roof. Both bogies are equipped with traction motors, gear-box and coupling.
CPU (ALG) in the LOW-Voltage cupboard in passenger room controls the traction motors and Converters. In 6-Car unit the 2-nd B1-Car has also a pantograph for redundant in case of fault in one High Voltage roof equipment.

A-Car:
CPU (FLG) at drivers cap controls all functional Systems as:
- Signals from/to driver desk (Drive/Brake lever)
- Signals from/to Traction equipment in B-Car
- aso...

On-Board-Power Supply provides 110VDC, 240VAC and 415VAC three phases for Battery charging and all Electrical Systems on board.

Schema of Traction Equipment arrangement in 4-Car and 6-Car Version:
Detailed Traction system Specification

Main Circuit Schematic Diagram

Fig. 1 Example of Main Circuit, Every B1 and B2-Car has its own Main Circuit

Primary circuit – High voltage system

The traction transformer is supplied via the pantograph, the main circuit breaker and the primary current transformer. The return current path to the rails is via the vehicle body, the bogies, the earthing brushes and the wheel sets.

Fig 2: Main circuit breaker with pantograph, mounted on B1-Cars

Earthing switch

The primary winding of the transformer and the pantograph can be earthed by means of a two-pole earthing switch.
Pantograph

The EMU's are equipped with a half-scissor pantograph, type VI-U, with compressed air bellows and pneumatic protection against collapsing. When the protection system has been activated it can only be reset from the roof of the vehicle.

![Pantograph](image)

**Fig. 4 Pantograph**

Main circuit breaker

The vacuum type main circuit breaker is actuated by compressed air. A spring is charged when the circuit breaker is closed. When the tripping circuit is opened the circuit breaker is released by the spring.

![Circuit Breaker](image)

**Fig. 5: Main Circuit Breaker**
Transformer

The oil cooled transformer is installed in the underfloor mounted power pack. A safety valve ensures that oil and gas are discharged into an expansion vessel when gassing occurs, thus avoiding damage to the transformer.

Secondary windings:

| Traction: | 4 x 920 V | 4 x 320kVA |
| On board power supply: | 353 V | 190 kVA |

Converters

The converters are based on IGBT technology. One converter each supplies the traction motors in one powered bogie. It consists of:

- A traction system control unit (ALG) for the control and monitoring of the four-quadrant controllers and an inverter control unit (SLG) for the control and monitoring of the traction inverters.
- Two four-quadrant controllers, supplied from the traction windings of the transformer, which are switched electrically offset. The four-quadrant controllers convert the secondary voltage of the transformer into a direct current supply for the DC link:
  - This arrangement reduces the interference currents to a minimum and a filter choke is therefore not required.
  - The DC link capacitors are charged via a charging resistor and a charging contactor. When the appropriate DC link voltage has been reached the charging resistor is by-passed with the charging contactor. The smoothing of the DC voltage is carried out by means of capacitors and a smoothing choke.
A traction motor inverter which converts the DC link voltage into a three-phase supply with variable frequency and variable voltage. Each traction motor inverter supplies two traction motors.

An active DC link protection:
- The purpose of the DC link protection is to dissipate fleeting voltage surges in the DC link caused by pantograph bounce during dynamic braking in the roof mounted resistor AZS. The electro-dynamic braking force is limited to 70 kN, as the total braking force is distributed among the trailing and driving bogies.

**Fig. 8: Converter Schematic**

**Fig. 9: Inverter**  **Fig. 10: Resistor AZS**

**Traction Motor**

The traction motors are four-pole, three-phase, asynchronous squirrel cage motors.

**Rating:**
- Continuous rating: 340 kW
- Short time rating: 380 kW (EMU Class 4024 with Warp Drive)

In the event that one traction motor becomes defective, the traction motor inverter is locked out by the traction system control unit ALC. This feature ensures that both traction motors of one bogie are no
longer available for traction in this event. The traction motors of one bogie cannot be uncoupled mechanically.

![Fig.11: Traction motor](image1)

Cooling

**Traction motor cooling**

The cooling air for the traction motors is drawn in through an opening in the roof of the vehicle and ducted to the traction motors. The air ducts are located in the side wall panels of the vehicle. The traction motor blowers are radial blowers with a power supply of variable voltage and variable frequency.

![Fig.12: Traction motor blowers](image2)
Cooling of transformer and converters

The cooler group for the power pack is a compact unit bolted onto the transformer. The transformer is oil cooled whereas the converters are water cooled.

The core of the cooler group is a twin-circuit radiator for the cooling media water and transformer oil. The cooling air is drawn in at the side of the vehicle and the discharge air is exhausted downward towards the track bed. The air drawn in is filtered by means of a cyclone type filter to prevent the ingress of coarse dust, snow and water.

19. AUXILIARY SYSTEMS

Compressed air supply

The brake Frame in each A-Car is equipped with electric powered double-chamber-compressors which generate the compressed air and supply the main air reservoir in each end car with compressed air of 7-9 bar with 850 l/min. The air supply system consists also of a regenerative, double chamber air drying unit with integrated oil separator, an air filter and an oil/water separator.
Compressed air piping

The piping for the compressed air is made of VEBEO fittings. Seamless stainless steel pipes are used for the piping.

Control of the compressed air supply

The compressed air supply system is equipped with pressure switch and safety relief valve protected against over pressure. The pressure in the main air reservoir can be monitored from the driver’s cab and via the control system also via the system display.

Air cleaning system

The compressed air is being cleaned from dirt and particles as well as of oil and water. Each compressor is equipped with regenerative, double chamber air dryer with integrated oil separator. The dryer consist essentially of two chambers with adsorptive desiccant material and integrated oil separator, control magnet valves, two check valves, one regeneration chock, three piston valves and exhaust silencers.

Air reservoirs

The compressed air is stored in reservoirs from where it powers all the pneumatic consumers connected to the continuous main reservoir pipe.

Drainage

Each compressed air tank is equipped with a drainage valve.

20. BATTERY SYSTEM

The battery system of the EMU consists of a set of batteries with its charging devices in every A-Car. The batteries supply the “vehicle plus” with energy to drive all 110V consumers like

- emergency illumination
- signal illumination
- inside lighting
- control system
- cab radio
- passenger information system
- fans to supply the passenger compartment with fresh air
- traction system
- aso.

The batteries charger is powered by auxiliary converter (in On-Board-Power-Supply). Charger is a 19” rack unit, mounted in the electrical cabinet inside the drivers cab. Each end car is equipped with a single charging device: if one device fails, the other device can load both ends.
Batteries

The battery box is mounted in the underframe below driver's cab. Protection devices (fuses, overload switches, etc.) are located beside the boxes or in the electrical cabinet directly above. This way the necessary wiring from the auxiliary generator to the battery box and the cabinet will be short.

Ventilation of battery boxes

The ventilation of the battery boxes is made by self-ventilation via openings in the battery boxes.

Control of the battery system

The control of the batteries is done by the main control system, which monitors low voltage, charging current, warning of battery charging device, deep discharge and informs the driver via warning on Display and signal lamp.

21. CABLING

The cabling is made of halogen free material according to UIC Standards. All lines and cables are chosen according the expected electric power requirements during operation. The installation is made in such a way that the expected vibrations and shocks occurring during the railway operation have no effect.

22. BRAKE SYSTEM

The brake system of the EMU comprises a model KBGM-P automatic, active direct, electro pneumatic brake with load correction and continuously variable control. It includes a purely pneumatic support level using the brake pipe and distributor valve.

The brake system is designed for the following applications:

<table>
<thead>
<tr>
<th>Service braking</th>
<th>Provided primarily by the electric regenerative brake, blended with the EP brake, maximum deceleration approx. 1.2 m/s²</th>
</tr>
</thead>
<tbody>
<tr>
<td>Emergency braking</td>
<td>Maximum brake forces; deceleration approx. 1.4 m/s² at 80 km/h. Only use of electro pneumatic brake via the distributor valve.</td>
</tr>
<tr>
<td>Parking brake</td>
<td>Spring-actuated cylinders mounted on the brake callipers in the motor bogie (MB)</td>
</tr>
</tbody>
</table>

Brake control

Each cab contains a master controller to operate the brake system, a duplex pressure gauge to indicate MR and C pressure, an emergency stop button, a switch to operate the parking brake and a "parking brake applied" lamp.
Each A-car and the C-car has a KBMG-P brake control unit. These brake control units operate quite independently and control the brake equipment of the own car and the next B-car.

**Master controller**

The master controller or drive/brake controller, which is operated by the cab driver, produces the set-points representing the tractive effort and brake force. These set-points are transmitted to the vehicle controller and the KBGM-P central brake control unit.

The position-dependent master controller can be set as follows:

- $F_{z\text{max}}$ (max. tractive effort)
- $F_{z}$ (range of tractive effort)
- $N$ (tractive effort / brake force 0 -
- $F_{b}$ (range of service brake force)
- $S_{B}$ (emergency brake - notch)

**Blending**

The brake control unit provides for blending with the electric regenerative brake. The aim is to deliver as much of the required braking energy as possible by non-wearing means.

**Emergency braking concept**

The EMU has an on-board emergency braking loop. When this loop is opened, the emergency brake contactor takes the brake control unit the non-emergency signal. The brake control unit builds up brake pressure via a second path, acting on the emergency application valves, mounted on the brake manifold panel.

The emergency brake loop can be opened by the driver (with the master controller or emergency stop button), by a passenger (with the emergency brake), or by the ATC or dead-man control.

**Parking brake**

The spring parking brake is controlled electro pneumatically from a switch in the driver's cab. The spring-actuated cylinders are integrated in the brake calliper units on the motor bogie and are charged with compressed air to release the spring brake.

Each motor car has a pneumatic spring actuator module to control the spring actuators.
Disk brakes

The EMU is equipped with state-of-the-art disk brakes. The brake disks are wheel-mounted at all axles. The brake callipers units are a modern, compact design.

The bogie has four gray cast iron brakes disks, each of which is combined with a compact brake calliper unit. Each axle of the motor bogie has a spring actuated parking brake cylinder integrated in a compact calliper unit. The brake shoes have a sept area and snap lock gates for fast pad replacement. The brake pads are the standard organic UIC.

Wheel slide control

The wheel slide control systems helps optimise slip when wheel-to-rail adhesion is poor. It keeps the wheel sets turning and prevents skidding. The brake cylinder pressure is regulated by anti-skid valves. Each of the driven axles and trailing axles has an anti-skid valve providing wheel slide control on “per axle” basis.

Each of the axles has a single-channel speed sensor and a rotating gear. The axle bearing caps are modified to accommodate the speed sensors.

The electric signals from the speed sensors are processed by the brake control unit. The anti-skid valve will adjust the brake cylinder pressure accordingly if one or more of the wheel sets is found to be sliding. The working principle of the wheel slide control logic is similar to that of the UIC-approve MGS2 wheel slide controller.

Air suspension

With help of the air suspension it is the aim to give the passengers an improved ride by minimising the dynamic influences. The air spring bellows carrying the car bodies over the bogies cushion the shocks caused by running on rails. The air suspension of the EMU has a “two-step-control”.

Each car body has an overflow valve and an isolating cock carrying compressed air from the main reservoir to the suspension system. Each bogie has one levelling valve mounted on the car body. The working lever of these valves is joined to the bogie frame by linkage. The levelling valves control the pressure in the downstream air spring bellows and in the associated auxiliary volumes.

The pressure is regulated according to the load on the connected car body. When a car body is unloaded by passengers alighting, it is lifted because the pressure in the air spring bellows is still constant. When a car body is loaded by passengers boarding the process is reversed and the levelling valve charges the air spring bellows.

Damper between the bogie and car body and small air bores inside the levelling valves eliminate the influence of dynamic movements between the bogies and car body as the train travels along the track.

The pressure in the air spring bellows can be checked at the test fittings for repair and maintenance.
23. INTERIOR

The interior of the EMU is modular, design to prevent vandalism and for easy cleaning. Modern panelling materials are used. Standing room in coach can accommodate at normal crush load 300 passengers (6pers/sqm) up to 375 passengers (8pers/sqm) at crush load. Lots of Handrails assure save condition to all standing Passengers in the coaches.
The Gangway with clear width of 1100mm allows rapid and save passenger flow from on coach to the next.

Floor

The floating wooden structure, on which the floor covering is applied, is elastically supported on the car body structure. Between the wooden frame and the car body structure glass wool is laid in for noise and thermal insulation.

For vibration reduction rubber pads are added between the wooden floor and steel structure of the car body and in the space between the seats dampers are added between the wooden floor and steel floor. The floor plate is of plywood with a layer of rubber filled between the two layers of the plywood. This improves the noise isolation of the floor of about 2 dB compared to common plywood. This kind of plywood also has the function of vibration reduction. The floor board is lapped at the joint without any seams for better noise isolation.

The floor covering is abrasion-resistant with anti-skid characteristics. The covering is furthermore wear-resistant, flame resistant, sulphide resistant and chemical resistant and its area-wide clotted. On the borders the floor covering runs out in a curvature to form a tub in order to avoid the leakage of water into the under frame.

Interior decoration

The sidewalls will be built of aluminium honeycomb plates, which are weighted, not apt to deformation and easy to clean. The chosen material has also good noise isolation characteristics. The sidewalls are modular and if possible interchangeable between each other.

The ceiling will be of fibre glass reinforced plastics for both side ceiling and mid-ceiling plate. The invisible outlet port of the air conditioner is placed at the joint between the mid-ceiling plate and side ceiling plate. The flat ceiling plate will be of glass fibre reinforced plastics. The plate of the middle passage is removable and can be used as inspection door.

Internal wall

No internal wall is foreseen except for the dividing wall between driver’s cab and the passenger compartment. As far as possible the dividing wall will be of safety glass with two layers.
Seats
Each Coach is fitted with 6 longitudinal benches made out of Stainless Steel which can accommodate 42 seated passengers in a comfortable way.

Hand holds / hand rails
There are 3 different kinds of handrails inside the car:
- Entrance room
  For entry and exit of passengers at regularly scheduled stops.
- Handhold for standing passengers
  on the roof of passenger compartment are lots of single handholds
- Handhold for standing passengers vertical
  adequate handholds for standing passengers are provided through vertical grab poles

The strength of hand rails meets the requirements of the UICS66 standard.

24. INTERIOR LIGHTING SYSTEM

The lighting of passenger compartment and vestibule uses 240 V fluorescent lamps powered by inverters which convert DC 110V supply into AC supply. Every lamp has an inverter.

The illumination of passenger compartment and driver's cab meets UIC 555.

For low energy consumption the lighting is cut in two lines which illuminate the compartment direct and indirect.
In entrance rooms separate fluorescent lamps support the general illumination.
There are three different modes of lighting: Full – Half - Emergency light

Emergency Lighting
Emergency lights are DC 110V lamp.

As the fluorescent lamps will turn off automatically when the supply system has trouble, the emergency lamps will be powered directly by batteries for a certain time.

25. CONTROL SYSTEM

Each end A-vehicle is equipped with a Main-Control-Unit, each B-vehicle is equipped with a Traction-Control-Unit. All control units are connected via train-bus. The subsystems are connected to the Control-Units over Decentral-Controls or by hard wiring.

The diagnostic events of each vehicle in the train are displayed and stored on the Driver's Display.
The control system is qualified to realize applications up to SIL 3.

The application program is designed according to international quality and safety standards such as ISO 9001 rev 2000 and EN50128.

Proposed schematics of the Vehicle control system of a 4 car vehicle:
Proposed schematics of the Vehicle control system of a 6 car vehicle:

**Vehicle control system**

The following chapters include a functional description of the main control of the EMU:

**Entering the vehicle**

The driver or the train staffs are able to enter a deactivated vehicle through the passenger doors of the A-vehicle by using one of the key switches at the outside of the car.

**Vehicle activation and deactivation**

By switching the activation switch the power supply of the control-system and the subsystems is turned on. If the temperature of the control system is within its specified temperature range it will start up automatically if not it is necessary to activate the automatic preheating.

The control system will stay active as long as the power supply or the Battery-Voltage is OK unless it is deactivated by switching the deactivation switch. The deactivation process is automated as well so that all subsystems can finish their proper power down procedure before the power supply is switched off.
Operating mode

If the vehicle is activated and in the ready for operation mode the driver can put it in the operating mode by switching the key switch on the driver's desk he will use for operating the train. With this action all controls of this driver's desk are activated. On the other Driver's desk only specified controls such as emergency break or emergency turn off are activated, all the other controls are inactive as to avoid misoperation.

High voltage equipment

The pantograph of the B1-vehicle can be raised by pressing the "pantograph up button" on the activated driver's desk. If the catenary wire voltage is OK the power switch can be turned on by pressing the "power switch on button" on the activated driver's desk. If the primary current exceeds a certain limit or if the catenary wire voltage falls under a defined limit the power switch will open automatically as to prevent damage to the system. The high voltage supply is connected to the power units of B1- and B2-vehicle. If one of the emergency turn off switches of the train is pressed the power switch is turned off and the pantograph is lowered.

Driving direction

The driving direction of the train is affected by the driving direction switch on the activated driver's desk. The driving direction can only be changed in standstill of the train. If the driver changes the driver's desk the driving direction stays stored until the other driver's desk is activated.

Traction effort

The traction effort of the two power units in the train is controlled by the control lever on the activated driver's desk. The traction effort is turned off automatically if the braking pressure exceeds a certain level, the pressure of the main brake pipe falls under a certain level, a door is opened or a fatal error occurs. Traction effort can only be turned on again if the reason for turning it off is gone and the control lever is moved back to 0.

Braking system

The braking system is controlled by its own control system. Only Fatal Errors, warnings and maintenance indications are submitted to the main control system. The pressure values of the air supply, the main brake pipe and the pressures of the brake cylinders are displayed on the driver's display. To reduce the wear of the break disks the brake control uses the electric regenerative brake. This function is called blending.

The spring-operated brakes of the train can be set and released on the activated driver's desk. In Standstill all spring-operated brakes are set automatically if the driver's desk is deactivated.

Door control

The vehicles are equipped with sliding doors.

The opening of the passenger doors is controlled by a rotary switch on the activated driver's desk. The driver can select left side open, right side open, both side open, non open. The enabled doors can only
be opened in standstill. With two push buttons the driver can force all selected doors to open and all doors to close. In Standstill the passengers can open an enabled door by pressing the door open switch mounted on the inside and the outside of the wing of the door. Open doors close automatically after a specified period of time.

The closing operation of each door is indicated by an acoustic device mounted near the door. In case of emergency even blocked doors can be opened by a mechanical emergency open handle mounted on the inside and the outside of the car body near each door. Doors with malfunctions can be mechanically locked. Traction effort can only be switched on if all doors of the train are closed and blocked or mechanically locked.

All Fatal Errors, warnings and maintenance indications are submitted to the main control system.

Signal lighting

The signal lighting is switched automatically according to the chosen driving direction. The automatic signal lighting can be overruled manually by a rotary switch in each driver's cab.

System Display

It shows all fatal errors, warnings and useful information to the driver.

Information on Display:

- Traction (Traction free or details information about the reason why the car is not allowed to drive)
- doors (open, closed, Error)
- Power units (coolant temp., Transformer temperature, traction effort)
- Transmission system
- speed
- Battery information (Voltage, charging, ...)
- Brake status

Dead-man device

The installed dead-man system is designed according to UIC 641.

This device controls the driver when the train speed is more than 20 km/h and works on time base. (If required less than 20 km/h value can be set later).

If the driver doesn't press the push button or the footswitch for 2.5 seconds a signal lamp will appear and an acoustic signal reminds the driver.

After further 2.5 seconds tractive effort is shutdown and an automatic brake stops the train.

The same function will be applied if the driver presses the button longer than 30 sec.

When the driver operates one of the two controls the function will be reset.
The dead-man system consists of:

- Push button (operated by hand)
- Foot switch
- Light indicator (yellow)
- Loudspeaker
- Input and output signals of the main controller
- Input signals in the brake control system

Diagram:

- **cab1**
  - Push button
  - Maincontrol 1
  - Brake control (emergency brake)

- **cab 2**
  - Push button
  - Maincontrol 2
  - Brake control (emergency brake)

- Train bus
26. CAB

A full width cab will be provided at each end of the train on the A coaches. The driving console and fully adjustable operator’s seat will be located on the left hand side of the cab, with the equipments and console being designed ergonomically for one man operation.

The driving console comprises the control desk and the dashboard. The control desk houses Fore and Apt type master controller for manual operation with the mode selector. Mounted on the console desk are also push buttons for door control, ATO start and emergency stop. A foot-operated horn will be provided for whistling. During normal station stop the train operator remains in the cab for control of passenger doors. The radio communication system is provided in the console desk. The dashboard will be provided with the speedometer indicating the Automatic Train Control (ATC) target speeds, duplex air pressure gauges and variety of indicators.

The ATC equipment will be housed within the cabinet in the non driving side of the cab with the partition wall behind driver’s seat housing fault / status indicators, miniature circuit breakers (MCBs) and auxiliary push buttons.

Two hinged door leaves will be located at each cab/coach partition wall, which can be opened in emergencies for the passengers to move toward coach cab and for evacuation. Emergency detraing doors will be located in coach cab end.

These will have two functions
• Folding down to provide a ramp to track level.
• Sliding aside to give access to the cab of a coupled train.

Under emergency condition passengers can be detrainged to the track by first releasing the door, pushing it over vertically and then unfolding it to form a sloping ramp to track level. Alternatively passengers can be detrainged to a coupled train with the doors pushed out and slid aside to provide wide exit passage. Passengers will be detrainged under staff supervision by the use of detraining plates normally will be kept in the emergency equipment cabinet under the adjacent seats.

27. PASSENGER INFORMATION SYSTEMS

The Passenger Information Systems provides the following functions:

Inside the EMU:                           - Train destination (multi lingual)
                                          - Next stop (multi lingual)

OPTIONAL:
Outside the EMU:                           - Train destination
                                          - Next stop

The system consists of:
• LED – displays inside and outside
• Central processing unit
• Display for the driver
Functional description

The driver enters the train number in the respective window of the MMI so that the correct train destination and stops are indicated on the displays. In the memory of the CPU the information of every destination corresponding to the used train number are stored. The CPU transmits the destination information to the displays via the IBIS Bus. The text shown on the displays is free programmable according to the operators needs and requirements within the limits of the system. The displays have discrete addresses, so every display can show individual texts.

The CPU also starts the announcements of the ELA via an IBIS interface.

Passenger Information System (destination Displays)

In... Inside LED Displays
Out... Outside LED Displays

In 6-Car version: addition C-Car an B1-Car with the same equipment as in B-Cars.
28. TRAIN RADIO COMBINED WITH DRIVER-PASSENGER INTERCOM SYSTEM

The device provides the following functions:

- Train Radio
- Select switch Train-Radio <-> Passenger Intercom
- Announcements driver – passengers outside
- Communication passenger – driver
- Cab-to-cab communication
- Option: Automatic announcements of the next train stop
- Option: Music broadcasting

The device consists of:

- Train Radio
- Loudspeaker in drivers cab
- Microphone in drivers cab
- Loudspeakers in the passenger compartment
- Loudspeakers at the doors outside the car at the doors
- Operating elements for the driver
- Two central units (one master and one Slave)

Functional description

Microphone and monitor speaker in the cab are shared with the radio system and the mode changed to passenger intercom by the selecting switch.

The driver can activate the announcements and the cab-to-cab communication by push button.

If a passenger wants to talk to the driver he needs to activate the emergency call unit located in each entrance area. The call will be automatically transmitted on the cab loudspeaker. The driver has to push a button to answer the call. If another emergency call arrives, the first call has to be completed before the next call can be activated.

The master or the slave device (depending on the activated cab) activates the selected function. The driver has a microphone with an integrated push-to-talk button to make his announcements and to answer emergency calls.

The automatic train stop announcements are activated by the RBL system. The announcements will be started approximately 800 meters before the next stop.

The music can be entered in the system by an external CD-Player or radio (option).
Passenger Information System (ELA)

In 6-Car version: addition C-Car and B1-Car with the same equipment as in B-Cars.

29. AIR CONDITION

Each coach is provided with two numbers of high performance air conditioning units of adequate cooling capacity each.
The temperature inside passenger compartment is controlled automatically by AC-Control unit inside the Low Voltage cupboard.
Under normal conditions the temperature will be 25°C. At external ambient temp. above 35°C a temperature differential of 10°C is maintained to prevent passengers experiencing "thermal shock"

In Case of an emergency without working Air condition suitably designed blowers provided enough fresh air for passengers. These blowers are powered direct by batteries.

30. FIRE PROTECTION

The rolling stock will be provided with all the fire prevention measures contained in the "Code of Practice for Prevention of Fires on EMU Stock" published by RDSO with latest amendments.
All cables and wirings will be of proven fire retardant, low smoke and halogen free type. All significant cable runs are protected by MCBs and Fuses. Inflammability and smoke parameters confirms to the specifications contained in relevant National / International standards.
The coach floor, walls including doors and both sides of any equipment areas within the coach body and the rear walls of the Drivers cab have adequate fire barriers of required duration as per the internationally accepted standards.

An adequate number of fire extinguishers is pasted inside passenger compartments an drivers cap.
ROLLING STOCK STANDARD
RS/C651/04  ELECTROMAGNETIC COMPATIBILITY

1 Introduction

The purpose of this Standard is to define the Electromagnetic Compatibility (EMC) requirements of this Contract. It covers the EMC requirements between trainborne equipment, signalling equipment, communications equipment, power supply equipment, nearby equipment and adjacent external installations. All the supplied trainborne equipment shall operate reliably in terms of performance and functionality in the electromagnetic environment existing in the Urban Lines and the TKE and shall not affect other third parties within or near the Urban Lines and the TKE.

If the supplied equipment affect any system for the operation, support of service, and safety of the Urban Lines and the TKE, further works shall not be allowed on the supplied equipment until the Contractor has eliminated the interference to the affected systems.

2 Standards

The supplied equipment shall meet the following standards, where applicable, on EMC requirements:

2.1 International Standards

2.1.1 IEC 801 Part 3 : 1984 (BS6667)
   Electromagnetic Compatibility for Industrial Process Measurement
   and Control Equipment - Method of Evaluating Susceptibility to
   Radiated Electromagnetic Energy

2.1.2 IEC 801 Part 4 : 1988
   Electrical Fast Transient/Burst requirements

2.1.3 IEC 1000-4-1 : 1992
   Testing and Measurement Techniques
   Section 1 : Overview of Immunity Tests

2.1.4 IEC 1000-4-2 : 1995
   Testing and Measurement Techniques
   Section 2 : Electrostatic Discharge

2.1.5 IEC 1000-4-3 : 1995
   Testing and Measurement Techniques
   Section 3 : Radiated, Radio-Frequency, Electromagnetic Field
   Immunity Test

2.1.6 IEC 1000-4-4 : 1995
   Testing and Measurement Techniques
   Section 4 : Electrical Fast Transient/Burst Immunity Test
2.1.7 IEC 1000-4-5 : 1995
Testing and Measurement Techniques
Section 5 : Surge Immunity Test

2.1.8 IEC 1000-4-6 : 1996
Testing and Measurement Techniques
Section 6 : Conducted Disturbances Immunity Test

2.1.9 IEC 1000-4-8 : 1993
Testing and Measurement Techniques
Section 8 : Power Frequency Magnetic Field Immunity Test

2.1.10 CCITT Recommendation K Series

2.2 European Standards

2.2.1 ENV 50121-3-1 : 1996
Railway Applications - Electromagnetic Compatibility
Part 3-1 : Rolling Stock - Train and Complete Vehicle

2.2.2 ENV 50121-3-2 : 1996
Railway Applications - Electromagnetic Compatibility
Part 3-1 : Rolling Stock - Apparatus

2.2.3 EN 50081-1 : 1992
Electromagnetic Compatibility - Generic Emission Standard Part 1
Residential, Commercial and Light Industry.

2.2.4 EN 50081-2 : 1994
Electromagnetic Compatibility - Generic Emission Standard Part 2
Industrial.

2.2.5 EN 50082-2 : 1995
Electromagnetic Compatibility - Generic Immunity Standard Part 2
Industrial.

2.2.6 EN 55011 : 1991
Limits and Methods of Measurement of Radio Disturbance
Characteristics of Industrial, Scientific and medical (ISM) Radio
Frequency Equipment

2.2.7 EN 55022 : 1995 (BS6527)
Limits and Methods of Measurement of Radio Interference
Characteristics of Information Technology Equipment.

2.2.8 EN 50061 : 1988
Electromagnetic Compatibility - Safety of Implantable Pacemakers
2.3 Local Standards/Regulations

2.3.1 Hong Kong Telecommunication (Control of Interference) Regulations 1993 Edition (Chapter 106 subsidiary legislation)

2.4 Rolling Stock Standards

Refer to RS/C651/02 on the general specification for electronic and electrical equipment used on trains.

2.5 Whenever quoted standards duplicate requirements for a particular performance criterion, the more applicable standard shall apply at the discretion of the Engineer.

2.6 The Contractor may propose alternative EMC standard(s) for Approval.

3 Definitions and Abbreviations

3.1 Definitions

Not used.

3.2 Abbreviations

The following abbreviations are used in this Standard:

- ATO: Automatic Train Operation
- ATP: Automatic Train Protection
- CCTV: Closed Circuit Television
- CID: Criminal Investigation Department
- EMC: Electromagnetic Compatibility
- FMECA: Failure Modes Effects and Criticality Analysis
- PABX: Private Automatic Branch Exchange
- SCADA: Supervisory Control and Data Acquisition
- TKE: Tsueng Kwan O Extension
- TMS: Train Management System

4 Compatibility Requirements

4.1 Interference Considerations

The Contractor shall take into account the following interference mechanisms in his design and take all necessary measures to eliminate their impact on the supplied equipment:

4.1.1 Radiated interference - at a distance greater than approximately one sixth of a wavelength away from a radiating antenna or interfering source the radiation field dominates and is the mechanism to be considered;
4.1.2 Induced interference - can be due to direct magnetic induction from power electronics and cables. The induction field produced by rate of change of current not equal to zero is the most significant component at distances less than one sixth of a wavelength away from the disturbing source;

4.1.3 Conducted interference - can take several forms:

(a) Interference present on the power supply to equipment

(b) Equipment that shares a common earth return can be subjected to interference from other equipment due to voltage drops across the return path

(c) Signalling equipment that uses the running rails as conductors to transmit or receive signals is subject to interference coupled into the running rails as a result of traction return current in the running rails

(d) Equipment with external interface can be subjected to interference present on interface cables and wires

4.1.4 Electrostatic discharge - occurs under dry atmospheric conditions in which electrostatic discharge from the human body (can become charged to several thousand volts) can result in loss of data or may physically damage equipment containing sensitive components.

2 General Electromagnetic Interference

The Contractor shall ensure that there is sufficient protection to prevent Electromagnetic Interference (EMI) from any components and systems of the supplied trainborne equipment, including traction motors and auxiliary converters, from affecting the following on-board systems and systems within and adjacent to the Urban Lines and the TKE. Components and systems to be considered shall include, as a minimum, the following:

4.2.1 All trainborne electronic and electrical equipment including those for doors (refer to PS 16), air conditioning and ventilation system (refer to PS 15), pneumatics and air distribution system (refer to PS 13), traction and braking (refer to PS 11), auxiliary electrical supply (refer to PS 17) and train management system (refer to PS 10).

4.2.2 All trainborne communication equipment including the equipment listed in PS 18

4.2.3 All trackside and adjacent communication systems including:

(a) All communication and SCADA equipment and cables

(b) Communication systems in all Urban Lines stations, all TKE stations, Operation Control Centre (OCC), all Urban Lines Depots and TKD
(c) Train Radio system
(d) Hand Portable Radio system
(e) Royal Hong Kong Police and Criminal Investigation Department (CID) Radio system
(f) Fire Services Department Radio system
(g) Paging system
(h) Public Mobile Radio-telephone system
(i) Personal Communication Network system
(j) Public Broadcast Services and Communication Services including AM radio, FM radio and pay phone
(k) Direct Line Telephone, Private Automatic Branch Exchange Telephone (PABX) and Tunnel Telephone

4.2.4 Urban Lines and TKE Signalling and Automatic Train Control system including both the trackside and trainborne equipment and cables

4.2.5 Customer goods and appliances including:
(a) Personal cassette and compact disc players
(b) Personal (portable) computers including electronic organisers
(c) All magnetic media appliances - audio/video/computer
(d) Hearing aids and heart pace makers

4.2.6 Public Address system
4.2.7 Passenger Information Display system
4.2.8 In-Cab and platform CCTV
4.2.9 Platform Screen Door
4.2.10 Sensitive equipment (for example monitors, computers) in Platform Supervisory Booth and Station Control Room
4.3 Rolling Stock Radiated and Conducted Emissions

4.3.1 As a minimum requirement, the maximum levels of radiated EMI and conducted emission of trainborne equipment shall not exceed the levels specified in the European Standard EN 50081-2.

4.3.2 The specific emission requirements as detailed in Clause 5.3, 6.3 and 6.4 for ensuring electromagnetic compatibility with communication and signalling equipment shall be observed.

4.4 Rolling Stock Equipment Immunity Levels

4.4.1 All trainborne electrical and electronic equipment shall be immune from any radiated electromagnetic interference produced by other pieces of trainborne equipment and external sources. All electrical and electronic equipment shall be immune from electromagnetic field strengths of 20 V/m (146 dBμV/m) in the frequency range of 27 - 3000 MHz.

4.4.2 Any equipment which contains sensitive electronic components to electrostatic discharge and is likely to be handled or touched by personnel or customers shall be protected against electrostatic discharge.

4.4.3 All trainborne electrical and electronic equipment shall be immune to the conducted interference levels as defined in the European Standard EN 50082-2 in the frequency range 150 kHz to 80 MHz.

4.4.4 The possible external and internal sources of emission that may affect the trainborne equipment include:

(a) Radio systems of the Urban Lines and TKE including Train Radio, Hand Portable Radio, Royal Hong Kong Police and Criminal Investigation Department (CID) Radio, Fire Services Department Radio, Paging, Public Mobile Radio-telephone and Personal Communication Network

(b) Traction and auxiliary equipment including the auxiliary converter and lighting inverter

(c) Magnetic fields generated from traction motors and catenary

(d) Passenger Information Display system

4.4.5 The sensitive equipment on board which are susceptible to the sources described in Clause 4.4.4 include:

(a) Propulsion system, auxiliary load, air-conditioning unit, lighting inverter control and monitoring equipment

(b) Train Management System (TMS)
(c) Digital Voice Announcement System and Public Address (DVAS and PA)

(d) In-Cab CCTV trainborne video display and control equipment

(e) Flashing System Map (FSM), Electronic Information Display System (EIDS), Electronic Destination Display (EDD) and Electronic Train Number Display (ETND)

(f) All other electronic equipment

5 Communication Equipment Compatibility

5.1 The communication equipment of the Urban Lines and TKE shall operate reliably in terms of performance and functionality in the electromagnetic environment produced by the trains.

5.2 The Contractor shall liaise and co-operate with the Communication contractor in the exchange of EMC data and related equipment performance characteristics to produce a compatible system.

5.3 In addition to the requirements detailed in Clause 4.3, the radiated emission at a distance 0.5 m in any direction from any component of the supplied communication equipment shall not be greater than the field strength for the frequency bands shown in the following table:

<table>
<thead>
<tr>
<th>Item</th>
<th>Radio Equipment Susceptible to Interference from the Supplied Equipment</th>
<th>Frequency Range (MHz)</th>
<th>Channel Bandwidth (kHz)</th>
<th>Maximum Allowable Radiated Emissions from the Supplied Equipment in dB(μV/m) (Quasi-peak)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Train Mobile Radio</td>
<td>Upgrade</td>
<td>77 - 83</td>
<td>12.5</td>
<td>5.8</td>
</tr>
<tr>
<td></td>
<td>Existing</td>
<td>82 - 88</td>
<td>12.5</td>
<td>12.4</td>
</tr>
<tr>
<td>2. Hand Portable Radio</td>
<td></td>
<td>165 - 171</td>
<td>12.5</td>
<td>11.1</td>
</tr>
<tr>
<td>3. Criminal Investigation Department [CID] Radio</td>
<td></td>
<td>142 - 151</td>
<td>12.5</td>
<td>20.9</td>
</tr>
<tr>
<td></td>
<td></td>
<td>440 - 470</td>
<td>25</td>
<td>17.8</td>
</tr>
<tr>
<td>4. Paging</td>
<td>24 Ch.</td>
<td>171.8 - 172.8</td>
<td>25</td>
<td>17.8</td>
</tr>
<tr>
<td></td>
<td>60 Ch.</td>
<td>279 - 280</td>
<td>25</td>
<td>17.0</td>
</tr>
<tr>
<td>5. Fire Services Department Radio</td>
<td>Existing</td>
<td>147 - 151</td>
<td>12.5</td>
<td>11.4</td>
</tr>
<tr>
<td></td>
<td>Potential</td>
<td>806 - 863</td>
<td>25</td>
<td>26.2</td>
</tr>
<tr>
<td>6. Royal Hong Kong Police Radio</td>
<td></td>
<td>440 - 445</td>
<td>25</td>
<td>20.9</td>
</tr>
<tr>
<td>7. Public Mobile Radio-telephone</td>
<td></td>
<td>824 - 960</td>
<td>30, 200, 1250 (see note)</td>
<td>31.4</td>
</tr>
<tr>
<td>8. Personal Communication Network</td>
<td></td>
<td>1700 - 1900</td>
<td>200</td>
<td>37.7</td>
</tr>
</tbody>
</table>
9 | In-Cab CCTV Monitoring System (applicable to trackside and trainborne equipment) | 2400 - 2500 | 20000 | 40.7 |

Note: Channel bandwidth for TDMA, GSM and CDMA mobile telephone are 30 kHz, 200 kHz and 1250 kHz respectively.

5.4 The Contractor shall limit levels of chopper/inverter harmonic currents/inrush current into trainborne filters and provide sufficient train input impedance to limit 600 Hz and 1200 Hz supply ripple currents. This shall be carried out to a degree such that induced voltages on sheathed communication cables shall not exceed the CCITT recommendations under normal and fault conditions.

5.5 All trainborne communication equipment supplied by the Communication contractor will be immune to any magnetic fields generated by trains, catenary/power return rails, power cables and traction motors.

5.6 The maximum psophometric current measured at the supply interface of the train under all conditions and at all times shall not be greater than:

5.6.1 10 A averaged over 20 second period

5.6.2 12.2 A averaged over 4 second period

5.6.3 13 A instantaneous value, where instantaneous is defined as the weighted value of a current over 20 millisecond samples treated as a repetitive waveform

5.6.4 The psophometric weighting shall be to the CCITT requirements described in the CCITT recommendations. The method of adding individual currents shall use a weighted RSS (root-sum-squares) method and the total shall not exceed the levels stated above. The conditions specified in this Clause shall apply under all normal modes of operation.

5.7 In addition to the EMC requirements for all trainborne equipment, the Contractor shall ensure that under normal supply conditions the static magnetic fields due to cables and traction motors and inductors in the power circuits and static magnetic fields due to currents in the overhead catenary and return rails, shall not cause noticeable effect on any saloon passenger information display boards, TMS display terminals or In-Cab CCTV trainborne video displays.

6 Signalling Equipment Compatibility

6.1 The signalling equipment of the Urban Lines and TKE shall operate reliably in terms of performance and functionality in the electromagnetic environment produced by the trains.
6.2 The Contractor shall liaise and co-operate with the Signalling contractor in the exchange of EMC data and related equipment performance characteristics to produce a compatible system.

6.3 Compatibility with the Urban Lines Signalling System

6.3.1 The existing Urban Lines track circuits operate at 8 - 12 kHz. The Contractor shall liaise with the Engineer to ensure compatibility.

6.3.2 The existing Urban Lines track to train continuous transmission operates at 50 - 60 kHz. The Contractor shall liaise with the Engineer to ensure compatibility.

6.3.3 The existing Urban Lines platform return channel operates at 140 kHz. The Contractor shall liaise with the Engineer to ensure compatibility.

6.3.4 The existing Urban Lines beacons operate at 90 - 110 and 140 kHz. The Contractor shall liaise with the Engineer to ensure compatibility.

6.4 Compatibility with the New TKE Signalling System

6.4.1 The train is required to interface with the new TKE signalling system. The full details of this system are yet to be defined and some general outlines are listed as follows:

(a) The new signalling system will be a fully ATP/ATO system. It will be a transmission based system implemented using either:

(i) Radio based leaky feeder cable technique or
(ii) Inductive pick-up

(b) Jointless audio frequency track circuits used for initialization and back-up of the system.

(c) Axle counters will be used at critical locations

6.4.2 All interference mechanisms as described in Clause 4.1 shall be considered for ensuring compatibility with Urban Lines and TKE signalling equipment.

7 Public Systems Compatibility

The Contractor shall ensure that any static or alternating magnetic fields generated by the trains shall not interfere with the correct operation of customer goods or any magnetic media as listed in Clause 4.2. The flux density between the floor level and 2 m above floor level shall not exceed 1 mT. These limits shall apply under all normal modes of operation.
8 Testing Requirement

In order to ensure and demonstrate EMC compliance, a comprehensive programme of testing is required to be performed by the Contractor. The tests shall include, as a minimum, the following:

8.1 Vehicle Level

8.1.1 Vehicle Interference Test

The complete vehicle shall be designed and tested for influences to typical installations in the vicinity of the Urban Lines and TKE systems to ENV 50121-3-1.

8.1.2 Vehicle Immunity Test

The complete vehicle shall be designed and tested for electromagnetic field immunity to ENV 50121-3-1.

8.2 Equipment Level

9.2.1 Equipment Performance Criteria for Immunity Tests

All equipment supplied shall not become dangerous or unsafe, or be damaged as a result of the application of the immunity tests. One of the following performance criteria shall apply according to the tests performed:

(a) Performance Criterion A:

The equipment under test shall maintain normal performance within the specified limits throughout the course of the tests. No software codes or data shall be affected in any way by the tests.

(b) Performance Criterion B:

The equipment under test may suffer from a temporary degradation or loss of function or performance during the tests. The equipment under tests must be self-recoverable without any operator intervention or system reset upon withdrawal of the test waveforms/signals. No software codes or data shall be affected in any way by the tests.

8.2.2 Interference Test

(a) Radiated Frequency Emission

All equipment supplied shall be designed and tested to EN55011 Group 1 Class A emission levels for radiated frequency emission.
(b) Conducted Emission

All equipment supplied shall be designed and tested to EN55011 Group 1 Class A emission levels for conducted emission.

8.2.3 Immunity Test

(a) Radiated Frequency Immunity

All equipment supplied shall be designed and tested to IEC 1000-4-3 Class 3 immunity levels for radiated frequency immunity. The performance criterion A (refer to Clause 8.2.1(a)) shall apply.

(b) Electrostatic Discharge Immunity

All equipment supplied shall be designed and tested to IEC 1000-4-2 Class 3 immunity levels for electrostatic discharge immunity. The performance criterion B (refer to Clause 8.2.1(b)) shall apply.

(c) Fast Electrical Transient Immunity

All equipment supplied shall be designed and tested to IEC 1000-4-4 Class 3 immunity levels for fast electrical transient immunity. The performance criterion B (refer to Clause 8.2.1(b)) shall apply.

(d) Surge Immunity

All equipment supplied shall be designed and tested to IEC 1000-4-6 Level 3 immunity levels for surge immunity. The performance criterion B (refer to Clause 8.2.1(b)) shall apply.

(e) Conducted Disturbances Immunity

All equipment supplied shall be designed and tested to IEC 1000-4-6 Level 2 immunity levels for conducted disturbances immunity. The performance criterion A (refer to Clause 8.2.1(a)) shall apply.

(f) Power Frequency Magnetic Field Immunity

All equipment supplied shall be designed and tested to IEC 1000-4-8 for power frequency magnetic field immunity. The severity level shall be submitted for Approval. The performance criterion A (refer to Clause 8.2.1(a)) shall apply.

These tests are not meant to be exhaustive in demonstrating EMC compliance. The Contractor shall propose additional tests as appropriate in order to demonstrate full EMC compliance.
9 System Engineering Management System

9.1 SEMP Stage 1

The Contractor shall prepare and submit an EMC plan for Approval during SEMP Stage 1. The EMC plan shall include the following:

9.1.1 The name and title of a person acting as single point of contact on EMC matters. Any subsequent change of nominated person shall be subject to Approval.

9.1.2 The roles and responsibilities of staff involved in EMC on the Contract, their job titles, previous experience and qualifications on EMC matters.

9.1.3 An organization chart highlighting persons involved in EMC on the Contract.

9.1.4 The overall methodology and steps to be taken on EMC throughout the Contract with expected dates of actions including documentation submission dates.

9.1.5 An initial list of design documentation, test specifications and test reports with a single paragraph description of each document to indicate compliance with the Standard.

9.2 SEMP Stage 2

Based on the EMC Plan, the Contractor shall prepare and submit an EMC philosophy document for Approval during SEMP Stage 2 which shall at least include the following:

9.2.1 Detailed methodology and steps to be taken on EMC

9.2.2 Design practices to be adopted throughout the design process to ensure EMC is ultimately achieved

9.2.3 Identification of emissive and susceptible equipment to which the design practices will be applied

9.2.4 Identification of interface(s) between systems that are sensitive to EMI and methods to achieve EMC

9.2.5 A signalling compatibility specification that include:

(a) A listing of interference sources and mechanisms applicable to each sub-system with quantitative analysis to define the worst case values of interference signals
(b) A review of the system design with quantitative analysis to determine whether the worst case levels of interference signals will disrupt normal operation of the system

(c) A description of proposed remedial measures to correct any identified problems

9.2.6 A communication compatibility specification that include:

(a) Identification of interference sources

(b) Design practices to be adopted to achieve EMC on communication equipment

9.3 SEMP Stage 3

Based on the EMC philosophy document, the Contractor shall prepare and submit an EMC design review document during SEMP Stage 3 for Approval which shall at least include the following:

9.3.1 Radiated EMI Requirements

Documentation shall be provided to demonstrate by design how the emission of radiated EMI has been minimized and also to demonstrate the steps which have been taken to achieve the required immunity of equipment to radiated EMI from all other sources.

9.3.2 Magnetic Field Requirements

Documentation of all calculations to show that the magnetic field requirements have been satisfied.

9.3.3 Inductive Interference Requirements

Documentation of all calculations to show that the psophometrically weighted current taken by the train does not exceed that specified in the Specification.

9.3.4 Conducted Interference Requirements

Documentation of all calculations to show the conducted interference requirements have been satisfied.

9.3.5 Signalling Compatibility Study

Documentation of steps taken to avoid interference with the TKE and Urban Lines Signalling systems which shall include details of any correspondence with the Signalling contractor to ensure EMC. The areas to be covered shall include:
(a) List of specific EMC requirements for each signalling equipment type
(b) Description of the traction and auxiliary equipment including circuit diagrams
(c) Fault tree analysis
(d) Failure modes effects and criticality analysis (FMECA)
(e) Normal and fault supply ripple current calculations for traction and auxiliary converter
(f) Supply filter resonant frequency design
(g) RFI shielding methods
(h) Analysis of the control system regarding potential EMI mechanisms

9.3.6 Communication Compatibility Study

Documentation of steps taken to avoid radiated EMI from trains affecting communication equipment.

9.3.7 Test Schedule

A schedule of tests to be conducted which define the specifications to be used for each test, the location where the test will be carried out, the facilities required and other parties involved. The minimum number of tests as specified in Clause 8 shall be included in the schedule.

9.3.8 Test Specification

Specifications for each test specified in the test schedule shall be produced in detail.

9.4 SEMP Stage 4

9.4.1 The Contractor shall submit report demonstrating that all EMC measures (such as installation of EMC shielding and ground plate) as identified and Approved in the EMC philosophy document and EMC design review document have been fully implemented to the required standards. Manufacturing and installation plan/procedures and inspection report highlighting the EMC related measures shall be included.

9.4.2 The Contractor shall conduct the specified testings during SEMP Stage 4. These shall be grouped into the vehicle and equipment level.
9.5 SEMP Stage 5

The Contractor shall consolidate all the testing results, calculations, theoretical analysis and design studies into a single document and submit the document to demonstrate the full EMC compliance with the Standard.

10 Supply Filter

10.1 A Radio Frequency Interference (RFI) filter shall be fitted to the trains. The purpose of this filter is to attenuate conducted emissions in the radio frequency bands through the catenary/running rail power supply to ensure that the requirements as stipulated in Clauses 5.4 and 5.6 are satisfied.

10.2 The filter shall be connected between the catenary/running rail incoming supply and all electronic/electrical equipment connected to this supply with the exception of high speed circuit breakers and over-voltage protection equipment. At least one RFI filter shall be provided for each pantograph.

10.3 The RFI filter shall consist of a ferrite cored inductor and a low inductance capacitor of at least 1μF.

10.4 The use of internal or external fusing elements within a multi-capacitor assembly is not accepted for input filter capacitors. Failure of individual capacitors shall be detected and the defective unit shall be shut down.

10.5 The supply filter shall provide sufficient impedance at 600 Hz and 1200 Hz to ensure that the ripple current in the catenary supply is sufficiently small to ensure that the touch voltage on all track side and nearby cables is below CCITT limits.
CHAPTER 11

CAR DEPOT

11.1 General

11.1.1 The car depot for the Versova – Andheri – Ghatkopar corridor will be located at DN Nagar (or alternatively at Ghatkopar). Due to space constraints the car depot track layout will have to be at the elevated floor at the level of the main line track layout. The proposed car depot is going to deal with the running maintenance activities and the Depot activities of the Rolling Stock.

11.1.2 At the DN Nagar Car Depot (Figures 11.1 to 11.3), the following facilities will be located:

a) Depot and Workshop for Rolling Stock
b) Depot for Fixed Installations
c) Depot for General services
d) Administration and Training facilities
e) Operational control centre
f) Depot Remote control centre

11.1.3 Therefore, the DN Nagar Car Depot will be a multipurpose plant area. It will serve not only for maintenance and repairs of MRTS Trains, but also will include cleaning facilities, stabling sidings, administration buildings, training facilities, stores, test track, emergency vehicles and control centre.

11.1.4 The depot design will cater for 6-car train with the provision for expansion to cover ultimate fleet size requirement to meet passenger demand.

11.1.5 Depot electrical services, depot signaling and depot communication details should be referred to Chapter 13, 14 and 15 respectively.

11.2 Planning of Maintenance Activities

11.2.1 Proper maintenance planning and supply of spare parts of the rolling stock should be organized in such a way to ensure the reliable functioning of the MRTS. Based on the technical specification for all essential components of MRTS maintenance and overhaul program for all system parts will have to be established. In addition, technical specifications for components, general system requirements must also be taken into consideration.
11.2.2 Further, the requirements resulting from operational concepts are especially important and have a major influence on the layout and planning of the car Depot. The important aspects are:

11.2.2.1 The number of coaches/Rakes required for daily peak hour service and number of coaches/Rakes available for maintenance attention during different hours of the day.

11.2.2.2 Starting and stabling schedules for incoming and outgoing rakes to and from the main line.

11.2.2.3 The numbers of system components and estimated number of hours required for inspection and repairs are the main input for determining the necessary capacities for each Depot. These can be broadly summarized as below:
   a) Spares requirements.
   b) Personnel requirement for the Depot
   c) Requirements for equipments and tools.

11.2.2.4 The proposed space provided for train washing, maintenance, repairs, inspection, storage, spare parts and handling must be accommodated within the available space taking into consideration the topography, maneuverability and alignment.

11.2.3 Details of rolling stock maintenance planning should be referred to Chapter 19.

11.3 Activity Wise Areas to Cater for

11.3.1 The Car Depot should provide for work areas for various equipment of the rolling stock and other functional and non functional assets of the MRTS. The area / earmarked places for different equipment should cover broadly the following:

11.3.1.1 Rolling stock components lifting of coaches for replacement/ removal of bogies/ wheel sets/ traction motors/ other major equipments and components.
   a) Repair of coach bodies.
   b) Painting of coaches
   c) Repairs and testing of:
• Bogies
• Wheels
• Traction motors
• Transformers
• Inverter / Convertors
• Auxiliary machines
• Air conditioners
• Pantographs
• Brake equipments
• Cab equipment
• Sheet metal, welding, construction of jigs and fixtures
• Cab Signaling equipment.

11.3.1.2 Non rolling stock components are:

• Permanent way equipments
• Drainage pumps
• Station escalators
• Passenger platform sliding doors
• General Services Electrical equipment
• Sub-station and OHE equipments.
• Signaling & Telecommunication system
• Fare collection system
• Machinery and tools of the Depots
• Auxiliary vehicles and road cars
• Structures
• Fire frightening equipments
• ART and Brake down equipments
• Operation control centre
• MRT traction substation
• General services substation
• Depot remote control centre
• Rest room for running staff (Running room)
• Rest room and lobbies for running staff
11.4 Storage Facilities

11.4.1 Storage facilities are required to be provided for:

- Main store for receipt and issue of new parts
- Stores for main components where motors, bogies and wheel sets will be stored after overall and final inspection and also new ones under unit exchange system
- Unit exchange spares for smaller components such as compressors, door switches, air conditioners etc. will be kept after overall and testing
- Sub store for consumable items such as bolts, nuts, washers, etc
- Store for special items, which must be kept under cover
- Open-air storage specially for Pway, structural and OHE emergency mast and components
- Storage for OHE and substation items
- Tool room and tool cribs

11.5 Administration and Social Facilities

11.5.1 The following facilities should be provided in the administrative office and the adjunct:

- Medical centre
- Canteen
- Lockers room and washing accommodation
- Cab simulator
- Training room (basic training centre & motor man training centre)
- Model room
- Cash handling facilities
- Technical Office
- Administrative office
- Library
- Visitors room
- Conference room

11.5.2 Watch Towers

2 Nos of Watch Tower For vigilance of depot activity will be provided.
11.5.3 Check Post

2 Nos. of Check Post will be provided for security check for incoming/ outgoing staff, material and coaches.

11.6 Activity Wise Minimum Area Requirement

11.6.1 Considering difference schedule for the rolling stock storage requirement and other functional activities of the MRTS, the approximate space required for different activities in the car depot shall be as follows:

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Activity Description</th>
<th>Area in sq m</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Heavy repair Bay for lifting of coaches, painting, bogie, traction motor and other equipment replacement – with 2 nos of 25 T EOT cranes, 15m*150m</td>
<td>2250</td>
</tr>
<tr>
<td>2</td>
<td>Medium repair Bay for bogies, wheels, traction motor and transformers – with 2 nos 1ST EOT cranes, 15m*150m.</td>
<td>2250</td>
</tr>
<tr>
<td>3</td>
<td>Light repair Bay for Auxiliary machines, Air conditioners, sheet metal works, welding etc with 1 no. 10T cranes, 15m*150m</td>
<td>2250</td>
</tr>
<tr>
<td>4</td>
<td>Inspection shed covered PIT SUNKEN FLOOR minimum 4 track 30m*50m Sun shed roof for 11 rakes of 6 cars each</td>
<td>9600</td>
</tr>
<tr>
<td>5</td>
<td>Lean to shed for brake equipments, batteries, pentograph, electronic components etc, 10m*150m</td>
<td>1500</td>
</tr>
<tr>
<td>6</td>
<td>Under floor wheel lathe shed 15m*30m</td>
<td>450</td>
</tr>
<tr>
<td>7</td>
<td>Area for depot staff (lockers, toilets and bathrooms 10m*30m</td>
<td>300</td>
</tr>
<tr>
<td>8</td>
<td>Supervisor and Administrative staff offices 15m*100m</td>
<td>1500</td>
</tr>
<tr>
<td>9</td>
<td>Automatic Train wash facilities for inside and outside cleaning 10m*30m</td>
<td>300</td>
</tr>
<tr>
<td>10</td>
<td>Washing apron 140m * 6.5m</td>
<td>910</td>
</tr>
<tr>
<td>11</td>
<td>Stabling siding for 11 rakes, 4.5m<em>15m</em>150m</td>
<td>10125</td>
</tr>
<tr>
<td>12</td>
<td>Stores for depot and Pway material and OHE material</td>
<td>2000</td>
</tr>
<tr>
<td>13</td>
<td>Electrical substation</td>
<td>550</td>
</tr>
<tr>
<td>14</td>
<td>General service sub station 20m*30m</td>
<td>600</td>
</tr>
<tr>
<td>15</td>
<td>Telephone Exchange and S&amp;T maintenance 10m*30m</td>
<td>300</td>
</tr>
<tr>
<td>16</td>
<td>OCC &amp; Remote control centre (SCADA)</td>
<td>1500</td>
</tr>
<tr>
<td>17</td>
<td>Canteen, time office, health unit, recreation and firefighting 10m*100 m</td>
<td>1000</td>
</tr>
<tr>
<td>18</td>
<td>Basic training centre, motor man training centre and simulator 30m*150m</td>
<td>4500</td>
</tr>
<tr>
<td>19</td>
<td>ART &amp; Tower wagon stabling 30m*100m</td>
<td>3000</td>
</tr>
<tr>
<td>20</td>
<td>DCOS Stores &amp; Offices including Ramp</td>
<td>2025</td>
</tr>
<tr>
<td>21</td>
<td>Check Post (2Nos)</td>
<td>20</td>
</tr>
<tr>
<td>22</td>
<td>Watch Tower (2 NOS)</td>
<td>10</td>
</tr>
<tr>
<td>23</td>
<td>Underground Tank (5,00,000 Ltrs)</td>
<td>1 Nos</td>
</tr>
<tr>
<td>24</td>
<td>O.H. raw water tank (1,00,000 Ltrs.)</td>
<td>1 Nos</td>
</tr>
<tr>
<td>25</td>
<td>Pump house and Bore well (200 mm)</td>
<td>100</td>
</tr>
<tr>
<td>26</td>
<td>Workshop Manager office</td>
<td>600</td>
</tr>
<tr>
<td>27</td>
<td>ATP &amp; ATO Room</td>
<td>20</td>
</tr>
</tbody>
</table>
11.7 Provisional List of Major Machinery and Plants for the Car Depot

11.7.1 The following will be the major machinery and plants for the car depot:

1. 25T EOT cranes (Cab Operated) 2 Nos
2. 15T EOT cranes (Floor operated) 2 Nos
3. 10T EOT cranes (Floor operated) 2 Nos
4. 1ST Whiting jack with synchronized control 8
5. Jib Crane 1
6. PIT wheel lathe 1
7. Surface wheel lathe 1
8. Wheel turning and Burnishing machine 1
9. Vertical Boring machine 1
10. Horizontal Boring machine 1
11. Wheel Press 1 500
12. Centre Lathes 2
13. Bogie testing fixtures 1 Set
14. Bogie lifting vehicle 1
15. Bogie Squaring fixture 2 Sets
16. Wheel sets transporter 1 Nos
17. Traction Motors Test Bed 1 Set
18. Bogie turn table 1 Set
19. Transformer Oil purification plant 1 Nos
20. Slotting machine 1
21. Shaping machine 1
22. Electronic component testing kits 3 sets
23. Compressor testing bed 1
24. Brake equipment test panel 1
25. Speedometer testing and calibration panel 1
26. Instruments testing and calibration panel 1
27. Spring testing machine 1
28. Grit blasting plant 1
29. Coach painting booth 1
30. Equipment painting booth 1
31. Bogie cleaning plant 1
32. Portable cleaning equipment for under frame 2 Sets
and Air conditioners
33. Automatic coach washing plant 1 set
34. Jigs and fixtures L/s
35. Measurement and testing equipments 5 Sets
36. Material handling equipments 1 Set
| 37. | Battery Charger | 1 Set |
| 38. | AC equipment test plant | 1 |
| 39. | Tower wagon (self propelled) | 1 Nos |
| 40. | Auxiliary Relief Train (self propelled) | 1 set |
| 41. | Fork lift Tractor | 4 Nos |
| 42. | Work lift platform | 1 |
| 43. | Pallet Trucks | 4 |
| 44. | Mobile Lifting Table | 1 |
| 45. | Mobile safety steps | 2 |
| 46. | Motor trucks | 4 |
| 47. | Commercial light vehicles. | 4 |
| 48. | Synchronised pit jacks for two car lifting consisting of 8 jack system with Mobile lifting jacks – 12& 15T. | 2 Sets |
| 49. | Diesel Shunting Engine | 1 |
| 50. | Electric bogie tractor for pulling cars and bogies inside workshop | 1 |
| 51. | Mobile portal type A,B & Jib crane, mobile jib crane (overhang type) | 1 |
| 52. | Car body stands | 2 |
| 53. | Underframe & Bogie blowing plant | 1 |
| 54. | Vertical carousel storage system | 1 |
| 55. | Rail fed Bogie wash plant | 1 |
| 56. | Ultrasonic machine for cleaning electronic equipments | 2 |
| 57. | Electric and pneumatic tools | 2 Sets |
| 58. | Floor cleaning machine | 2 |
| 59. | EMU battery charger | 2 Nos |
| 60. | High pressure washing pump for front and rear end cleaning of cars. | 2 |
| 61. | Storage racks. | 6 |
| 62. | Industrial furniture | 10 Sets |
| 63. | Bearing puller & press | 4 Sets |

### 11.8 Water Supplies, Drainage and Sewerage

#### 11.8.1 Water Supplies

Internal water supply system within the carshed area will be designed by the water supply consultant and got approved from the Mumbai Municipal Corporation and MMRDA and same works will be carried out by the contractor as per the specification under the supervision incharge. Application for the requirement of water supply will be made to Mumbai Municipal Corporation and after sanctioning of the connection the Mumbai Municipal Corporation will provide water connection from its water main. The Municipal water will be stored through the new pipeline in the underground tanks and subsequently pumped to the water supply system of the carshed.

#### 11.8.2 Drainage and Sewerage

Internal Drainage and Sewerage within the car shed area will be designed by the Drainage and Sewerage consultant and same will be got approved from the Mumbai Municipal
Corporation. The works recommended by the consultant will be carried out by the contractor under the supervision of the Engineer in-charge. The final disposal of Drainage and Sewerage will be connected to the Mumbai Municipal Corporation Drainage and Sewerage system.

11.9 Alternative Car Shed at Ghatkopar (see Figure 11.4)

11.9.1 General Alignment: The car shed alignment will take off from the Pier No. P398 and turns towards the left side of alignment passed through hutment area upto LBS Marg (P420 to P445 are located between takeoff point and LBS Marg. From LBS Marg up to Ghatkopar ROB it runs parallel with the existing road leading to Eastern Express Highway. (P445 to P 458) are located between LBS Marg and ROB crossing Central Railway line. From P458 to P475 Ramp with Gradient of 1 in 40 will be provided [REW] for entering in the Ghatkopar Car Shed. One Constraint in this work is that there is no access road between LBS Marg and take off point. Additional activity for construction of the alternative Car shed are as under:

1. Number of Piles = 232
2. Number of Pile Caps = 58
3. Number of Piers = 58
4. Number of Girders = 57

11.9.2 For crossing the Central Railway line lot of formalities including Design, Co-ordination, permission for Right of Way is required is be obtained from Railway Authorities for which additional manpower and time would be required. The Railway portion of the work has to be carried out under the supervision of Railway Authorities.

11.9.3 General Design Methodology

From take of point near Sarvodaya Hospital upto Central Railway crossing, the track will be elevated and having 25 to 40m spans. Ramp will be designed as Reinforced Earth Wall taking Earth pressure, Live load, Surcharge Load, Dead Load and Super Imposed Dead Load. In carshed, repair platform office and workshop will be designed as RCC Structure taking Dead Load, SIDL and Live Load. Ramp and Car shed structure is to be checked for seismic forces as per IS: 1893:2002 for zone III. Track structure will be ballasted track from the take off point and will be designed accordingly. All these activities require additional time.

11.9.4 Summary of the Track Materials Required for the Alternative Car Shed Depot Near Extern Express Highway Ghatkopar Work is Given Below:
### P-way Works at Alternative Car shed at Ghatkopar
(By the side Eastern Express Highway)

<table>
<thead>
<tr>
<th>Sr. No</th>
<th>Description of items</th>
<th>Unit</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Supplying 52/49 kg (T18) Rails (Plain track and check rail)</td>
<td>MT</td>
<td>520</td>
</tr>
<tr>
<td>2</td>
<td>Laying charges for plain track</td>
<td>Km</td>
<td>5000</td>
</tr>
<tr>
<td>3</td>
<td>Fishplates for 52 kg/49 kg rails</td>
<td>Paire</td>
<td>600</td>
</tr>
<tr>
<td>4</td>
<td>Fish plates Bolts &amp; nuts 52 / 49 kgs</td>
<td>Nos</td>
<td>2400</td>
</tr>
<tr>
<td>5</td>
<td>SKV welding 60kg rails</td>
<td>Nos</td>
<td>200</td>
</tr>
<tr>
<td>6</td>
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<td>6000</td>
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<tr>
<td>7</td>
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<td>8</td>
<td>Supplying ERC clips</td>
<td>Nos</td>
<td>22000</td>
</tr>
<tr>
<td>9</td>
<td>Supplying Pandrol Clips</td>
<td>Nos</td>
<td>22000</td>
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<tr>
<td>10</td>
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<td>10000</td>
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<td>Laying of ballast</td>
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<td>12</td>
<td>Supplying and fixing the buffer stop in correct position</td>
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</tr>
<tr>
<td>13</td>
<td>Laying charges for check rails</td>
<td>Km</td>
<td>1000</td>
</tr>
<tr>
<td>14</td>
<td>Special block for check rail</td>
<td>Nos</td>
<td>1000</td>
</tr>
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</table>

Elevated single line structure and Ramp total length - 1.65 Kms

### Track material for 1.65 Kms

<table>
<thead>
<tr>
<th>Sr. No</th>
<th>Description of items</th>
<th>Unit</th>
<th>Quantity</th>
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<tbody>
<tr>
<td>1</td>
<td>Supplying 52/49 kg (T18) Rails (Plain track and check rail)</td>
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<td>172</td>
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<tr>
<td>2</td>
<td>Laying charges for plain track</td>
<td>Km</td>
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</tr>
<tr>
<td>3</td>
<td>Fishplates for 52 kg/49 kg rails</td>
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<tr>
<td>4</td>
<td>Fish plates Bolts &amp; nuts 52 / 49 kgs</td>
<td>Nos</td>
<td>240</td>
</tr>
<tr>
<td>5</td>
<td>SKV welding 60kg rails</td>
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<tr>
<td>7</td>
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<tr>
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<td>3500</td>
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<td>11</td>
<td>Laying of ballast</td>
<td>Cum</td>
<td>3500</td>
</tr>
<tr>
<td>12</td>
<td>Laying charges for check rails</td>
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<td>Special block for check rail</td>
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NOTE:
TENTATIVE NO. OF COLUMN - 613
TENTATIVE SIZE OF COLUMN -1200 X 1200
COL. SPACING GRID 12M C/C

ANDHERI - GHAZIABAD MET. SYSTEM
TYPICAL SUBSTRUCTURE AND FOUNDATION ARRANGEMENTS AT D.N. NAGER KARSHED

FIGURE 11.2
CHAPTER 12
TRACTION POWER SUPPLY AND SCADA

12.1  Power Supply for Traction System

12.1.1  Source of Power Supply

12.1.1.1  As envisaged in the technical specifications, Power Supply for traction load will be arranged from Reliance Energy / Tata Power from their Switching Stations.

12.1.1.2  Actual locations of switching stations and the cable run etc. will be finalized during detail Survey Stage.

12.1.1.3  Because of Space Constraint for locating equipments either on the ground level or on elevated locations, it is preferable to obtain power supply from the supplying authority at 25 kV AC Single Phase with variations +/- 10%. All other insulated switchgears for feeding and sectioning will be located on the elevated corridor. However this subject will also be discussed with power supply authority during detail designing.

12.1.2  Line Capacity and Energy Consumption

12.1.2.1  In order to plan capacity of sub-stations for feeding power to traction over head lines; it is essential to know the maximum number of trains operating in the section. Therefore line capacity is number of cars, which can be operated over the period of heaviest traffic.

12.1.2.2  Line capacity depends upon scheduled speed, Rate of acceleration of cars; Rate of braking, Length of train etc. Line capacity bears direct relation with Energy Consumption, which determines the capacity of Sub-Stations for determining size of Transformers.

12.1.3  Planned Capacity

12.1.3.1  Versova-Andheri-Ghatkopar Corridor is presently planned to run six car EMU, that is two sets of motor coach, trailer coach and driving coach at 3 minutes interval. The number of
passengers to be carried is estimated to be 375 persons per car. Therefore capacity of Sub-Stations is being planned considering future growth.

12.1.4 Energy Consumption / Power Demand

12.1.4.1 The specific Energy Consumption of trains operating at a given schedule speed is influenced by the following factors:
   i) Distance between stops.
   ii) The acceleration
   iii) The retardation
   iv) The maximum speed
   v) The type of train and equipments
   vi) The configuration of tracks

12.1.4.2 Specific Energy Consumption is expressed in Watt-hours per tonne kilometer.

12.1.4.3 For the Versova-Andheri-Ghatkopar Corridor, maximum demand is calculated on the basis of following figures:
   i) No. of passengers to be carried in each car is 375 nos.
   ii) Maximum speed is 80 kmph.
   iii) Average weight of each passenger is 60 Kgs.
   iv) Headway between trains is 3 minutes.
   v) Weight of empty train is 230 T.
   vi) Specific Energy Consumption assumed as 100 watt / tonne km.
   vii) Route length is 18 km.

\[
\text{Maximum Demand} = \frac{7.2 \times \text{Length of Section} \times \text{Weight of Train} \times \text{Specific Energy Consumption}}{\text{Headway between Trains in sec.}}
\]

Therefore Maximum Demand = \[
\frac{7.2 \times 18 \times 365 \times 100}{180} = 26280 \text{ KVA}
\]

Which works out to be around 26 MVA.
12.1.4.4 Power Demand for Auxiliary Services at station buildings and Car Depot Machinery will be another 20 MVA.

12.1.4.5 Extra power system capacity for traction and station power loads will be allowed to cater for future traffic growth up to two minutes headway with 6 car train operation.

12.1.4.6 Therefore Total Power Demand for about 50 to 60 MW is required to be planned.

12.1.4.7 Demand for traction load will be distributed in two feeding posts with two transformers in each feeding post.

12.1.4.8 One transformer will cater for normal load and the other Stand-by transformer will be brought into operation when the demand increases and also for extending feed from one feeding post incase the other feeding post is out.

12.1.4.9 Sub-station for Auxiliary Power Supply will be planned at each Station to cater for lighting and Auxiliary Services.

12.1.5 Locations of Feeding Posts

12.1.5.1 It is proposed to have two feeding posts. One at D. N. Nagar and the other near Marol Naka. Each feeding post will have two transformers, one being stand-by. Bus Coupler and other switchgear will be designed to alternate feeding arrangement and also for easy maintenance without causing disruption to service.

12.1.6 Switching Stations

12.1.6.1 To facilitate routine maintenance and also for diversion of trains from UP line to DN line and vice versa, in case of Emergency Operation, the section of OHE will be divided into smaller Sub-section and the same will be controlled through Sub-Sectioning posts.

12.1.6.2 After detail discussions with power supply authorities if the phase supply from two feeding posts is required to be separated then a sectioning post (S.P.) will also be planned.
12.1.6.3 Because of the space constraint, number of Switching Stations will be kept at minimum and sectioning will be planned through off-load isolators (motor operated) and controlled through SCADA and also with provision for local operations.

12.1.7 Sectioning Diagram
12.1.7.1 Sectioning Diagram indicating the supply arrangement is enclosed.

Fig 12.1 – Sectioning Diagram for Traction Power Supply
12.1.7.2 According to the station layout it is seen that emergency cross-overs are planned only at 5 stations.

12.1.7.3 However to facilitate easy maintenance and outage of small sections, it is planned to have motor operated isolators (off load) at each station and controlled through SCADA System and as well local operation.

12.1.8 **Yard Lines and Car Depot**

12.1.8.1 Yard Lines and Car Depot OHE will be supplied through separate circuit breaker and can be isolated from main lines without affecting services on main lines. Since car depot needs to be isolated from the main line for emergency operation; group of lines will be controlled separately through independent circuit breaker. Individual lines such as washing lines, inspection pits etc will also have independent control isolators control circuit breaker for car depot lines will be controlled through SCADA and as well from Local Control operator.

12.1.9 **Type of Equipment**

12.1.9.1 Insulated switchgears such as vacuum circuit breakers / gas insulated switchgear will be installed according to the space availability while detail designing.

12.1.10 **Safety Precautions**

12.1.10.1 Mumbai area is known for thunder and lightning during monsoon. Therefore safety of equipments and personnel will be considered by providing lightning arresters and proper earthing and bonding of Electrical Equipments. All high voltage exposed circuits will be fenced and will be away from traveling public at least 2.0 meters distance.

12.2 **OVER HEAD TRACTION EQUIPMENT (OHE)**

12.2.1 **Over Head Traction Operation**

12.2.1.1 The Versova-Andheri-Ghatkopar Corridor section will be operated on 25 kV AC, Single Phase, 50 Cycles. Since this is elevated corridor and running through busy and developed locality; all precautions will have to be taken for traveling public and also surrounding residents about coming in close proximity of high voltage traction lines.
12.2.2 Design Standards

12.2.2.1 25 kV AC Single Phase traction introduced on Indian Railways since 1759 is equipped on Broad Gauge and Meter Gauge. Standard Gauge (1.435m) will be introduced for the first time in India on Versova-Andheri-Ghatkopar Corridor.

12.2.2.2 There are no standard schedule of dimensions framed by Indian Railways for Standard Gauge (1.435m) track overhead electrification.

12.2.2.3 Standard Gauge track overhead electrification on 25 kV AC Single Phase is in operation in some other countries. Therefore reference will be taken for guidance for the practices followed by Railways in other countries for similar situations.

12.2.2.4 However all Electrical Operations including on Railways have to confirm with Indian Electricity Act 1910 and Indian Railway Act 1890 and Rules made thereafter.

12.2.2.5 Therefore clearances to be maintained from high voltage for public safety have to be according to the Indian Railways manual of A.C. Traction.

a) Maximum vertical distance – between OHE and Fixed Structure:
   - Long duration 320 mm
   - Short duration 270 mm

b) Maximum lateral distance – between OHE and Fixed Structure
   - Long duration 320 mm
   - Short duration 220 mm

c) 25 kV AC OHE to adjacent buildings, structure 2.0 m

Other equipments and fittings will be according to International Standards and their equivalent IS Specifications.
12.2.2.6 Preliminary list of standards are shown in the following:

<table>
<thead>
<tr>
<th>Standard</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>IEC 60044</td>
<td>Current Transformers</td>
</tr>
<tr>
<td>IEC 60076</td>
<td>Power Transformers</td>
</tr>
<tr>
<td>IEC 60186</td>
<td>Voltage Transformers</td>
</tr>
<tr>
<td>IEC 60296</td>
<td>Specification for unused and reclaimed Mineral Insulating Oils for Transformers and Switchgear</td>
</tr>
<tr>
<td>IEC 60137</td>
<td>Insulated Bushing for Alternating Voltages above 1000V</td>
</tr>
<tr>
<td>IEC 60529</td>
<td>Degree of Protection provided by Endlosures</td>
</tr>
<tr>
<td>IEC 60056</td>
<td>High Voltage Alternating Current Circuit Breakers</td>
</tr>
<tr>
<td>IEC 60060</td>
<td>High Voltage Test Techniques</td>
</tr>
<tr>
<td>IEC 60071</td>
<td>Insulation Co-ordination</td>
</tr>
<tr>
<td>IEC 60129</td>
<td>Alternating Current Disconnectors and Earthing Switches</td>
</tr>
<tr>
<td>IEC 60255</td>
<td>Electrical Relays</td>
</tr>
<tr>
<td>IEC 60265</td>
<td>High Voltage Switches</td>
</tr>
<tr>
<td>IEC 60298</td>
<td>High Voltage A.C. Metal-Enclosed Switchgear</td>
</tr>
<tr>
<td>IEC 60376</td>
<td>Specification and Acceptance of Sulphur Hexafluoride</td>
</tr>
<tr>
<td>IEC 60688</td>
<td>Electrical Measuring Transducers</td>
</tr>
<tr>
<td>IEC 60694</td>
<td>Common Specification for High Voltage Switchgear and Controgear</td>
</tr>
<tr>
<td>IEC 61634</td>
<td>Use and Handling of SF6 in HV Switchgear</td>
</tr>
<tr>
<td>IEC 60502</td>
<td>Power Cables with Extruded Insulation and their Accessories for Rated Voltage from 1kV up to 30kV</td>
</tr>
<tr>
<td>IEEE Std. 80</td>
<td>IEEE Guide for Safety in AC Substation Grounding</td>
</tr>
<tr>
<td>EN 50122-1</td>
<td>Protective Provisions Relating to Electrical Safety and Earthing</td>
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</table>

b) Overhead Line

i) General

<table>
<thead>
<tr>
<th>Standard</th>
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<tbody>
<tr>
<td>IEC 60050-812</td>
<td>Electric Traction</td>
</tr>
<tr>
<td>IEC 60913</td>
<td>Electric traction Overhead Lines</td>
</tr>
<tr>
<td>IEC 60099-4</td>
<td>Metal Oxide Surge Arresters</td>
</tr>
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</table>
ii) OHL Wires

BS 23 Specification for Copper and Copper-Cadmium Trolley and Contact Wire for Electric Traction
BS 7884 Specification for Copper and Copper Cadmium Stranded Conductors for Overhead Electric Traction and Power
DIN 43148 Flexible Cables for Overhead Equipment and Return Current
DIN 48201 Part 1 Copper Stranded Conductors
DIN 48201 Part 2 Bronze Stranded Conductors
EN 50149 Copper and Copper Alloy Grooved Contact Wire
IEC 60494 Rules for Pantograph of Electric Rolling Stock
UIC 606-1 Application of Kinematic Gauges to Contact Lines
UIC 606-2 Installation of 25kV Contact Lines

iii) Insulator

IEC 60060 High Voltage Test Techniques
IEC 60071 Insulation Co-ordination
IEC 60305 Insulators for Overhead Lines with a Nominal Voltage above 1000V-Ceramic or Glass Insulator Units for a.c. System
IEC 60383 Insulators of Overhead Lines with a Nominal Voltage above 1000V
IEC 60433 Characteristics of String Insulator Units of Long Rod Type
IEC/TR 60797 Residual Strength of String Insulator Units of Glass or Ceramic Material for Overhead Lines
IEC 60672 Ceramic and Glass Insulating Materials
IEC 60815 Guide for the Selection of Insulators in respect of Polluted Conditions

iv) Isolator

IEC 60129 Alternating Current Disconnectors and Earthing Switches

v) Mast

BS 4 Structural Steel Sections – Specification for Hot Rolled Sections
BS 449 Specification for use of Structural Steel in Building
BS 729  Specification for Hot Dip Galvanized Coatings on Iron and Steel Articles
BS 4848  Specification for Hot Rolled Structural Steel Sections
BS 5493  Code of Practice for Protective Coating of Iron and Steel Structures against Corrosion
BS 8100  Lattice Towers and Masts

12.2.3 Other Clearances

12.2.3.1 Based on the Car dimensions and Pantograph Coach dimensions, Vertical distance of OHE from Rail level and lateral distance of OHE Structures from track centres will be designed. These dimensions will be designed taking into consideration of tangent track, tracks on curvature and sighting of signal indications for motor-man.

12.2.3.2 However this corridor is only for passenger operation, therefore dimensions will be designed for only passenger traffic.

12.2.3.3 Future conversion of the section to Broad Gauge will also be kept in view for moving dimensions.

12.2.4 Configuration of OHE

12.2.4.1 The Design of OHE will be Simple Catenary and Contact Wire with fully Regulated type. An earth wire is required to be provided for earthing and bonding of OHE Structures.

12.2.5 Size of Conductors

12.2.5.1 Considering 600 Amp. Current required and safe current density of 4 amp / sq.mm; conductor size will be 150 sq.mm. Therefore Standard Cadmium Copper Catenary of 65 sq.mm and Contact wire of 107 sq.mm is suitable. All standard 25 kV AC OHE fittings and equipments already designed and developed will be used.

12.2.6 Height of Contact Wire From Rail Level

12.2.6.1 It is of primary importance to design the height of contact wire from Rail level to decide the structure height for supporting O.H.E.
12.2.6.2 According to the MMRDA specifications, the height from rail level to the topmost part of the empty car is 3.60 m.

12.6.2.3 With the mounting of Pantograph over the motor coach, the top most height will be about 4.0 m considering other allowances such as Track maintenance tolerance, Vertical Oscillation and short time electrical clearance the minimum height of Contact Wire works out to 4000 + 20 + 270 = 4290 mm. Since No ODC is contemplated to run in the section, the minimum height of contact wire will be 4.50 m from Rail level.

12.2.7 Design of Foundations and Structure

12.2.7.1 The elevated columns will be located at intervals ranging from 18.0m to 60.0m. Therefore it is proposed to locate OHE structures on the concrete parapet on either side. Foundation bolts will be provided along with RCC construction.

12.2.7.2 OHE structures will be steel galvanized with bolted base arrangement.

12.2.7.3 This will be well co-ordinated work along with RCC elevated structure as anchor masts will also be required for termination of OHE conductors.

12.2.8 Cantilever Assembly

12.2.8.1 Standard Cantilever assembly, already in use on Indian Railways for 25 kV AC will be used. However any new design required for non-standard spans, which is not covered in employment schedule will be worked out and adopted.

12.2.9 Wires and Conductors

12.2.9.1 The wires and conductors will be of standard specifications and will be insulated whenever coming in close proximity to other fixed structures if the physical clearance is less.

12.2.10 Quality of Work
12.2.10.1 Erection of overhead equipments and its bringing into operation will be arranged in such a manner that it is entirely safe for operation without causing any Electrical Sparks and fully safe for commuters.

12.2.11 **Operation and Maintenance Depot**

12.2.11.1 Operation and Maintenance Depots will be established at D.N. Nagar, Airport and Ghatkopar with fully equipped Road Vehicle so that instantly they can rush and attend to any failure in the least possible time.

12.2.11.2 The Maintenance Staff will be trained just like fire brigade staff to attend to any failure. Maintenance Vehicles will be equipped with emergency spares to attend to faults.

12.2.12 **Stores Depot**

12.2.12.1 Main Stores will be located at D.N. Nagar or Ghatkopar and will be equipped with Stores Material of OHE and Switching Stations. As already explained, OHE masts with base plate arrangement will be kept in the main Depot and as well at few intermediate stations.

12.2.13 **Training of Men**

12.2.13.1 Required man power will be recruited at the time of commencement of work and will be trained for Construction, Operation and Maintenance of Power Supply, OHE and Operation in Indian Railway zonal training schools.

12.2.14 **Maintenance Schedule**

12.2.14.1 Maintenance Schedule for all the erected equipments will be made and will be strictly followed and monitored to ensure trouble free service of commuters.

12.3 **Earthing and Bonding**

12.3.1 Earthing and bonding will be provided for the traction power system, station auxiliaries power system and the OHE system to ensure safety from electric shock for operating personnel and the general public and protection against interference between electrical systems. The earthing and bonding system will be designed according to international standards.
12.3.2 Multiple earth electrodes forming an earth mat with an overall resistance not exceeding 1 ohm will be provided for each substation, switching station, passenger station and depot where traction power equipment and station auxiliary power equipment are installed. Earth electrodes will also be provided at each OHE isolator and surge arrester location.

12.3.3 An overhead continuous bare conductor will be installed as an earth wire along the track for the OHE system. All the metallic OHE structures including the masts, supports and enclosure for the isolator will be bonded to the earth wire.

12.3.4 The control of stray current leaking from the rails will be achieved by bonding the traction return rails at regular interval and in turn bonded to the earth wire of the OHE system. The exact bonding arrangement will further be developed in the detailed design.

12.4 SCADA

12.4.1 Supervisory control and data acquisition (SCADA) provides facilities for supervision and control of Electrical and mechanical equipments located at passenger stations, sub-systems are processed by the remote terminal units (RTU) and sent to the central computer system.

12.4.2 The primary objective of providing SCADA is to assist the Railway operation staff in maintaining the passenger carrying capacity as high as possible while ensuring the best conditions for safety and comfort.

12.4.3 SCADA will enable the operation to control and monitor the remotely located electrical and mechanical equipments to know the status, alarm, analog values, metering values, running time of equipments and will be logged, printed or displaced on the colour VDU.

12.4.4 Peak load supervision and local shedding will be provided.

12.4.5 The programme helps to ensure that the user does not pay unnecessary charges for the power consumption during non peak loads. The programme monitors the power consumption and forecasts whether the maximum demand level will be exceeded. Entire monitoring of the power control will be done for safe operation.
12.4.6 Apart from SCADA control, switchgear will have the following provisions for local control:

- controlled locally at switchboard
- controlled at local control panel at substation

12.5 Construction Methodology (Electrical)

12.5.1 Versova – Andheri – Ghatkopar Corridor is running through busy locality. Therefore it is necessary to adopt a construction technique, which will cause least inconvenience to the people around the area.

12.5.2 During construction activity the common inconvenience is blockage of roads, which hinders movement of vehicles on road and noise pollution. Utmost care will be taken to reduce the inconvenience.

12.5.3 Designs and Drawings

12.5.3.1 Electrical works both for general power supply arrangement and traction power supply arrangement needs close co-ordination with Civil Engineering works.

12.5.3.2 Design of Electrical circuits for LIGHTING, Ventilation platform etc. has to be done according to civil engineering drawings and any ducts, recesses required will be clearly indicated. Sub-station buildings for power supply will be well co-ordinated for locating at proper places and design the size of buildings according to the space required for size of equipment.

12.5.3.3 This co-ordinated drawing is particularly essential for locating Escalators, lifts and power supply arrangements.

12.5.3.4 More important co-ordinated drawings and design is required for locating traction masts on RCC columns and girders as core holes foundation bolts have to be left during casting of concrete structures.
12.5.4 Basic Designs

12.5.4.1 As already stated certain basic designs have to be made for designing traction overhead equipments to suit electrification or Standard Gauge Tracks (1.435m) which is for the first time to be introduced in India. These standards will be based on basic dimension adopted in other cities of the world and modification to be done to suit local conditions.

12.5.4.2 Basic drawings and designs already in existence in India for 25 kV AC. Traction where modification is not required will be adopted.

12.5.4.3 Working Drawings will be taken up for switching, stations, sub-stations, station building and OHE.

12.5.5 Mobilization

12.5.5.1 The organization has qualified engineers and supervisors who have long experience in Railway working for construction, operation and maintenance.

12.5.5.2 Additional manpower required as Engineers, Technical Assistants, skilled and unskilled staff will be recruited and trained in a short period. Sufficient manpower will be recruited so that work can be taken up at difficult locations simultaneously. This will reduce the construction time and complete the project in shortest duration.

12.5.6 Construction Depot

12.5.6.1 A construction depot will be established at suitable locations where arrangement will be made to store construction equipment.

12.5.6.2 Other activities such as cutting, welding, assembly etc will be carried out.

12.5.7 Power Requirement finalization

12.5.7.1 As soon as working drawings are ready power requirement will be finalized with the power supply. Authority and the locations of feeding points will be fixed. This process will also include fixing tariff for power supply and agreement with power supply authority.
12.5.8 Material and equipment

12.5.8.1 Construction machinery

- Equipment and other construction machinery required to carry out the work will be procured. All machinery required for drilling, cutting, welding and heavy duty lifting equipments will be procured.

- Special equipments will be Rail cum road vehicles suitable for standard gauge (1.435m) track ladder trolleys suitable for standard gauge track, heavy duty road vehicles and traveling cranes, mobile gantries for lifting heavy equipment etc.

12.5.8.2 Material required for works

- Based on the working drawings, quantities of various equipments and materials will be finalized and orders placed on suppliers. Any new type equipment will be designed and prototype approved before placing orders. Any equipment, which needs importing, will be procured well in time. Any long lead items will be finalized at the earliest.

- Equipment and material required for power supply in substations will also be processed simultaneously.

12.5.9 Progress of works

12.5.9.1 OHE foundations will be planned along with RCC girders of the elevated track structure by providing core holes and holes for Rag bolts. This activity will be suitably co-ordinated so that traction OHE is also ready when track-laying operation is complete.

12.5.9.2 Structure erection

As soon as a reasonable stretch of foundations are ready, OHE structures will also be placed in position with the help of mobile crane and special lifting arrangement made by lifting structures from Road level to track level.
12.5.9.3 Small port steel erection/ cantilevers

Galvanized small port steel work will also be commenced when OHE structures are erected for about 3 / 4 km. Cantilever erection will also be taken up simultaneously which will be fabricated in the depot and carried to the site.

12.5.9.4 OHE conductors

As soon as a portion of the section is ready with track laying, stringing of OHE conductors will be taken up. Special motor operated vehicle suitable for Standard Gauge track (1.435m) will be procured along with a trailer on which conductor drums will be mounted for stringing operation.

12.5.9.5 Insulation / adjustment

Insulation of OHE, jumpering, adjustment of height and stagger, earthing, bonding also will be completed in time.

12.5.9.6 Sub-stations

Power supply stations with all equipments will also be erected simultaneously so as to match the OHE works and are ready well in time before OHE works are completed.

12.5.10 Station buildings

12.5.10.1 Electrical works at station buildings with lighting, ventilation and operation of escalators and lifts will be completed and tested for safe operations.

12.5.11 Inspection and testing

12.5.11.1 All inspection and testing of electrical equipments including OHE will be tested and kept ready for commissioning. Operation of the OHE by means of SCADA operation will also be tested for commissioning the system.
12.5.12 Service operation

12.5.12.1 Required man power for operation of the service with full knowledge of their duties and responsibilities will be posted at suitable places for the service operation and operation of electrical services at station building will be manned 24 hours in shift duties.

12.5.13 Safety precaution

12.5.13.1 Caution plates and danger boards will be displayed at prominent places at all the stations and at entrance places to the traveling commuters regarding danger from high voltage overhead traction conductors.
CHAPTER 13

ELECTRICAL – GENERAL SERVICES

13.1 Power Supply Requirement for MRTS

13.1.1 Power supply for MRTS is required for overhead Traction at high voltage 25 K.V. AC and also at low voltage 440/240 A.C. for general lighting. Traction power supply is dealt in O.H.E. / Switching stations separately.

13.1.2 Power supply for station and depot buildings is required to meet the following demands.

- Internal building lighting.
- External lighting of platforms and concourse.
- Yard lighting for depot.
- Ventilation and air-conditioning of enclosed area and open space on platforms.
- Power supply for signaling and communication equipment.
- Power supply for escalators, lift, water cooler and other equipments.
- Carshed maintenance Depot Machinery.
- SCADA operation.

13.1.3 Extra station power demand capacity will be allowed to cater for the future traffic growth.

13.2 Source of Power Supply

13.2.1 At present C. Rly. and W.Rly. are getting power supply for traction and general lighting from M/S Tata Power, M.S.E.B. and Reliance Power Energy.

13.3 Reliability and Safety

13.3.1 Proposed MRTS is totally elevated system and therefore safety of commuters in case of power failure and unforeseen accidents is being considered of foremost importance.
13.3.2 It is therefore planned to have suitable size of Diesel Generator set and uninterruptible power supply battery set to maintain essential services. The important characteristics of stand-by power supply from the generator and UPS system are described in the following.

13.3.3 **Standby Generators**

13.3.3.1 Standby generator with suitable capacity will be provided at the stations to provide emergency low voltage power supply to the station essential loads that can maintain the station operation in a safe environment in the event of the failure of the normal station power supply. The station non-essential loads will be shed before the generator takes up the loads.

13.3.3.2 The station essential loads include lighting, essential ventilation equipment and other safety related services equipment. The classification of station essential loads and non-essential loads will be determined in the design phase.

13.3.3.3 Facilities will be provided for auto and local start. When the normal station power supply fails, station loads will be disconnected from the normal station power supply. Standby generator will start and takes up the station essential loads automatically under auto start mode. An interlock will be provided to ensure that standby generator and station normal power supply will not be in parallel operation.

13.3.4 **Uninterruptible Power Supply System (UPS)**

13.3.4.1 Uninterruptible power supply will be provided at the stations to supply continuous electrical power to the following critical systems:

- Signaling System
- Automatic Fare Collection System
- SCADA System
- Communication Systems including CCTV, Data Transmission, Radio, Telephone and Public Address System
- Passenger Information System
- Emergency Lighting

13.3.4.2 The UPS will be provided with batteries that have sufficient capacity to back-up the above systems in the event of the failure of normal station power supply until the standby generator can take over.
13.4 Design Features of Lighting and Ventilation

13.4.1 Metro Stations in important cities of the world have been planned to have good and aesthetic view. Illumination and lighting therefore has to be computable to beautify the surrounding.

13.4.2 Climatic Condition

13.4.2.1 The Mumbai city is essential having a tropical climate. The conditions of temperature and humidity are generally very severe even in the outside. Therefore Design of lighting and ventilation should be such as to give maximum comfort to commuters.

13.4.2.2 Prolonged periods of high humidity coupled with high ambient temperatures are the factors to be considered for giving maximum comfort to the traveling public and also to the passengers on the platforms.

13.4.2.3 There are elevated station buildings on Harbour branch line of Mumbai Division, which have been constructed long ago.

13.4.2.4 The modern design should consider the design adopted for metros constructed in other important cities of the world under similar situation.

13.4.2.5 For comparison sake a statement showing summer External design conditions in some cities are given below.

<table>
<thead>
<tr>
<th>Location</th>
<th>Summer Dry Bulb temp. OF.</th>
<th>Wet bulb temp. OF.</th>
<th>Relative Humidity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hong Kong</td>
<td>92.0</td>
<td>85.0</td>
<td>75</td>
</tr>
<tr>
<td>San Paulo</td>
<td>96.0</td>
<td>81.0</td>
<td>52</td>
</tr>
<tr>
<td>Buenos Aires</td>
<td>97.0</td>
<td>79.0</td>
<td>45</td>
</tr>
<tr>
<td>Osaka</td>
<td>95.0</td>
<td>80.0</td>
<td>51</td>
</tr>
<tr>
<td>New York</td>
<td>96.0</td>
<td>76.0</td>
<td>40</td>
</tr>
<tr>
<td>Toronto</td>
<td>91.0</td>
<td>72.0</td>
<td>40</td>
</tr>
<tr>
<td>Montreal</td>
<td>89.0</td>
<td>70.0</td>
<td>39</td>
</tr>
<tr>
<td>Mexico City</td>
<td>82.0</td>
<td>66.0</td>
<td>44</td>
</tr>
<tr>
<td>Calcutta (May)</td>
<td>96.0</td>
<td>82.0</td>
<td>68</td>
</tr>
<tr>
<td>(Aug )</td>
<td>89.0</td>
<td>81.0</td>
<td>75</td>
</tr>
<tr>
<td>Mumbai (May)</td>
<td>91.0</td>
<td>80.0</td>
<td>63</td>
</tr>
<tr>
<td>(Aug )</td>
<td>85.0</td>
<td>79.0</td>
<td>77</td>
</tr>
</tbody>
</table>
13.4.2.6 Therefore Design features will include the various modern systems adopted elsewhere for passenger comfort.

13.4.3 Illumination level as required according to the standard code is being designed in the open area and enclosed buildings.

13.4.4 High bay lighting will be provided for the car Depot yard lighting.

13.5 Type of Electrical Fittings

13.5.1 Conduits for wiring system will be stove enameled, Jet black steel seamless of standard diameter confirming to I.S. 9537.

13.5.2 Earthing wire will confirm to I.S. 4826 and will be provided along the conduit run for earth continuity.

13.5.3 G.I. Earth pipes will be used in a special earth pit to get resistance of less than 1 ohms.

13.5.4 The wiring will include circuit wiring and point wiring.

13.5.5 Other fittings and Equipments will also confirm to the following Standards and Equivalent – I.S. Specifications.

IEC 60364  Electrical Installations of Buildings
BS 7671   Requirements for Electrical Installations
IEC 60439  Specification for Low Voltage Switchgear and Control Gear Assemblies
IEC 60947  Specification for Low Voltage Switchgear and Control gear
IEC 62040  Specification for Uninterruptible Power System
BS 5655   Lifts and Services Lifts
BS EN 115   Safety Rules for the Construction and Installation of Escalators and Passenger Conveyors
IEC 60034  Rotating Electrical Machines
13.5.6 Other internal fittings will include 5 Amp. 3pin flush type socket – outlet, 15 Amp. 3 pin flush type socket – outlet with switch, Florescent fitting complete with choke, starter, PF improving capacitor, Reflector cover and Fluorescent tube.

- Out door luminaries suitable for 150 watt HP SV lamp with all accessories.
- Inlet and Exhaust fans of suitable capacity.
- Main switchboards and distribution boards.
- All out door fittings will be weatherproof with stove enameled housing with anodized Aluminum side reflector.

13.6 Escalators

13.6.1 Versova – Andheri- Ghatkopar corridor of commuter traffic rail service is located entirely on elevated system. The height up to platform level will be about 9.5m. Therefore escalators at stations are a must for the following reasons:

- Maximum number of passenger to be transported from surface level to platform level.
- Orderly movement of passengers safely and comfortably from surface level to platform level.

13.6.2 Detail planning and Design considerations

13.6.2.1 The detailed planning of the stations requires to take into account a study of passenger flow, so that conflicting movements are avoided for the orderly flow from the street to the train.

13.6.2.2 The best concentration of persons for maximum flow in level passage is 1.4 sq.m. and the flow in passages and on stairs, with a reasonable concentration of people is approximately directly proportional to the widths.

13.6.2.3 When a passage becomes crowded, there is an instinctive slowing down. When the concentration is increased to about 4 persons per sq.m., the people move in shuffle speed at this capacity.

13.6.2.4 Observations have been made of the rates at which passengers step on to an escalator. The maximum number step on when the machine is operating 44/ meters per minute and at this speed the capacity is just over 10,000 per hour.
13.6.2.5 Based on the above observations, escalators are planned at all the stations for upward direction movements of suitable capacity.

13.7 Lifts

13.7.1 Since MRTS service is a totally elevated system, it is not possible for disabled people to use escalators. Therefore it is also planned to install lifts of suitable capacity at each station to meet the need of handicapped passengers.

13.8 Earthing and Bonding

13.8.1 An earthing system will be provided for each station building to ensure safety from electric shock for operating personnel and the general public.

13.8.2 The earthing system will mainly comprise the following:

- multiple earth electrodes forming an earth mat with an overall resistance of not less than 1 ohm
- earthing network inside the building for earthing all electrical equipment

13.8.3 Equipotential bonding will be provided for all extraneous metal objects including metallic services pipes, ventilation ductwork, cable supporting system, window frames and handrails, etc.
CHAPTER 14

SIGNALING

14.1 Introduction

14.1.1 Track alignment for the corridor is on elevated structures from Versova to Ghatkopar including the spur line from Airport Road to Airport station. For this dedicated track for Mass Transit of commuters, train control and signaling system has been designed to meet a design headway of 120 seconds. It shall comprise modern Automatic Train Protection (ATP) system with CAB signaling. The line side signals will also be provided at all stations with points and crossing, which shall be used for the purpose of back up signaling to allow bidirectional running. The system shall be based on fixed block principle. All the stations with points and crossings shall be provided with one set of Computer Based Interlocking (CBI) with facility to operate these points and crossings locally as well as being centrally controlled from Operation Control center (OCC). The CAB-borne and wayside signaling equipment shall be designed with sufficient redundancy so as to meet the desired reliability and availability requirements. The proposed system will have self diagnostic & predictive on-line maintenance feature to minimize the failure & recovery time. The mimic panel for this corridor shall be housed in the OCC at DN Nagar. The depot shall be provided with an independent Computer Based Interlocking.

14.1.2 ATP system is one of the vital systems for the safe operation of the Railway. It is classified as a safety critical item. It must be built on the highest safety integrity (SIL) level. SIL level will commensurate with the worldwide requirement of subsystem of ATP. Safety management as well as safety activities throughout the life cycle of the ATP project shall be recorded and submitted as "Project Safety Report" to demonstrate to the inspectorate of Railway the safety concerns and hazards, how they are dealt with, sufficient rules and procedures are laid down and appropriate training is provided to operate and maintain the system.

14.1.3 The proposed ATP system will have capability of upgrading to Automatic Train Control (ATC) system with no major change on the system architecture if required at later stage due to increase of traffic demanding service headway of less than 2 minutes. Automatic train operation will be provided when the traffic demand requires a headway of 2 minutes or lower.
14.2 Technical and Operational Requirement

14.2.1 The proposed Versova- Andheri- Ghatkopar elevated Metro Rail length has to provide train services at four minutes headway initially and capable of being upgraded economically when shorter headways are required with ability to operate at headway of less than two minutes in peak periods. The fixed block multiple aspect colour light signaling (MACLS) would not be sufficient to meet this requirement with the given track layout and Rolling stock parameters. With MACLS, phased increase in capacity is costly and restoration to normal service following interruption or breakdowns, is slow. The available operation margins get reduced to a level that is not manageable with manual operation and would depend upon from individual to individual. Serious accidents can occur with MACLS due to human error. To overcome all these, and at the same time to maintain safe train operation, a more reliable, efficient and safe signaling system is needed for headway approaching 3 minutes. Only modern signaling systems can meet all these requirements for initial as well as long term goals of operation. With these objectives, train control and signaling systems have been planned with Automatic Train Protection (ATP) and Automatic Train Supervision (ATS) function initially and upgrade it later on with Automatic Train Operation when train operation with less than two minutes is needed. The proposed ATP and ATS will be designed to meet the required safety and technological standards to be compatible with 25 kV AC traction and Rolling stock.

14.2.2 The Designed Headway for this corridor shall be 120 second with adequate factor of margin for:

i. Maximum train length of 135 meters.
ii. Station dwell time of 20 seconds.
iii. Average speed of 33 km/hr.
iv. Attainable speed of 80 km/hr.

14.2.3 A preliminary train simulation is conducted with all the proposed data of EMU performance characteristics such as acceleration, deceleration, braking etc. (as stated in Chapter 10), available track alignment data provided by MMRDA, short overrun at Ghatkopar (about 3 meters only) and does not allow to use diamond crossing (as indicated by MMRDA in the addendum). Based on the above conditions, the simulation results indicate that the requirement of single journey time of no more than 21 minutes between Versova and Ghatkopar can be achieved. The best achievable design headway is 3 minutes (see Figure
14.11) due to the short overrun provided at Ghatkopar Station. All trains must be required to reduce the speed early to avoid overrun when approaching to the station.

14.2.4 If Ghatkopar Station is allowed to shift towards the west by about 40 meters, the design headway can be improved to 2.5 minutes. In addition, if the diamond crossings are also employed, the best design headway can be then further improved to 2 minutes (see Figure 14.12). The simulation results with different scenarios are summarized in the following table:

<table>
<thead>
<tr>
<th>Scenario</th>
<th>Description</th>
<th>Design Headway</th>
<th>Signaling System</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Original track layout.</td>
<td>3 minutes</td>
<td>ATP with CLS</td>
</tr>
<tr>
<td>2</td>
<td>Employ diamond crossing</td>
<td>2.75 minutes</td>
<td>ATP with CLS</td>
</tr>
<tr>
<td>3</td>
<td>Extend the overrun by shift Ghatkopar Station towards the west about 40 meters.</td>
<td>2.5 minutes</td>
<td>ATP with CLS</td>
</tr>
<tr>
<td>4</td>
<td>Employ diamond crossing and shift Ghatkopar Station towards the west about 40 meters.</td>
<td>2 minutes</td>
<td>ATP + ATO</td>
</tr>
</tbody>
</table>

14.3 Design Approach

14.3.1 The Train Control and Signaling System shall provide for the safe routing, spacing, movement and control of trains.

14.3.2 The Train Control and Signaling System shall provide for hot swapping for all plugs in modules and this shall not affect the normal and emergency operation of the system.

14.3.3 The Train Control and Signaling System shall not lead to an unsafe condition when the plug in module/card/equipment is taken out.

14.3.4 The probability of Wrong Side Failure shall be less than 10^-9 per train operating hour for the complete Train Control & Signaling System supplied, installed and commissioned under this contract.
14.3.5 The safety performance requirement shall be achieved with a calibration/inspection interval of not less than 1 year.

14.3.6 The Train Control and Signaling System shall achieve all performance requirements specified in this proposal.

14.3.7 The system shall meet or exceed the requirements of CENELEC Standards EN50126, EN50128 and EN50129 for Reliability, Availability, Maintainability and Safety of electronic signaling equipment, or equivalent.

14.4 Proposed System Technology

14.4.1 The Signaling and Train Control System can be divided in five functional areas. They are:

  a) Depot Signaling,
  b) Passenger Main Line Conventional Signaling,
  c) Automatic Train Protection System,
  d) Automatic Train Operation System,
  e) Automatic Train /Traffic Supervision System.

14.4.2 The Depot Signaling System controls and monitors the movement of trains/rakes within the depot including the test track movements and entry and exit from the depot.

14.4.3 The Main Line Signaling System controls and monitors the movement of trains with bi-directional running and employs conventional failsafe equipment like point machines, locking and detection, colour light line-side signals, signaling panels and Computer Based Interlocking.

14.4.4 The Automatic Train Protection System consists of the trackside system and train-carried system. The track-side system using joint-less track circuits or loops transmits maximum safe speed and target speed information to the train-carried equipment. On board each train, the equipment constantly monitors the condition of the track and the train speed to ensure that the train never enters an unsafe situation. If a train enters a dangerous or potentially dangerous situation, emergency brakes are applied in time so as to bring the train to a halt in a safe
14.4.5 The Automatic Train Supervision System performs the function of traffic monitoring and control through continuous train location monitoring, train routing and schedule the running of each train as per time-table or as per regulation algorithm, if there is deviation from the time table or the traffic needs so warrant.

14.4.6 The Automatic Train Operation System regulates the train speed to the desired optimum operational inter-station performance. The system performs most of the routine work of an efficient conventional train driver-cum-traffic controller.
14.4.7 The following block diagram showing the system architecture of the proposed Signaling System:
14.5 Train Control and Signaling System

14.5.1 Automatic Train Protection (ATP) System

14.5.1.1 The vital ATP system shall provide continuous cab signaling which automatically limits the speed of trains to the Target Speed (TS) of the routes set by the Signaling system and brings a train to a halt clear of a detected obstruction. Fixed block technology shall be employed.

14.5.1.2 A Maximum Safe Speed (MSS) of some 3kph above the TS value is permitted as a margin for practical purposes; in the event that the MSS is exceeded, an emergency brake application shall be initiated.

14.5.1.3 Permanent and temporary speed restrictions or blocking, set at the trackside, shall be automatically complied with.

14.5.1.4 The ATP trackside transmissions with the trainborne ATP control equipment providing continuous cab signals shall be designed in accordance with fail-safe principles. Trainborne ATP equipment interfaces with the train systems for control of the propulsion and emergency brakes.

14.5.1.5 The functional requirements of the ATP are, as follows:

- Only permit forward train movements;
- Prevention of trains entering a route that is not set and locked;
- Safe braking distance to an obstruction;
- Safe separation between subsequent trains;
- Over-run protection;
- Train speed measurement;
- Target Speed indication at the train cab odometer;
- Over-speed audible warning in the train cab when the actual speed is exceeds the Target Speed;
- Initiate an Emergency Brake application when an unsafe condition is detected.
- Rollback detection
- Zero velocity detection
- Over-speed initiation of emergency brake application
- Train door control
14.5.1.6 The ATP major trackside equipment shall include:

- Signaling sub-system interface logic controller;
- ATP code generators;
- Code selection logic controller;
- Track circuit interface.

14.5.1.7 The ATP major train-borne equipment shall include:

- Antenna
- Vital processor
- Tachogenerator
- Odometer
- I/O interface with train systems

14.5.2 Automatic Train Supervision (ATS) System

14.5.2.1 The ATS enables management of the Signaling and Train Control System from the OCC by providing overview of the transit system operating status, in approximation to real time. ATS automates the normal operation of the traffic control and supports manual intervention by OCC staff during abnormal working situations.

14.5.2.2 Workstations shall be provided for the OCC staff to operate the signaling system and a mimic panel depicting the transit system track layout and signaling arrangements. Data for various timetables generated at the Schedule Compiler, shall be stored in the ATS Central Computer memory for implementation, as and when required, for various service demands.

14.5.2.3 ATS data for service train description and location shall be communicated with the Passenger Information System (PIS) and train radio, for train stepping and identification functions.

14.5.2.4 ATS data shall be transmitted between the ATS Central Computer and the field Local Processor Units (LPU's) located in each station signal equipment room via the Data Transmission System. LPU's are networked together and provide the data to support the automatic working at a local level.
14.5.2.5 For the event that the OCC is not available or during maintenance activities, Local ATS (LATS) are proposed at each station. These facilities will enable the station staff monitor normal working and provide manual support during abnormal working conditions. The Local ATS facility shall support automatic train supervision from data stored in the LPU, for automatic route setting and reporting, in accordance with the service timetable.

14.5.2.6 Engineers for ATS workstation shall be provided in the equipment room of the Central Control for maintenance and software up-date activities.

14.5.2.7 UPS with a minimum of 2 hours battery supply shall support the ATS Central Control facilities.

14.5.2.8 The functional requirements of the ATS sub-system are, as follows:

- Overview the revenue line operations status, in proximity to real time;
- Alarm potential operating hazardous events;
- Record operating events and alarms (sufficient to reconstruct an incident);
- Overview of the signaling system status (routes, points, signals, blocking inhibits);
- Automatic route setting;
- Manual routes setting and point operation;
- Enable blocking of tracks, signals/routes and points;
- Compile service timetable data (Schedule Compilation);
- Display train description (ID Number) at the OCC mimic panel;
- Local (Station) control;
- Radio communication with each train (by ID Number);
- Interface with Train Radio for Passenger Information System;
- Enable holding of trains in a platform(s) by modifying the ATP proceed code;
- Enable adjustment to platform dwell times;

14.5.2.9 The ATS major equipment shall include:

- Central Computer. Dual system with the redundant computer in hot stand-by mode;
- OCC mimic panel;
- Workstations (Operator and Engineers);
- Printer;
- Schedule compiler;
• Train Describer;
• Clock;
• Data Transmission System;
• Field LPU’s and interface with the signalling, ATP field equipment; and
• Local ATS computers

14.5.3 Operation Control Centre (OCC)

14.5.3.1 The OCC for this corridor shall be housed in the OCC at D.N. Nagar. It will be manned round the clock and provide control for train service, power system and environmental systems as well as incident handling. This control centre provides control and supervision for all train movements for the proposed corridor. Station control failback operation is also provided. It allows control of train movements within the station control boundaries. Train movements across boundaries are normally automatic and in some case it will need co-operative working between adjacent stations.

14.5.3.2 There are two consoles for the corridor and each console layout is exactly the same. Each console is manned by one Traffic Controller managing one half of the line. In case of emergency or incident handling one controller will take up the control of the whole line and leave the other focuses on the incidents.

14.5.3.3 An engineering console is located inside the control centre. It allows close co-ordination between operators and engineers and speedy recovery of service to be made when there is service disruption due to equipment breakdown.

14.5.4. Mimic Over View System
14.5.4.1 A mimic panel will be provided in the OCC, which will display the following information to facilitate traffic controllers in their activities:
• Real time train movement using track circuits / cable loop status.
• Display train ID of moving train.
• Position of points (locking & detection) & status of routes.
• Track circuit failure or track circuit "put out of service" shall allow the progression of train movement to be followed on the mimic panel without the loss of train description using train tracking algorithm.
• Status of Public Information system, Public address system
14.5.5 Computer Based Interlocking (CBI)

14.5.5.1 There shall be 13 stations in all on main line, out of which 6 stations shall be with points and crossings. Carshed lines shall also have points and crossings.

14.5.5.2 All the main line stations with points and crossings shall be provided with a Computer Based Interlocking (CBI) with facility to operate from OCC and also locally as a back up, if need arises. Computer Based Interlocking shall be provided for Versova, Andheri, Airport road, Sahar Airport, Sakinaka, Ghatkopar and DN Nagar depot.

14.5.5.3 The proposed alignment is on elevated structures, including car-shed lines. Versova station, besides being a terminal, also caters for stabling facilities and entry and exit from the car shed. Ghatkopar is the terminal station at the other end of the alignment. Reversal facilities are provided at the intermediate stations Andheri, Saki Naka and Airport Road. Airport Road also serves as the entry and exit for the spur line to Airport station. Interlocking systems are provided at the Car-shed, Versova, Andheri, Saki Naka, Airport Road, Airport and Ghatkopar. While the Car-shed interlocking will be locally operated, the operation of the movements will normally be operated from the Operations Control Centre at Versova. For compatibility with the modern ATP system interface, modular form, self-diagnostic equipment with maintenance friendly microprocessor based interlocking is proposed at all stations with automatic route setting. Electric point machines will operate all points. Signal and track circuit equipment will be centralized at interlocked stations interlocking to keep the outdoor equipment to a minimum for ease of maintenance and minimize cost.

14.5.5.4 The CBI shall provide the following functions:

- Route setting on entrance/exit principles;
- Interlocking against conflicting routes;
- Flank protection;
- Point detection and track-circuit clearance;
- Route over-run protection;
- Signal indications to support Line of Sight driving procedure;
- Bi-direction working on each Line, between adjacent interlocking stations;
- Route holding of a set route, when a train is approaching, within the route and the
• Release of the route by the passage of a train or by the route cancellation at the OCC or station control when the train has come to a standstill;
• Route, point and track circuit Blocking;
• Exchange trackside information between main line and depot signalling system;

Major equipments of CBI shall include:

• Local ATS (LATS) Workstation
• Computer Based Interlocking (typically, “redundant” processors);
• Track circuits – jointless AF within the sections of Continuously Welded Rail (CWR) and jointed within the special trackwork;
• Electric point machines (locking for facing movements) and detection;
• 2 aspect Signals;
• Fixed buffer stop lights; and
• Blocking and route inhibit facilities.
• Platform Emergency Plungers (at platform)
• Platform Emergency Switches (inside Station Control Room)

14.5.6 Station Control Room

14.5.6.1 Station Control Room is equipped with all the control terminals for signaling and power system. The control limits are within the defined station control boundaries. Local controls are also provided for safety critical functions. Apart from that it also provides control of escalator movements, station lighting, fire control system, ventilation and miscellaneous E&M systems of the station and Automatic Fare Collection system such as entry-exit gates, ticket vending machine etc. Normally all controls are executed from the central control room. It will only switch to station control when it is more effective to control the systems locally such as when system failure occurs and needs to operate at degrade mode of service or when an incident is being handled locally.
14.5.7 **Signaling Equipment Room**

The signaling equipment room will be provided at 6 stations. It houses the ATS system, CBI, ATP system, one power cubicle and trackside equipment cubicles. All critical equipment are duplicated and CBI is configured in two out of three. A technician terminal and a data logger for CBI are provided for fault diagnostic. A serial link port is provided at the ATP computer rack for portable PC to download and view the equipment status.

14.5.8 **Lineside Signals**

Lineside signals shall be installed on main line stations at the entry to all routes (interlocking) for bi-directional working. Signals shall be two aspect colour light signal. The sizes of the signal unit shall be so designed that it does not infringe the requirements of structure gauge without affecting the visibility of the signals. All main and subsidiary signal lamps shall be LED lamps. Route indicators shall be provided to indicate each route wherever a signal can lead to more than one route and shall be mounted on the signal in such a way that it does not infringe
the requirements of structure gauge. Shunt signals shall be position light type. Lamp proving shall be provided for all lineside signals including cross, route indication of signals and shunt signals.

14.5.8.2 Line side Signal Aspects

Signals shall display the following aspects.

1. When cab signal equipments is working, (Red / Green) shall not be lit and the fixed colour light signal will have two white lit cross bars on the post below the main signal to indicate the ATP working.

2. When cab signal equipments is not working or train is running in the manual mode:
   a) Red – stop dead.
   b) Green – route set and locked and the track is clear up to next interlocking.

The two white lit cross bars used to indicate the ATP working shall be extinguished in this case. Stencil type route indicators above the main signal shall be used to display "M" or "D" as the case may be for both manual and ATC working to indicate the movement through the interlocking.

14.5.9 Isolation

14.5.9.1 Provision of conventional isolation between main line & stabling siding ahead of platform at Versova has been dispensed with as parking brakes on Rolling stock proposed to be provided shall afford necessary safeguard.

14.5.9.2 Parking brakes shall be capable of holding a fully loaded stationary train on 4% gradient under all track conditions, indefinitely. These stabling lines shall be used for stabling of rakes during night only.

14.5.10 Point machines
14.5.10.1 The point machines on the main line shall be electrically operated of non-trailable type, with high thrust & suitable to drive thick web switches. The point machines for the depots shall be of trailable type.

14.5.10.2 The On-line Point Diagnostic System will also be provided. It will provide information on the current operating state, changes in the operating state and deficiencies in point before it happens. It is a parameter measuring system for determining the power curve of an electromechanical point machine. On the basis of this data, conclusions are drawn which are used to evaluate the points in their function as an electrical and mechanical system. The diagnostic principle is based on the proportionality between the mechanical-force output and the active-power input in large areas of the operating parameter range of the electrical point machine. The point diagnostic system includes high-precision sensors which are connected to a 19" board-rack system and evaluated by means of specially developed software.

14.5.11 Track circuits

14.5.11.1 Audio frequency track circuit for detecting the presence of vehicle on track shall be used. In addition, axle counter shall be used to backup track circuit at critical location of which failure will significantly affect the train services such as terminal station with crossovers and the reception track of depot with single in/out track. These are the modern signaling equipment and are being used extensively worldwide including Indian Railway.

14.5.12 Safety standards

14.5.12.1 All the vital/non vital systems shall meet the same safety and other CENELAC standards as has been adopted for Worldwide Railway and Metro corridors. Safety standards will commensurate with the safety requirement of the system so as to ensure safety as well as cost efficient system.

14.5.12.2 The ATP system shall provide the fundamental safety control of train running and shall be a Fail Safe system of Safety Integrity Level (SIL) 4 as defined in EN50126, EN50128 and EN50129.

14.5.12.3 All ATO functions (if fitted) shall be performed at Safety Integrity Level (SIL) 2 as defined in EN50126, EN50128 and EN50129.
14.5.13 Earthing and Lightning protection

14.5.13.1 All the equipments shall be provided with proper earthing and lightning protection in an attempt to avoid any damage to the equipment or working personnel on the system due to any abnormal conditions.

14.5.14 Redundancy

14.5.14.1 The CAB-borne and wayside signaling equipment including CBI shall be designed with sufficient redundancy to meet the reliability and availability requirements with easy access for maintenance.

14.5.15 Depot

14.5.15.1 The depot Signaling system shall be a two-aspect signal system for Line of Sight driving procedures. The interlocking shall be vital Computer Based Interlocking (typically "redundant" processors) controlled from a workstation located in the OCC building. Route setting shall be of the entrance / exit philosophy and the design in accordance with fail-safe principles; associated software shall be validated in accordance with IEC 65A Standard Integrity Level (SIL4).

14.5.15.2 Transfer of trains between the depot and revenue line shall take place in a defined section of the Depot Link Line, adjacent to the Depot entrance.

14.5.15.3 The functional requirements of the depot signaling system are, as follows:

- Overview the operational status of the Depot layout, in proximity to real time;
- Overview the depot Signaling system status (routes, points, signals, blocking inhibits);
- Manual setting of routes by entrance/exit principles;
- Alarm potential operating hazardous events;
- Manual operation of points;
- Record operating events and alarms (sufficient to reconstruct an incident);
- Blocking of tracks, signals/routes and points;
- Display of train numbers in stabling berths;
- Display alarm status; and
14.5.15.4 The major equipment of the depot signaling sub-system, is as follows:

- Mimic panel;
- Work stations (Operator and Engineer);
- Computer Based interlocking;
- Point machines;
- Signals. 2 aspect;
- Track circuits (AC-Immunised)

14.5.16 Signaling Plans

14.5.16.1 Schematic signaling for stations with points & crossings are shown in Fig 14.1 to 14.7 which are based on tentative Civil Engineering plans for those stations.

The proposed yard layout, track circuiting arrangements and the location of insulated rail joints etc. are tentative and signals and their locations as indicated are only conceptual. A schematic plan of the entire section including car shed is also shown in Fig 14.8 with EMU performance characteristic and track details indicated therein.

14.6 Project Management

14.6.1 A core team of experts with international exposure in similar projects with the support of local experts will be employed on the project. The scope of project management will include

a. Contract and material management
b. Project monitoring
c. Preparation of designs and plans.
d. Construction supervision and quality control.
e. Certification and training of personnel for installation, maintenance and safety practice.
f. Safety report of the project.
g. Completion drawings and completion plans.

14.6.2 For execution of project, bid document will be prepared including BOQ, specifications, special conditions, safety requirements, quality control and health and hygiene for working personnel and good construction practices to be followed in the project.
14.6.3 Requirement of materials including specifications, estimated rates pertaining to procurement schedule and inspection authorities will be identified and incorporated in the work plan.

14.6.4 Construction depots, storage space for materials etc. will be identified.

14.6.5 A System Requirement & Specification (SRS) will be framed which will be the starting point for Project Implementation. SRS will be framed in three parts:

a. Project Implementation.
b. Operation
c. Maintenance

14.6.6 After identifying the detailed requirements and consolidating them in SRS, the same will be analysed and compared with the commercial products in the market. The level of customization will then be determined and the best possible mix will be selected.

14.6.7 A review of all ATP systems operational worldwide will be made and the best proven cost effective system will be chosen bearing in mind the safety, reliability and availability of the system.

14.7 Construction Methodology

Detailed designing and implementation of various sub-systems will be done as under:

14.7.1 Designs & Drawings

14.7.1.1 Signaling & Communication activities start with the finalization of Engineering scale plans. The plans will be checked to see the Civil structures required for signal & telecom system are adequate.

14.7.1.2 Drawings & designs will be based on the Engineering scale plans & station design to suit the traffic, commercial, operation and maintenance requirements. An assessment of Civil requirements like service buildings, tower, duct for cabling and other structures will be done. Power requirement and their locations also will be analyzed. These activities will be carried out in close co-ordination with Civil & Electrical Engineers.
14.7.1.3 Detailed designing of signaling sub-systems will be basically preparation of a plan incorporating all requirements of interlocking keeping in view the structural constraints and flexibility of train operations.

14.7.1.4 As regards the communication systems, a detailed survey will be carried to identify the location of towers for Mobile Train Radio system. Similarly, a detailed cable route plan will have been made for Optic Fibre cable.

14.7.1.5 All designing activities will duly include the requirements of future expansions to the extent it is possible and adequate provisions of expandability will be made.

14.7.2 Integrated Signal & Communication Systems

14.7.2.1 An integrated approach will be adopted for providing Signal & Communication. Systems as done in other Metro systems. Complete design of Integrated Signal & Telecom systems will then be prepared with necessary specifications for systems and special requirements.

14.7.3 Selection of Proven Systems

14.7.3.1 The best proven modern ATP system, Computer Based Interlocking, optic fibre system, train radio and electronic exchange etc. in the market being used in other similar Metro cities will be chosen for this project.

14.7.4 Mobilisation

14.7.4.1 For execution of the work qualified engineers and supervisors who have long experience in Railways for construction, operation and maintenance will be deployed.

14.7.4.2 Additional man power of engineers, supervisors, technicians and skilled workman will be recruited and trained by experts in the systems chosen. Enough manpower will be recruited for taking up the work simultaneously at different locations as required to complete the project with in the target set. A separate set up will be made for procurement of systems and equipments so that they are available in time for the work.
14.7.5 Construction Depots

14.7.5.1 Construction Depots will be established at suitable locations for storing the construction equipments and also workshop for fabrication etc. for installation purpose.

14.7.6 Power requirement

14.7.6.1 After the designs are ready power requirement will be assessed and given to Electrical Engineers.

14.7.7 Material requirement

14.7.7.1 Construction machinery and equipments required to carry out the work will be procured along with conveyance and transportation vehicles. All equipments based on the design and requirements, quantities of various equipment will be assessed and procured.

14.7.8 Progress of Work

14.7.8.1 Track side work and indoor work will be planned and progressed simultaneously as per availability of track, service buildings and structures from Civil Engineers.

14.7.8.2 Progress of work will be closely monitored and attempts will be made to complete the work well before target. Planning will be in such a way that some float period is kept for any slippage.

14.7.8.3 Tight supervision needed for installations of safety equipments will be provided. Workmanship of all the installations will be of high standard comparable to international standards.

14.7.8.4 A program of completion in the form of bar charts will be prepared and each activity will be monitored for effective management of the project.

14.7.9 Inspection & Testing

14.7.9.1 Inspection and testing of all the equipments and systems will be done at various stages of installation and kept ready for final testing and commissioning.
14.7.10 Safety Management

14.7.10.1 A safety management programme will be made and implemented at all stages of installation and a final safety management project report will be prepared and implemented.

14.7.11 Workshop

14.7.11.1 A state-of-the-art workshop for repair and maintenance facilities for all electronic equipment, point machines, signals, track circuits and other communication equipment will be set up with on line maintenance terminal for speedy maintenance. This will be in addition to all required test equipment, including track equipments for testing cab equipment before introduction into service.

14.7.12 Training

14.7.12.1 A training centre will be attached to the workshop with multimedia facilities for imparting training to the construction staff during all stages of construction testing and commissioning and also to the operating and maintenance staff for efficient operation and maintenance of the system.
MUMBAI RAPID TRANSIT LINK
COMMUTER : 4 MINUTES
AIRPORT EXPRESS : 16 MINUTES

Remarks:
1. Original track layout.
2. Shorten overrun at the siding
3. Not allow to use diamond
4. Turnout speed is 30 km/hr.
MUMBAI RAPID TRANSIT LINK
COMMUTER : 4 MINUTES
AIRPORT EXPRESS : 20 MINUTES

Remarks:
1. Original track layout
2. Shorter overruns at the sleeper
3. Not to allow to use diamond capping
4. Turnout speed is 30 kmh.
CHAPTER – 15

COMMUNICATION SYSTEMS

15.1 Introduction

15.1.1 A state-of-the-art communication network connecting important locations like OCC, stations, depots, moving trains and staff working along Railway track is an essential requirement for efficient Railway Management and operation. To meet this requirement the communication systems shall provide highly reliable communication channels for carrying voice, data and video signals having compatibility with other systems on MRTS.

15.1.2 The communication systems shall cater to & provide optical based backbone for the following functions / systems:

1. Train control
2. Emergency control
3. Dedicated communication between stations
4. Telephone Exchange system
5. Maintenance control for various department
6. Centralised control system
7. Train designation indicator
8. Passenger announcement system and clocks
9. Telemetry system for power control & escalators
10. Instant on-line Radio communication between moving cars and OCC and maintenance personnel.
11. Data channels for signaling
12. Automatic fare collection system

15.1.3 The communication systems shall comprise the following:

1. Public Address System (PAS)
2. Passenger Information Display System (PIDS)
3. Closed Circuit Television (CCTV) System
4. Telephone System
5. Train Radio System
6. Master Clock System
7. Fibre Optic Transmission System (FOTS)
8. Trainborne Communication System
15.2 Public Address System (PAS)

15.2.1 The PA system (see Figure 15-1) is to allow the operators to make audio announcement to the passengers. PA audio and selection panel shall be provided at Operation Control Center (OCC), Station Control Room (SCR) for making live announcement to the selected PA zones. For OCC, the selectable PA zones shall include at least a selected station, a group of stations or all railway stations. For SCR, the selectable PA zones shall include at least each individual platform and concourse of its station. It is optional to have separate depot PA system but with audio and selection panel from the console of Depot Controller.
Figure 15-1: CONCEPTUAL DIAGRAM OF MRTS PUBLIC ADDRESS SYSTEM
Mass Rapid Transit System On Versova – Andheri – Ghatkopar Corridor

LEGEND
Speaker
Noise Sensor
Amplifier

PA Matrix

OCC ↔ Stations
To trains via Radio System
To other stations

Audio and Selection Panels
Audio and Control Signals via FOTS

OCC

Audio & Selection Panels (SCR)
Audio & Selection Panels (Platform)

PA Zones in Stations

Stations
15.3 Passenger Information Display System (PIDS)

15.3.1 The PIDS (see Figure 15-2) is to allow the operators to dispatch visual information to the passengers. Workstation Controllers shall be provided at OCC and SCR (by Station Master) for at least sending information of the next two trains to the display boards at each direction. The train information to be displayed shall include the destinations of trains in both Marathi and English; and its departure time. The displayed destination shall be lit continuously while only the time will change. The display board shall be installed at each platform and other required areas. The board shall be made of super high glow LED which shall be visible clearly from 20m under ambient light of bright sunny day in shade.

15.3.2 The PIDS shall interface with the PA to synchronize the broadcasted station audio and visual messages. It is optional to have interface between PIDS and radio system if visual messages are required to send from OCC to train-borne PIDS.

15.3.3 The central PIS at OCC will be used to send message to selected stations passenger information display board via the station LAN of the OFTS or have provisional features to send messages to display boards of selected train via the data channel of the TETRA radio system.
PIDS inside Train

PIDS inside Train Compartmnet
Figure 15-2: CONCEPTUAL DIAGRAM OF MRTS PASSENGER INFORMATION DISPLAY SYSTEM
15.4 Closed Circuit Television (CCTV) System

15.4.1 The CCTV system (see Figure 15-3) is to allow the operators to monitor to stations for security purpose. Fixed and pan-tilt-zoom types cameras will be installed at stations to be agreed with the designated engineer. CCTV monitors shall be provided at OCC, SCR and platform headwall. At OCC, a control panel shall be provided. The operators (Traffic Controller, Chief Controller and Engineering Controller) shall be able to select and monitor the required image from any station camera in either quad or full picture view by a control panel. At SCR, a control panel shall also be provided. The operator (Station Master) shall be able to select and monitor the required camera image from its own station in either quad or full picture view. At platform headwall, no control panel is required. The monitored camera image is fixed to its own platform cameras image in split screen format. It is optional to have video recorder for the camera images if required. It is optional to have separate depot CCTV system but with control panel and monitor at the console of Depot Controller.
Figure 15-3: CONCEPTUAL DIAGRAM OF MRTS CLOSED CIRCUIT TELEVISION SYSTEM
15.5 Telephone System

15.5.1 The telephone exchange system (see Figure 15-4) shall consist of state-of-the-art, ISDN compatible, digital EPABX telephone network with following features:

1. Interconnectivity with Public Switched Telecom Network (PSTN), two way communication between passenger information points and staff operators at OCC/SCR
2. Call forwarding, call transfer, teleconference, conferencing voice mail, emergency override and call queuing.
3. It shall also have DECT facility
4. Modular construction for future expansion.
5. Interconnections at 2MB level.
6. Interface and compatibility with Radio system.
7. Centralised administration, maintenance attendant services and alarms etc.
8. Highly reliable system having various modules with high MTBF and low MTTR.

15.5.2 The EPABX will be installed at a central location and shall be equipped with trunk interface units. It shall have interface with radio system to enable radio users to initiate and receive calls from EPABX extension or from PSTN telephone. The system will have facility of remote monitoring in addition to display of urgent/non urgent alarms, etc. at local end for ease of maintenance. It is optional to have a direct line non-blocking services be provided between OCC traffic controllers and stations designated locations.

15.5.3 The passenger information point (call point) at station will provide two way communication between passenger and staff operators at OCC/SCR. It will be a telephone set with a number of pre-programmed keys such that by pressing any one of these buttons will immediately connect to the destination.

15.5.4 The call point will equipment with device to assist disabled persons like induction loop for hearing-impaired passenger
Figure 15-4: CONCEPTUAL DIAGRAM OF MRTS TELEPHONE SYSTEM

Note: A Voice Mail System, a Central Audio Recorder and a Network Management Workstation are provisional.
15.6 Train Radio System

15.6.1 Train Radio System (see Figure 15-5) for communication between driver, maintenance staff & controller is proposed to be used. It is based on open standard technologies (TETRA). This technology is being used extensively for communication on Railway network.

15.6.2 Telecommunications Standards Institute (ETSI). TETRA offers voice and data capabilities. Voice privacy, improved audio quality and improved spectrum efficiency are also common advantages.

15.6.3 It will use frequency in either the 400MHz or 800MHz band as granted by the Government Telecommunication Authority. 6 pairs of frequency will be used for communication with train radio and hand portable radio sets for operation, maintenance and security functions throughout the MRTS. It will provide:

a) Instant 2-way communication between Controllers in OCC and train driver.

b) Instant 2-way communication between designated controller and the maintenance/operating staff, working along the track and stations.

c) Instant emergency communication.

d) Communication between maintenance and operating staff, working within the depot area and depot controller.

e) Radio system shall have the specifications, meeting functional and performance requirement of other similar corridors. The system shall have base stations, installed at selected locations to relay communication between all radios in the radio systems and shall be parented to central control equipment installed in OCC at DN Nagar. The location of base station shall be decided after detailed survey and shall provide adequate coverage. For stations at grade, tower mounted antenna will be provided to cover wide area. The radio system shall have suitable interface for integrating with other related sub-system. The system shall be capable to meet future requirement as well as up gradation.

f) This shall also have feature of sending SMS for sending authority to driver maintenance staff. It shall have the feature of archiving data & voice.

15.6.4 Since TETRA can provide many call features and a wide range of user groups with efficient and intelligent communication. Several talk-groups will be configured in order to provide demarcation between different parties. For example, one independent talk-group can be assigned for the maintainer and station master at the sub section. One large talk-group can be assigned for the whole line stations so that a common channel is available for controllers in central control to dispatch information to all others at a time. Priority can be configured in a way that an established conversation of low priority would be overridden by a later but higher priority radio call request.
Figure 15-5: CONCEPTUAL DIAGRAM OF MRTS RADIO SYSTEM (STATIONS AND RUNNING LINES)

Note: Trainborne radio set via train line connected with saloon speakers for Cab-saloon communications. (Provisional Trainborne PIS will also connect with radio via train line)
15.7 Master Clock System

15.7.1 The master clock system (see Figure 15-6) is to provide synchronized GPS clock signal and time signal for other equipment. Two master clocks run in parallel shall be provided at Central Control Building. One master clock is in working mode while the other is in hot standby mode. The master clock is a high precision synchronized clock. It shall be capable to drive up to 50 slave clocks directly within 2km distance. And a sub-master clock and slave clock shall respectively be provided at each operation area and station locations/work centre to be agreed by the designated engineer. The sub-master clock shall receive synchronize pulse in every 1 sec interval from the master clock. The slave clock shall display hour and minute only.

15.7.2 The sub-master clock shall interface with other system to provide synchronized time signal for other E&M system equipments if required.
Figure 15-6: CONCEPTUAL DIAGRAM OF MRTS CLOCK SYSTEM

Mass Rapid Transit System On Versova – Andheri – Ghatkopar Corridor
15.8 Fibre Optic Transmission System (FOTS)

15.8.1 Optical fibre cable system (see Figure 15-7) shall be the backbone of telecom network. This shall provide sufficient transmission bandwidth to cater for requirement for voice, data and video signals for the corridor. The armoured optical fibre cable proposed is single mode type having 24 fibres with state of the art digital technology i.e. Synchronous Digital Hierarchy (SDH). The equipment proposed shall consist of STM-4 capacity – expandable to STM -16 with Network Management System (NMS) to monitor the status as well as control the operation. The NMS system provided shall have centralized and remote control for entire fibre optic telecom system including SDH equipment at terminal, regenerative repeaters and dropping station. The system will have compatibility with radio systems as well as with telephone systems.

15.8.2 The optical fibre cable is proposed to be laid on both sides of the track in order to have path diversity, forming a self healing ring to take care of any interruption in the cable. The SDH equipment i.e. STM -1 is proposed in 1+1 configuration to cater for any equipment failure for providing reliable communication. An additional OF cable between OCC and DN Nagar station will be provided for communication with OCC.

15.8.3 The FOTS shall be equipped with a Network Management System to provide status monitoring, configuration, analysis and control of various network elements.

15.8.4 The FOTS shall be highly reliable transmission system with availability of circuit at 2 MBPS level, better than 99.99% and circuit below 2 MBPS level better than 99.95%. The SDH equipment for the system shall have high MTBF and low MTTR, confirming to ITU standard.
Note: A Network Management System Workstation will be provided in OCC.

Figure 15-7: CONCEPTUAL DIAGRAM OF MRTS FIBER OPTIC TRANSMISSION SYSTEM
15.9 Train-Borne Communication System

15.9.1 The train-borne communication system (see Figure 15-8) includes the train PA, train intercom, train radio and train PIDS. An integration audio and control panel shall be provided at both train cab for the train crew.

15.9.2 The train PA allows train crew and OCC (Traffic Controller via radio system) to make audio announcement like emergency, station name ...etc. to the passengers on train. A door chime shall be making before the closing of passenger door. The train intercom allows passengers make intercom call to the train crew when in emergency and allows train crews to communications between front and rear cab. The train radio allows the train crews to communication with OCC (Traffic Controller). The train PIDS allows the train crew at the front cab to make predefined visual message to passenger on train. The next stopping station shall be displayed in multi-language (Marathi and English). It is optional to have train-borne digital device for prerecorded train PA messages.

15.9.3 The signaling system shall interface with the train-borne communication system to provide the train ID for radio communication. The train PA shall interface with train PIDS and train door closing devices to synchronize the audio and visual messages. It is optional for the train radio system to interface with PIDS if required to receive message from the workstation controller at OCC.
Figure 15-8: CONCEPTUAL DIAGRAM OF MRTS TRAINBORNE COMMUNICATIONS SYSTEM

Mass Rapid Transit System On Versova – Andheri – Ghatkopar Corridor

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CHAPTER - 16

AUTOMATIC FARE COLLECTION (AFC) SYSTEM

16.1 Introduction

16.1.1 The proposed automatic fare collection (AFC) system for MRTS will be a closed system which has controlled entry and controlled exit to and from paid areas using AFC gates. Fare media are read, encoded and verified by the gate's ticket processor. They are checked for authentication, blacklist, and validity, balance, ticket type, fare deduction and etc. If the validation is successful the gate will unlock the barrier allowing the passenger to pass through, otherwise will instruct the passenger to seek for assistance from Ticket Office.

16.1.2 AFC system will consist of a number of subsystems, which primary take care of fare collection, system and revenue data management. The system will provide a complete fare collection facility, which includes:

(a) Collection and accounting of fares

(b) Reduce fare evasion by controlling passengers and staff movement between the paid and unpaid areas within the railway under various situations, e.g. normal operation, incident, train delay, emergency, etc.

(c) Automatic ticket issuing with exchange for cash payment

(d) Fare media management

(e) Examination and collection of ticket after use

(f) Customer service for ticket enquiry, excess fare, add value, etc

(g) Circulation, collection and control of cash

(h) Recording and provision of information for use by the railway management
16.1.3 As requested in the bidding document, an overview and general requirements for the proposed AFC System is included in the bidding proposal. Subject to further review and clarification, a more comprehensive proposal with detailed technical and functional requirements will be submitted in the design stage.

16.2 Fare System

16.2.1 Fare Structure

16.2.1.1 The AFC fare system shall support fare structure based on distance, zone, time of day, ride and period. Fare policy shall be flexible and be able to change frequently. Advanced fare media such as contactless smart cards shall be required to implement complicated fare policy and promotional programme, e.g. concessionary fares, loyalty scheme and various types of discount.

16.2.2 Ticket Type

16.2.2.1 The ticket system shall be a combination of some or all of the following ticket types, depending on the business needs and marketing strategies:

(a) Single ride ticket

(b) Multi-ride ticket

(c) Stored value ticket

(d) Period pass (unlimited ride within certain period)

(e) Staff pass

(f) Tourist ticket

(g) Promotional ticket

(h) Maintenance/Testing ticket
16.2.3 Fare Media

16.2.3.1 The function of the fare media is to contain encoded information that, when read by AFC gates, will permit passengers to enter or leave the system. Two major fare media shall be considered:

(a) Contact less Smart Media

(i) Stored value ticket

(ii) Reusable token for single journeys

(iii) Disposable paper smart card

(b) Plastic Magnetic Ticket

(i) Stored value ticket

(ii) Reusable plastic ticket for single or multiple journeys

(iii) Disposable paper magnetic ticket

16.2.3.2 It is proposed to use contact less smart media as stored value tickets and plastic magnetic media as single journey tickets.

16.2.4 Stored Value Ticket Management

16.2.4.1 Each stored value ticket will go through the following process:

(a) Initialization -- basic card information is written into the ticket, e.g. security key and threshold value.

(b) Issue/Distribution -- during the issuing process, some common system data is recorded into the tickets' memory.

(c) Vending - during the vending process the tickets are registered into the central database and loaded with value. Stored value tickets can be sold with various pre-determined values.
(d) Validation - tickets will be read, encoded and verified by the card readers which are mounted on the gate. The entry gates will check and validate the tickets. The exit gates will check the tickets' validity, station of entry, etc and deduct appropriate fare from the tickets.

(e) Enquiry - passengers are allowed to check the remaining value, recent transactions and expiry date of their tickets.

16.3 System Description

16.3.1 System Architecture

The system can be divided into following levels:

(a) Level 4 - Central Clearing House System (CCHS)

(b) Level 3 - Central computer system (CCS)

(c) Level 2 - Station computer system (SCS)

(d) Level 1 - Station front end equipment
16.3.2 System Diagram

Level 4

Level 3

WAN

Level 2

Station

Station LAN

TVM

AVM

Gates

EQM

TOM

Coins, Banknotes, Credit Cards

Single Journey Tickets

Stored Value Tickets

Level 1

Software Development System

Central Computer System

Ticket Initialization Machine

CCHS

Other Stations
16.3.3 Level 1 – Front End Equipment

Front end equipments shall perform the following functions:

(a) Ticket sales

(b) Customer service, e.g. refund, add value, enquiry, etc

(c) Ticket inspection, checking and validation

(d) Cash handling

Besides, system equipment such as gates, TVM and AVM, should have audit functions, and the audit registers should be accessible from the network. All the operation and events should be logged.

16.3.3.1 AFC Gates

(a) The AFC gates shall be used to control the movement of passengers between the paid and unpaid areas of station concourse.

(b) In order to pass through an AFC gate aisle, a passenger must present a ticket (fare media) for validity check. If the fare media is valid, then the gate barrier will be released allowing the passenger to pass through. If the fare media is not valid, the barrier will remain locked (closed). The barrier shall be either a turnstile or scissor type.

(c) To assist passengers in using the AFC gates audio-visual graphic technology shall be incorporated in the gate design.

(d) Gate type

Major gate types shall include the following:

(i) Regular entry gate

(ii) Regular exit gate
(iii) Reversible gate

(iv) Bi-direction wide gate (for wheel chair / large luggage)

(e) Dimensions and Aisle Width (subject to further ergonomic study)

Suggested gate stanchion dimensions:

(i) Length: 2000mm

(ii) Width: 250mm

(iii) Height: 1040mm

Aisle Width:

(i) 500mm (minimum for regular aisle)

(ii) 900mm (minimum for wide aisle)

16.3.3.2 Ticket Vending Machine (TVM)

TVMs installed in the unpaid area shall be used to dispense single ride tickets, e.g. magnetic tickets, CSC tokens/cards, etc. TVMs can accept coins and banknotes for payment. To make the TVMs easy to use audio-visual graphic and touch screen technology shall be incorporated in the TVM/AVM design.

16.3.3.3 Add Value Machine (AVM)

Stored value tickets can be recharged at any Add Value Machine or Ticket Office.

16.3.3.4 Enquiry Machine (EQM)

The Enquiry Machine (EQM) shall allow passengers to check on their fare media the remaining value, the most recent transactions and the expiry date.
16.3.3.5 Ticket Office Machine (TOM)

Ticket Office Machines shall be provided in the Ticket Office for ticket issue, analysis and validating.

16.3.3.6 Portable Ticket Analyzer (PTA)

PTA shall be self-contained, hand-held devices for smart card/ magnetic ticket inspection.

16.3.3.7 Encoder/Sorter (E/S)

The E/S shall be provided (for magnetic ticket only) at ticket centre for sorting mixed magnetic tickets collected into categories for recycling.

16.3.4 Level 2 – Station Computer System (SCS)

16.3.4.1 The SCS monitors and controls the AFC equipment on a station, keeping records of AFC transactions and audit data. Commands for the AFC equipment are sent to all AFC front end equipment covered by the scope of the command. Status and fault data received from the front end equipment shall be logged by the SCS.

16.3.4.2 All station AFC equipments report their information to the SCS. Every time an event involving ticket purchase or a passenger passing through a gate occurs, the SCS is informed. These events are reported to the SCS as transactions. All the transaction information on these passenger activities is then compiled into various Reports by the SCS on a regular basis. These reports may be printed locally or sent to the Central Computer System.

16.3.4.3 The SCS shall receive from CCS the necessary application file, operation data, e.g. fare table, blacklists of ticket, and disseminate to the front end equipment.

16.3.5 Level 3 Equipment

16.3.5.1 Central Computer System (CCS)

The CCS is a group of central computing resources that allow the operator’s AFC system at the station to be centrally controlled and administered. The system shall provide the following main functions.
(a) Ticket management
(b) Revenue management and accounting
(c) Usage data management
(d) Station AFC equipment control and monitoring
(e) Security key management
(f) User and security management
(g) System management - status monitoring
(h) Production of AFC system reports
(i) Passenger flow monitoring

16.3.5.2 Ticket Initialization Equipment

The Ticket Initialization Equipment shall encode ticket with primary information such as security ID and card expiry date. All card/ticket information will be registered at CCS for security monitoring. Tickets cannot be used in the system before initialization.

16.3.5.3 Software Development System (SDS)

The SDS shall provide the complete facility to develop all system software, including the firmware on each equipment. It shall comprise a code development subsystem and a simulation subsystem

16.3.6 Level 4 - Central Clearing House System (CCHS)

16.3.6.1 CCHS is optional if the tickets or AFC system is only used by a single service provider, i.e. a single railway operator. However, interface to this system will be incorporated in the AFC system for future development if required.

If more than one services provider are involved in the same ticketing system, a central clearing system is inevitable. All transaction data shall be transmitted to the CCHS for reconciliation and settlement of revenue between services provides within 24 hours. Ticket transactions shall consist of ticket sale, ticket usage and add value transactions. The settlement is based on the fare and transaction information received from all service providers involved.

16.3.6.2 Functions of CCHS

CCHS shall consist of several subsystems to perform of the following major functions:
(a) Device management
(b) Services provider management
(c) Clearing and settlement
(d) Billing
(e) Transaction process and management
(f) Blacklisting
(g) Card management
(h) Audit and reconciliation
(i) System and security key management

16.4 Equipment Layout

16.4.1 A closed system requires more stringent passenger handling ability for AFC equipment. AFC equipment layout should, where possible, enable a smooth circulation of passengers across the station concourse. The design will take into account the peak passenger flow and concourse space available. In principle, AFC equipment should be placed along the normal path of passengers, thereby reducing the effect on the flow of passengers and minimizing the inter-crossing of passenger flows.

16.4.2 Gates

16.4.2.1 The entry gates and exit gates define the boundary between the paid area and unpaid area.

16.4.2.2 Manually and automatically operated emergency exits should be located at the end of the lines of major gates. In case of emergency, the emergency exits should open automatically, enabling efficient evacuation.

16.4.2.3 The entry and exit of passengers with special needs should be considered, for example disabled passengers and passengers with large baggage. Wide gates or emergency exits can be used in such occasions.

16.4.2.4 The quantity of AFC equipment will be calculated according to the peak passenger flow, service level, concourse layout, equipment availability and evacuation time during emergency. The evacuation capacity depends on the maximum possible number of passengers, including the passengers in the station and on the trains.

16.4.2.5 The location of entry gates should match those of the other equipment, such as TVM and AVM.
16.4.2.6 The gates should be positioned in such a way that they go with the escalators, staircases and other pathways, providing passengers with the greatest convenience.

16.4.2.7 Buffering areas should be reserved at both sides of the gates to cater for situations in which passengers queue up for passing the gates, or queue up for escalators after passing the gates.

16.4.2.8 The positioning of the gates should maximize the paid area.

16.4.2.9 For stations with comparable entry and exit passenger capacity, groups of entry gates and exit gates should be separated. Unidirectional gates are recommended in such circumstances.

16.4.2.10 Some stations might have special passenger capacity characteristics, for example the entry and exit passenger capacities differ a lot between the morning and evening peak hours. Groups of entry gates and exit gates should be located adjacent to each other. Reversible gates should be employed, allowing more flexibility in handling the passenger flow.

16.4.3 TVM and AVM

16.4.3.1 The TVM and AVM should be located along the major paths of passengers.

16.4.3.2 The quantity of these equipment mainly depend on their usage by passengers, service level and equipment availability.

16.5 Station Operation

16.5.1 The AFC System Operating Modes shall cover normal and emergency operations, and condition requiring full or partial waive of fares such as train service disruption. The system design shall also cater for station evacuation within minimum time as required by local regulation.

16.5.2 Operation modes shall include, but not limited to, the following: normal mode, out of service mode, maintenance mode and degraded mode. The mode switch should be initiated by commands from CCS or SCS, system configuration parameters or the equipment itself.
16.6 Security

16.6.1 Security issues are crucial to the AFC system. Security measures shall cover but not limited to the following:

16.6.1.1 Revenue Protection

(a) All revenue sensitive modules should be secured.

(b) AFC machines and fare media shall resist against tampering by both customers and unauthorized staff.

(c) Audit register values, which are used for revenue reconciliation and checking, cannot be altered or reset.

(d) The system shall provide a complete audit trail of all transactions.

(e) Data shall not be lost due to failure of equipment and transmission medium. The system shall be able to perform self-recovery after system failure.

16.6.1.2 Data Security

To ensure data security, the following actions should be done:

(a) Authentication with the CCS (based on cryptographic keys) is performed cyclically.

(b) Authentication between the ticket/card reader/writer and fare media is performed systematically. Cryptographic keys are stored securely within a cryptographic module to prevent disclosure.

(c) Usage data is uploaded to the CCS at regular intervals.

(d) All sensitive data is stored in the database which is accessible only by authorized application.

(e) Files and data received from or sent to the Central System are signed in order to verify the sender.
(f) Data integrity can be ensured using security measures such as MAC (Message Authentication Code).

16.6.1.3 Network Security

(a) AFC LAN shall be based on TCP/IP and Ethernet standard. Access from outside the network shall be controlled by Firewalls and Authentication.

(b) All the files and data sent through the transmission medium are encrypted to protect against eavesdroppers.

(c) The combination of IP filtering and authentication protocol should defeat most attempts of intrusions. Auditing facilities keep track of such attempt of intrusions.

16.7 Design Principles

16.7.1 Human Factors

16.7.1.1 The principles of human factors engineering shall be applied throughout the design to facilitate ease of use and safety for passengers, operators and equipment.

16.7.1.2 AFC equipment shall be so designed that it will fit for use in the environment to which it is deployed.

16.7.3 Facilities for Disabled

16.7.3.1 At least one wide aisle shall be provided at each station/concourse.

16.7.3.2 Audio/video graphic technology to help wheel chaired and handicap to work through the system.

16.7.3.3 “Call for assistance” button to transmit a signal to the SCS to indicate the passenger in need of assistance from the station staff.

16.8 Standards

16.8.1 Fire Safety (Fixed Guideway) - American National Standards, National Fire Protection Association (NFPA)
16.8.2 Magnetic properties - High Coercivity Ticket Specification
16.8.3 Contactless Smart Card/Token - ISO 14443 Type A, Type B or Type C
16.8.4 Ticket/Card – ISO 7816
16.8.5 AFC LAN – IEEE 802.3 CSMA/CD
16.8.6 Equipment Enclosure - IP54CS
APPENDIX A - FARE MEDIA OPTIONS

A1. Magnetic ticket

A1.1 Magnetic tickets have been widely used as a fare media for many years, and continue to be implemented in various transit systems worldwide. This type of system is welcomed for its simplicity and low cost of tickets.

A1.2 However, the development of this technology is still bounded by its shortcomings. The mechanical modules used for handling magnetic cards inside the AFC equipments are very expensive (the price of each unit is about one third of the unit gate price) and require frequent maintenance. Moreover, as quite a number of mechanical operations are performed on the ticket in each transaction, the overall processing time for magnetic tickets is relatively long. As a result, additional equipments are needed.

A1.3 The associated Ender/Sorter machines are extremely expensive and usually unreliable which require frequent maintenance.

A2. Contactless Smart Card (CSC)

A2.1 Contactless electronic payment method is more economical than magnetic ticket system in the long run. It also reduces the amount of cash circulation in the railway system. The use of a fully contactless AFC system significantly reduces maintenance costs as well.

Advantages of contactless solution:

(a) Fast collection of fares
(b) Simplicity of operation
(c) More reliable and robust
(d) Multi-functionality (period pass, free pass, electronic purse, etc)
(e) More revenue secure
(f) More flexible fare structure
(g) Possibility of usage outside transport, e.g. security access, parking fees, identification (student ID card), retail purchase, etc – opportunities to generate non-fare revenue.
A2.2 Card Type

Contactless Smart Cards can be used as period, stored value and multi-ride tickets. For non-stored value cards, e.g. single/return journey tickets, lower cost smart tokens or disposable cards can be considered. The choice of the card type, e.g. paper ticket, token, etc, is subject to the following considerations:

(a) Ticket price
(b) Operational cost
(c) Environmental friendliness
(d) System type (open or close)
(e) Ticket recycling method to be adopted

A2.3 Multi Application

In order to enhance the popularity of the CSC system among customers, the system can be upgraded for other applications, including other modes of transport and non-transport applications such as retail. It should also allow individual operators to have their own fare structures and promotional programs.

For example, a tourist card at a fixed fee based upon length of stay enabling free entry to attractions such as museums, and use other public transports that are included in the scheme.
APPENDIX B – RECYCLING OPTIONS FOR SINGLE RIDE (SMART MEDIA) TICKET

B1. Option 1

In this option single ride tickets will not be collected at exit (from paid area), but the passenger have to pay an extra fare as deposit when buying the ticket. The used card could be either reused by being recharged at TVM/ticket office, or refund at ticket office. This option causes inconvenience to the passengers.

B2. Option 2

Single ride ticket will be collected at exit when the journey finished. The exit gate design will be very complicated and expensive.
APPENDIX C – AFC GATE OPTIONS

AFC gate can be classified into three main types namely turnstile, flap and scissors types. It shall take into consideration for the choice of gate type the safety, revenue security and throughput capacity.

<table>
<thead>
<tr>
<th>Item to consider</th>
<th>Turnstile</th>
<th>Flap</th>
<th>Scissors</th>
</tr>
</thead>
<tbody>
<tr>
<td>Revenue security</td>
<td>H</td>
<td>M</td>
<td>H-M</td>
</tr>
<tr>
<td>Safety</td>
<td>M</td>
<td>M</td>
<td>M</td>
</tr>
<tr>
<td>Maximum Throughput (PPM)</td>
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<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Convenience</td>
<td>M</td>
<td>H</td>
<td>H</td>
</tr>
<tr>
<td>Space required</td>
<td>L</td>
<td>M</td>
<td>M</td>
</tr>
</tbody>
</table>

H: High, M: Medium, L: Low
Appendix D – Reference Photos

Passenger Gate

Portable Ticket Analyser (PCA)
CHAPTER 17

OPERATION CONTROL CENTRE (OCC) FACILITIES

17.1 Operating Functions

17.1.1 The Operations Central Control (OCC) building is to be located inside Depot at DN Nagar. OCC staff is responsible for:

- management of safe working of people for track access and HV power
- entry and exit of trains between Depot area and the running line
- the regulation of train in the running line
- the monitoring and control of the traction power supply system
- the regulation of train inside the depot
- management of normal and abnormal working of the metro system
- management of minor emergency and coordination of major emergency incidents with the civil authorities
- communications with public and passengers

17.2 OCC Staffing

17.2.1 It is assumed that the OCC is staffed by the following operators:

17.2.1.1 Chief Controller who manages emergency incidents, normal and abnormal operations of the transit system via the OCC systems equipment, operating rules and procedures. The Chief Controller shall be able to interrogate the central control facilities (ATS, SCADA, Comm) for reporting purpose.

17.2.1.2 Two Traffic Controllers who are responsible to regulate passenger train services and work trains in the running line, via Signalling System.

17.2.1.3 Engineering Controller who is responsible for the supervision of the Traction Power System; configure the traction power sections for service and maintenance requirements;

17.2.1.4 Depot Traffic Controller who is responsible for the regulation of train inside the depot and coordinate with the maintenance organization for preparation of trains for entry to revenue service.
17.3 SYSTEM AND EQUIPMENT

17.3.1 Chief Controller shall be provided with an operator console equipped as follows:

- SCADA operator workstation
- SIG operator workstation
- Radio communications panel
- Telephone panel
- CCTV monitor and control panel
- PA audio selection panel
- Passenger Information System (PIS) operator workstation

17.3.2 Traffic Controllers and Depot Traffic Controller shall be provided with operator console equipped as follows:

- SIG operator workstation
- Radio communication panel
- Telephone panel
- CCTV monitor and control panel

17.3.3 Engineering Controller shall be provided with an operator console equipped as follows:

- SCADA operator workstation
- Radio communication panel
- Telephone panel

17.3.4 There shall be separate Mimic displays for Signaling and SCADA system.

17.3.5 The following equipment shall be provided for shared use by all OCC operators:

- Office furniture
- Printers
17.3.6 All the operator consoles shall be ergonomically designed to suit the local anthropometric data.

17.3.7 All operator consoles shall be designed and provided by the same suppliers for consistency purpose.

17.3.8 The room layout design of the OCC shall be ergonomically design taking into account the communications/interaction among different operators, the room physical constraints and the viewing angle from the operator position to the mimic display. Typical OCC layout are attached for reference (Fig.17.1, 17.2, 17.3).
Fig. 17.1 - CONCEPTUAL DIAGRAM OF MRTS OCC FACILITIES

SCADA MIMIC SYSTEM

SIG MIMIC SYSTEM

Engineering Controller Console

Traffic Controller Console

Traffic Controller Console

Chief Controller Console

Miscellaneous Equipment

SCADA Equip

SIG Equip

COM Equip

PIS Equip

Printing Equip

Scanning Equip

FAX Equip

File Cabinet

Photo Copier

Misc Equip
Fig. 17.2 - Computer Simulation of Typical OCC (3-D View 1)
Fig. 17.3 - Computer Simulation of Typical OCC (3-D View 2)
CHAPTER – 18

COMMISSIONING, TEST RUN AND TRIAL RUN

18.1 Commissioning and Test Run

18.1.1 General

The testing and commissioning process is to demonstrate that the setting to work of a physically installation of a system is complete. This phase commences when the installation of the system has been certified as complete. The commissioning process will include and cover all tests and adjustments necessary to ensure that the particular system is safely set to work and, as such, demonstrate that the design criteria have been met.

The Test Run phase is to demonstrate that the railway meets its pre-defined operational requirements by performing a series of integrated system tests with the energisation of the overhead line to allow the integrated system commissioning to be carried out with the Rolling Stock.

18.1.2 Civil Engineering and Trackwork

- Track alignment for the Corridor is on elevated structures from Versova to Ghatkopar including the spur line from Airport Road to Airport Station. All materials required for Civil Engineering works and tracks to be tested in person of the MMRDA Engineer or the MMRDA nominated consultant at the manufacturers' workshop as per the various codes and practices, before dispatching to site. All the test certificates will be properly documented for scrutiny by Safety Commissioner if required.

- After completion of the Way Structure in all respect, one or two spans are to be tested for design load capacity as per the IS Codes and specifications. A detail note will be prepared giving the specifications of the material used and all type of tests carried out during the construction and also copies of test results will be enclosed. The test results will be submitted to the Commissioner of Safety or made available for his inspection whenever required.
After complete construction of all station buildings detail note to be prepared giving the specifications of the material used and all type of tests carried out during the construction and also copies of test results are to be enclosed. All the documents and drawings required for getting No Objection Certificate for opening of station buildings for public use by the Corporation will be submitted to the Commissioner of Safety through MMRDA.

All the track material required for construction of Railway tracks are to be tested and inspected by MMRDA Engineer or MMRDA nominated consultant as per the Indian Railway codes and specification or to the International Specifications. After the receipt of all the materials the track work will be carried out as per the Indian Railway Codes and Specifications. After completion of track work the MMRDA Engineer or MMRDA nominated consultant will check all the parameters laid down in permanent way manual of Indian Railways characteristics adoptable to Standard Gauge to the extent applicable for Standard Gauge. Ultrasonic test along the complete length of track will be carried by the MMRDA Engineer or MMRDA nominated consultant to ensure there are no cracks in the rail and rail joints. The testing machine fitted in the Engine / Motor coach/ Tower Wagon will be run for the complete section. The testing machine reports are completely analyzed and the defects if any indicated by the machine are attended. All the checked parameters of track and track testing machine report and track testing machine compliance reports will be submitted to the Commissioner of Safety and MMRDA.

18.1.3 Traction Power Equipment and Auxiliary Power Equipment

All the high voltage transformer as well as low voltage transformer, H.V. switchgear, isolators, circuit breakers and lightning arrestors will be tested as per IS/BS/International Standards at the manufacturer's premises as per standard proforma approved by MMRDA.

Similarly all the L.T. switchgear, circuit breakers, lightning arresters will be tested as per IS/BSS Standards at the manufacturers premises on the standard proforma approved by MMRDA.

H.T. and L.T. Equipment will be erected in the respective sub-station and commissioning test such as electrical insulation level, high voltage testing, and performance of safety relays will be carried out as per the standard proforma approved by MMRDA.
All the records of individual equipments as well as combined test results of sub-station will be recorded and maintained.

The sub-stations will be energized with incoming supply and kept on energizing for 24 hours to observe the behavior of all equipment.

After successful observation, the sub-stations are deemed as commissioned.

18.1.4 Over Head Equipment (OHE)

All OHE Equipment such as OHE masts, contact wire, catenary, OHE fittings and insulators will be tested as per relevant IS / BS / IRS specifications at the manufacturers premises with relevant test sheets prepared and approved by MMRDA will be carried out.

After successful tests the Equipments will be accepted and cleared for erection.

After erecting the equipment test will be carried out for electrical insulation strength will respect to earth by insulation tester.

High Pressure testing of the erected equipment will be carried out.

Static tests to check the conductor tension, electrical and mechanical clearance, setting of tension weights and pulleys, height and stagger checks at supports and at mid-span will be carried out.

Low speed running test to check the contact wires will be carried out

All the test reports of individual equipment as well as combined system test results will be recorded and maintained.

The system will be energized at 25 KV A.C. and short circuit test will be carried out to prove correct operation of protection equipment and loading requirements of overhead equipment are met.
18.1.5 **Station Lighting/Air-conditioning/Lifts/Escalators**

- Equipment will be erected at their appropriate places and tested for their performance.

- Inspection of safety equipments, lifts, escalators, and air-conditioning equipments will be carried out by the respective inspectors and approved as required.

- During testing, all the safety aspects will be ensured as then only equipment will be deemed to have commissioned for public service.

- All the test results and approvals will be properly recorded and maintained.

18.1.6 **Signaling & Communication Systems**

18.1.6.1 **General**

- All Signal and Communication equipments will be subjected to inspection and test before and after installations to ensure proper functioning and there are no infringements of schedule of dimensions.

- Test will be as per the test formats specified by equipment manufacturer for all vital equipments and a program will be prepared for their tests.

- Proper calibrated test instruments and equipments specified by equipment manufacturer will be used for all safety equipments.

- Inspection and test will be carried out by authorized personnel and test certificates of all vital equipments will be obtained and records maintained.

- It will be ensured that qualified and competent operating staff are recruited and trained so that adequate operating and maintenance staff are posted at strategic locations and arrangement for handling emergencies are made available and Safety Commissioners’ sanction obtained before commissioning the metro system for public conveyance.
Before Test Runs, it will be ensured that all maintenance facilities, diagnostic and monitoring equipments are in place, S & T maintenance and safety manuals are finalized, rules, regulations and procedures are laid down for train operation and maintenance of all equipments.

A thorough test will be carried out from OCC, integrating all the systems and will be kept ready for commissioning.

18.1.6.2 Signaling Equipment

- Track side equipments such as Point Machines, Signals, and Track Detection Devices will be individually tested during the installation stage and also after the installation.

- All Point Machines will undergo obstruction test and correspondence test with position of points.

- Aspect control test will be carried out on all line-side signals.

- All track circuits will undergo test as specified by the manufacturer and adjusted for proper train shunt resistance.

- All track side train detectors devices like Beacons and Cable loops will be tested for their correct functioning.

- Interlocking and interfaces at all way side stations and Car Depot will be tested thoroughly simulating failure conditions to ensure no unsafe condition exist in the interlocking.

- All signaling cables will undergo insulation test before and after laying Fibre Optic Cable for its parameters to check any deterioration during laying and record maintained.

- Train-borne ATC equipments will be tested as per test procedure on test track and kept ready for trial with close co-ordinations of Electrical Engineers.
18.1.6.3 Communication Equipment

- Optical, Modulation as well as multiplexing subsystems of OFC network system will be tested for integrated functioning of all Signal & Communication equipments to ensure correct functioning.

- Individual systems such as Electronic Exchanges, Trains Radios, PIDS, CCTV System, Clocks, PA system and AFC systems will be tested for meeting delay and error-rate etc. requirements locally and kept ready.

- All OCC equipments including Mimic panel, Controller’s Consoles will be tested locally and after completing all interfaces with other sub-system, the whole integrated system will be tested for correct functioning.

18.1.7 Rolling Stocks (E.M.U.)

- On receipt of EMU coaches from the manufacturers at the car shed will be lifted and placed in the inspection bay of the Car Depot.

- All the electrical test and mechanical tests of equipment will be carried out and to ensure that they conform to Standard Specifications.

- Motor coaches will be energized and commissioning of equipments, safety relays and control equipment will be tested as per standard proforma.

- After proper functioning of equipment the motor coach is taken for test run on the test track in the Car Depot.

- After successful performance of motor coach formation of the prototype rake will be made and test runs taken in the Car Depot on the test track.

- After successful performance test runs will be carried out on the main line.

- Prototype Vehicle will be used in empty as well as loaded conditions during test runs.
Load of passengers will be simulated by loading the coaches with appropriate concrete blocks as required.

Test run will be carried out with all Electrical/ Mechanical equipment functioning normally.

Test run will be carried out by cutting out one and two traction motors.

Test run will be carried out by coupling another dead coach to access the capacity of towing.

Test runs will be carried out for maximum designed speed in steps of 10kmph from 40kmph as required.

Starting and running adhesion test and brake test will be carried out as required.

Ability to start on steepest gradient at minimum voltage with adequate acceleration reserve will also be tested as required.

Ambient noise measurement i.e. measuring of harmonics and somphonic currents in traction return circuits will be carried out and recorded.

18.1.8 Integrated System Testing

18.1.8.1 System integration tests will be carried out in Test Run to demonstrate the interfacing/functional requirements specified for the traction power equipment, OHL equipment, communication system, signaling system and the rolling stocks are met.

18.1.8.2 The system integrated test will cover the following tests:

- Electromagnetic Compatibility Test
- Radio Coverage Test
- Signalling Invariance/Trackside Dynamic Test
- Signalling System Dynamic Test
18.2 Trial Run

18.2.1 General

18.2.1.1 Trial Running is required to demonstrate the following:

- The elements of the MRTS, necessary for the proper implementation of service to the public can be operated in a successful and stable way,

- The staff training of Operational Personnel is sufficient for opening to the public,

- The instructions in the Operations Procedures are practicable, logical and correct; and

- The Maintenance Organisation is sufficiently set up for opening to the public.

18.2.1.2 The Trial Run will consist of Timetable Running, Degraded Operation and Emergency Operation Exercises and will include the following as specified:

- The operation of a full complement of trains as required for scheduled service, including periods of peak demand and periods of maintenance.

- The determination of the actual headway achieved at each station for all specified routes, including intermediate reversing movements and movements into and out of the depot.
• The intentional disruption of the service including simulated extended dwell times, vehicle failure, power systems outage, point failure and train detection failures and, if applicable, ATP and ATO failure, point failure and train detection failures in order to check operational stability, the safety of the system and the effectiveness of procedures and of the ATR system.

18.2.1.3 After successful clearance from extended trial runs, application will be made to the Safety Commissioner giving all relevant test reports for his inspections and approval as required.

18.2.1.4 After Approval from the Safety Commissioner, MRTS will be ready for regular passenger service.

18.2.2 Timetable Running

18.2.2.1 Timetable running means performance of daily operation according to different timetables, without passengers. Timetable running will demonstrate and verify that the Railway Organisation and Staff are sufficiently trained in order to gradually reach the required operational standard for normal operation. At the same time, timetables and other operational and maintenance plans will be proved and verified. The headway will gradually be reduced in order to reach at the end the required headway of commercial operation.

18.2.3 Degraded Operation Exercises

18.2.3.1 Degraded Operation scenarios include:

• Blocked lines or line sections
• Short-loop train operation
• Train shuttle operation
• Loss of signalling connections to the OCC.
• Failure of ATP/ATO, point, track circuit
• Moving of stalled trains
• Failure of train passenger doors
• Platform stopping point missed by train
• Extended dwell time
• Loss of traction power supply
• Loss of station power supply
• Response to station equipment failures (escalators, lifts, AFC and gates).
18.2.3.2 The following will be demonstrated by Degraded Operation Exercises:

- Demonstration and verification of sufficiently trained Railway Organisation, including Rules and Regulations
- Acceptable reaction of staff in case of failure or long-lasting maintenance of parts of system or equipment
- Familiarisation of staff with use of system and equipment which has reduced performance
- Demonstration and verification of sufficiently trained Maintenance Organisation and staff.

18.2.4 Emergency Operation Exercises

18.2.4.1 Emergency Operation scenarios include:

- Total loss of infeed power
- Emergencies affecting passengers on board trains
- Stalled train and de-railed train
- Fire on trackside or in station
- Station evacuation.

18.2.4.2 The following will be demonstrated by the Emergency Operation Exercises:

- Demonstration and verification of sufficiently trained Railway Organisation, including Rules and Regulations, especially for accidents and incidents
- Demonstration and verification of successful co-operation with third (external) parties, such as police, security, fire fighting and rescue forces
- Testing of correct and fast reaction of staff for cases of real life emergencies
- Familiarisation of staff with use of emergency equipment.
CHAPTER – 19

OPERATION AND MAINTENANCE

19.1 OPERATIONS PHILOSOPHY

The fundamental elements of Operations consist of the safe, convenient, fast, reliable and punctual operations of the Mass Rapid Transit System (MRTS) design coupled with the well trained personnel and practical operational procedures in the MRTS Project should be able to help achieving these critical operational elements and requirements.

19.1.1 Vision & Mission

In line with the company goals, the Operations Department will have its own vision & mission to guide all activities of forward planning.

19.1.2 Presentation of the operator and maintenance Company, Connex:
Transport as part of life

19.1.3 Objectives

The objectives of the Operations shall be defined as:

To provide a safe, comfortable, convenient and innovative Rail based Mass Rapid Transit System to the community.

To meet the general public's travelling demand by following the government's public transport policy and proactively coordinating with all other existing transport operators to form an integrated public transport network.

To provide a cost-effective public transport means by working continuously on enhancing operational efficiency and reducing operational cost.
To recruit suitable personnel to fill the required posts and provide sufficient training for attaining required qualification.

To develop practical rules and procedures that should be constantly reviewed for improvement.

19.1.4 Security, Safety & Quality

Safety is a pre-requisite for everything we do.

Be aware of potential hazards and promptly mitigate them before accidents arise.

Do the right things, right at the first time and every time, whilst maintaining the integrity of the railway system.

A Safety Management System will be developed for ensuring the safety of the MRTS.

International Standard, such as ISO 9000, will be adopted for the Quality Control of the system.

A Passenger Security and Information System will be developed to ensure the system and the passengers are properly safeguarded.

Continuous Improvement

Continuously strive to achieve better service performance and at lower cost.

Cost-effective

Optimise the use of resources and efforts to maximise value and return on investment.

Find more innovative ways to do more with less.

Prioritise investment items on the vital few.

Be aware of the real cost of operations and maintenance.

Operational Policies

The following policy statements provide guidance on the safe, convenient, fast, reliable and punctual operations of the MTRS by the Operations Division:
19.1.5 **Regularity**

The performance and reputation of the MRTS are linked together. The delivery of a highly reliable service day-in-day-out takes dedication. However, hard work alone will not achieve this. Clear standards for performance, focused and well-trained staff supported by effective equipment are essential in maintaining a high level of reliable train service. System performance will be constantly monitored and enhanced through the continuous improvement cycle.

One of the major critical factors affecting the train service performance is maintaining a regular headway between trains. The constancy of the headway enables regular transportation of passengers during peak hours. Any unexpected minor delay of a train may have the possibility of becoming a major service disruption.

Regularity can be achieved through the implementation of the following measures:

- Centralization of train service information so as to react as quickly as possible to disturbances and unavoidable incidents,
- Availability of rolling stock, track layout (e.g. emergency siding) and equipment design which in turn enhance the system reliability and operability.

19.1.6 **Convenience and Comfort**

To achieve convenience and comfort throughout the passengers' journeys require careful and delicate planning. The MRTS will strive to identify and pursue continuous improvement in customer services in terms of user-friendliness and added-value services. Periodic
customer surveys will be used to assess performance and areas where improvements should be made.

There are many different factors contribute to passengers’ convenience and comfort. Prior to boarding trains, passengers should have easy access (such as lifts, passenger information display boards,) to any information they may need. The station signage and publications (e.g. leaflets, route maps etc) will provide a simple and clear information of available routes, identify stations or stopping points, suitable exit directory and explain where and how to transfer to other transportation modes. The general public will be easy to get the relevant information regarding fare collection.

Comfort consists of:

The perception offered to passengers in station, whose particular features, layouts, colours and even background music as a whole must be harmonious and coherent;

Movement and information facilities, characterized by simple and efficient indication of one way direction whenever this is possible, and the use of escalators and visual, audio and audio-visual information facilities;

The general characteristics of the rolling stock: the cars must be well designed, well lit, well suspended, noise-free, well ventilated and equipped with a public announcement system;

Integration and co-ordination with other public transport modes,

Adaptation of service according to the traffic density.

19.1.7 Fast and Punctual

Rapidity is a necessity of modern life; the mean distance between the work place and the home is continuously increasing in large developed cities. A reduction in travel time must be sought for, not only at train movement level, but also as far as access to trains is concerned by an adequate layout of stations throughout the urban area, and by obtaining a sufficiently short and regular headway between trains.
19.2 Mobilisation plan

A start-up period (the mobilisation plan) is necessary for follow-up of design and construction of system, and preparation of operation, occur between effective date and reception of Operating Certificate according to the milestones of the RFP documents.

19.2.1 Introduction

Connex has considerable experience in managing the preparation phase before the operation of a new transport system.

This experience has been gained via the following projects:

- The Nancy trolleybus network which came into operation in 1985 on a network of 40km of lines with 48 articulated trolleybus vehicles, each 18m long.

- The Rouen LRT metrobus network, brought into operation in December 1994 on a network of 12km of tramway lines, increased to 15.2km with 28 Alstom vehicles, of the Grenoble type

- The Lisbon Fertagus commuter rail system which came into operation in July 1999 on a 22km network with 8 stations and 18 double-deck 4-car trains from Alstom and CAF

- The Rouen TEOR guided bus network brought into operation in January 2001 with a first stage of 12km with 38 AGORA type vehicles. The diesel-powered buses with optical guiding system will be replaced progressively by 58 CIVIS vehicles from mid-2002 and the network will be increased to 38km of lines

- The Nancy TVR network commenced in January 2001. This network runs vehicles guided by means of a ground-based axial rail covers a first stage of 11km with 25 TVR Bombardier vehicles, 24m long

- The Bogota Transmilenio network (Colombia) brought into operation in January 2001. The network covers 40km of bus lines (two lanes, 78 metro type stations with
1, 2 or 3 platforms and TVM equipment) and is equipped with 330 articulated buses, 18m long (brought into operation in August 2001). The network has a daily traffic of 550,000 passengers. 140 additional buses are to be provided in the coming months to ensure an interval of 20 seconds. Connex runs 100 buses of this network in partnership with local companies

- Bordeaux LRT. The 25km long network will have six Citadis 302 train sets and 38 Citadis 402 train sets.
- Barcelona LRT tramway. The 15.2km long network has 20 Citadis 302 train sets.
- Dublin LRT.

19.2.2 Management, staffing, industrial relations, training and administration

Connex will set up an organisation able to successfully handle the somewhat complex network of relations. This requires in particular a high quality and efficient information system and documentation management.

The organisation chart below represents the information flows during the pre-operation phase (Connex global team only):

[Diagram of information flows]

Mass Rapid Transit System On Versova – Andheri – Ghakhopar Corridor
In order to make this organisation very efficient, we propose to use the assistance of an international consulting company being a specialist of multiple participants in transportation projects. Contacts have already been made with such companies for a later selection process.

In addition, Connex proposes to improve the process by using the assistance of other companies operating light trains, Metro and/or rail operation in the Connex Group. The assistance from France, Sweden, Spain and the UK will be called upon at short notice for tasks requiring in-depth experience that is not easy to build up in a short timescale in the first stage of pre-operation. Some important tasks such as the safety case may also benefit from addressing the experiences in different cities and countries in Europe as national safety practices differ.

19.2.3 Document processing

Connex through the SPV will establish and maintain procedures for controlling all documents required to ensure that:

- They can be located;
- They are periodically reviewed, revised as necessary and approved for adequacy by authorised personnel;
- The current versions of relevant documents are available at all locations where operations essential to the effective functioning of the operations are performed;
- Obsolete documents are promptly removed from all points of issue and points of use, or otherwise assured against unintended use;
- Any obsolete documents retained for legal and/or knowledge preservation purposes are suitably identified.

Documentation will be legible, dated (with dates of revision) and readily identifiable, maintained in an orderly manner and retained for a specified period. Procedures and responsibilities will be established and maintained concerning the creation and modification of various types of document.

The primary focus will be on the effective and safe operation of the system, not an overly complex documentation control system.
19.2.4 **Organisation and staffing of the Company**

The Proposed organisation of the Operating Company is as follows:

```
  CEO (1)  Safety Eng.
   ↓       ↓
Ops Manager  Administrative Officer  Techn. Eng.  Commercial Manager
   ↓       ↓       ↓       ↓
Controllers  Human Resources  Asset Management  Customer Service and Ticket Inspectors
  ↓       ↓       ↓       ↓
Planning  Accounting  Contracts Management  Call center
  ↓       ↓       ↓       ↓
Drivers team leader  Secretariat  Drivers
```

This organisation could assume if necessary a certain level of sub-contracting, like the maintenance.

The Safety Engineer is directly reporting to the CEO in order to guarantee the maximum freedom and objectivity in this particularly sensitive question. His duty is mainly safety (safety case, incident reports organisation, incidents analysis, validation of procedures).
He will also be involved in training as training and qualification are key factors of a safe operation.

The inspectors (and customer service personnel—controllers) are attached to the marketing department as they are one of the most important teams in terms of building up the Company's image and customer relationships as well as one of the main factors to avoid fare evasion and increase ridership revenue.

The number of staff in the technical part is reduced due to the delegated duty in the field of maintenance. However, we propose a staff of three to perform the specific tasks not taken care of by the maintenance companies, e.g., asset management, and so as to ensure a smooth and efficient relationship between operation and maintenance, which is important to achieve the best quality service.

19.2.5 Industrial relations

In the mobilisation phase Connex would establish the key elements of its Human Resource policy laying out the intended structure for Recruitment, Remuneration Retention and Discipline of staff. Policy would also be established to ensure that within the company discrimination and harassment would not be tolerated.

These policies would be based on existing Connex policies in use in other countries which will be adapted to the law and local conditions in Mumbai.

We would establish from the outset an internal communications plan which would enable the company to have a regular two-way communication with its growing workforce. This would be in the form of regular face to face team briefing sessions augmented by a form of staff newsletter which would encourage comments and suggestions from the employees.
19.2.6 Training

Training is one of the main parts of the mobilisation plan. It requires rigor in preparing the documents as well as flexibility to adjust them to whatever decisions regarding modification may be taken during the preparation phase. It requires also a permanent follow up with the Suppliers and Constructors who have to supply the descriptive documents in due time.

Training concerns everyone in the company. Connex commits to deliver the relevant training to the staff to obtain all the necessary certificates.

Of course, the main population is drivers. We attach as Appendix the content of the training which was set up for the mobilisation phase of the Rouen’s LRT (locally called “Métro”). It shows the detail of the training when made by Connex. The training for Mumbai will have a similar scope.

A normal duration for driver training would be 6 to 8 weeks depending on individual background and organised in teams of 15 to 20 with classes starting every 4 weeks.

The commercial and operating supervisors as well as the traffic inspectors will be trained to manage all or part of:

- Train control
- Signalling systems
- Ticketing systems
- Station equipment

First, they will be shown the operation of these systems during the driver training. The additional training will be ensured contractually by the various suppliers. This training will deal with the use of the equipment and their technical specifications. All training courses will be organised and supervised by the Operating Manager and the Safety and Training Manager.

As training is an ongoing process and concern of the company, we make reference to the Operating Plan where we describe it as a part of a permanent training organisation.
19.2.7 Safety planning

As safety actually involves all other activities within the company, we describe here the safety planning action before the Operations and Maintenance planning actions.

Connex Mumbai will have developed the basic documentation and procedures required:

- Rule book for the Driver: states the requirements for the routes worked and the rolling stock used on those routes in normal and degraded situations
- Route books with information about the running of rolling stock in normal and degraded situations
- Qualifications of staff with safety-related duties
- Personnel selection, initial vocational training, initial certification, maintenance of qualification level and follow-up, maintenance of certification referred by the Irish regulators.

Safety planning will be worked out by 5 persons:

- The Safety Engineer (team leader)
- The Operation Manager
- The Technical Engineer
- 2 Technicians

This team will draw up the operating procedure of the Mumbai Metro line jointly with the various equipment suppliers in order to comply with their specific safety regulations.

According to the specification, Connex Mumbai will establish a safety and emergency planning forum by working closely with the Client and the relevant authorities (fire brigade, police, road traffic authority, power suppliers etc). The backbone of the future safety case will be worked out with the MMRDA top down from the Effective Date.
19.2.8 **Operations planning and testing**

The preparation for operations requires that a team be dedicated to (a) analysing the system and system components, (b) producing documents and procedures including the rule book and (c) preparing the organisation of the future operation (including timetables and staff organisation).

Analysing the system and system components requires a sound knowledge of Light Trains and Metro operations. This task will be carried out by a team led by the Operations Manager and including experts from the Connex Group as well as our consultant.

Production of documents and procedures will be the responsibility of the staff of Connex Mumbai. Early drafts may be prepared by Connex experts and consultants but the final documents are to be validated as the result of an iterative process.

Preparation of the organisation will be carried out using the various Connex software/systems.

Detailed operations planning will be worked out by two operating technicians who will be trained specifically to the following management and design data processing equipment:

- STAN2 for drawing the routes and time schedules
- GBM for preparing the routes and services of drivers and vehicles
- FDS for assigning drivers and for their monitoring

All these Connex tools are designed with a shared Access database which enables any exchange of data and files between the various systems. These systems can be easily used with Windows software.

We have assumed that data exchange with the various contractors will be possible and easily achieved.
The analysis and processing of the operating electronic management system will provide all the necessary data to have accurate knowledge of running times during the day and the week. This data will be used:

- As a benchmark for the future operation
- As an optimisation tool for timetabling
- As a tool for negotiation with the road traffic authority for prioritisation at traffic signals

Finally, the operations testing will be carried out during the trial running phase before operation with passengers.

Connex will need to have access to the whole of the transport system in order to test it and run it before launching the commercial operation.

During these two periods, Connex will be responsible for managing the section of the network under their responsibility and will cover the energy costs.

The various maintenance works will be carried out by the designated contractors. Connex will be responsible for co-ordinating and monitoring the tasks in accordance with their maintenance planning.

The network operation will be tested without passengers in accordance with the current operations plan and the number of train sets running in commercial operation for around 2 months. This procedure requires that every train of the set runs between 5000 and 10 000 km with a view to detecting any faults and providing safe systems and equipment.

The testing procedure will be broken down into 3 phases:

- Running for driver training
- Certification of the off- and on-board network equipment. This phase is intended to establish the optimum speed in commercial operation
- Running according to the timetable for approximately 1 month in order to check that all systems and equipment are fit and de-bugged. This phase makes it possible for the network staff to become accustomed to this new transport system before launching the commercial operation.
19.2.9 **Maintenance planning**

As part of the draft testing and trial running plan, Connex will define the maintenance planning.

In the first month after the Effective Date, Connex will produce the draft preliminary System Maintenance Plan. This preliminary plan will take into account the maintenance plan provided by the suppliers for each sub-system.

19.2.10 **Testing Periods**

During the vehicle and equipment running-in test period, the maintenance, tuning and retrofitting operations will be carried out by the suppliers at their own expense.

Connex will be responsible for providing drivers for these tests (in reasonable numbers, say, 4 per day).

19.2.11 **Testing Performance Meetings (TPM) – a system for follow up testing and start up**

Connex plan to set up special weekly Operations Performance Meetings (OPM) for the purpose of follow up each week of passenger operation within the Mumbai Metro network. As a “prelude” to these Operations Performance Meetings Connex will set up weekly Testing Performance Meetings (TPM) after the same model used in Stockholm during the testing and start up phase of the new Stockholm LRT. The TPM is also a “preparation” for the coming Operations Performance meetings (OPM) coming after commencement of each line.

Connex operations- maintenance- commercial and safety departments will take place in the Testing Performance Meetings together with the Ticket Machine Contractor.

As the **OPM** first of all will be focusing on operations reliability, punctuality and other customer service as well as safety, the **TPM** in the beginning will be rather “technical”. As the time schedule runs closer and closer to commencement of each line the **TPM** will be
more and more like the OPM:s During a period when line A/C is already opened for passengers and the line B is still under testing, the two types of meetings will run parallel but will normally not been mixed.

**Daily reports (computerised logs) from the control room** are used as a basis for the discussions on TPM:s as well as OPM:s. The staff at the control centre compiles these for both type of meetings.

**19.2.12 Driver Training**

During the testing period, a part of the rolling stock will be at the disposal of the operator as well as part of a finished section of the line connected to the depot, together with a sufficient stabling area.

**19.2.13 Customer services and interface planning**

The Commercial Department will be comprised of a Manager, multi-functional inspectors and call-centre operators.

The Commercial Manager and his team will take part in commissioning the ticketing equipment and prepare the training of the remaining staff.

The team will prepare data to be displayed on-board and at every stop (timetables, maps, customer service rules etc).

The team will take part in the advertising campaign for the Mumbai Metro network with the advertising company to be selected by the Client (topics to be covered, programme, timescales etc).

The team will prepare the management of all statistical data (customers, fraud, claims, customer relations).

The team will organise the management of ticket income, ticket stocks and ticket machines in stations.
19.2.14 Third party matters

The main interfaces of this new transport system to be managed and organised will be:

- Platforms
- Overhead power supply
- Relations with the police and fire brigade
- Relations with the other transport operators in Mumbai in order to co-ordinate timetables and to manage commuting operations

All these tasks will be managed by the executive of the local company, who will be assisted by:

- The Technical Engineer and the assets manager
- Technicians from the Operations Department
- The Safety Engineer
- Traffic controllers

Minutes of meetings on the above topics will be made available to the Client for information.

19.3 OPERATING PLAN

19.3.1 Operation Features

Operating specifications are the key component of any railway system. They are inherently linked to the geometric characteristics of its routes and to station design parameters. They will be compatible with the physical configuration of the transportation system in order to achieve commercial speed, service regularity, and carrying capacity objectives.

The key objectives of the Mumbai Metro project, to be delivered by means of the Operating Concession include:
19.3.2 Modal Shift

The modal shift is the result of a great number of varying factors, some of them are dependant on the operator. Among the various factors, one may find structural factors such as the geographical position of the line as well as the number of stops and their location in relation to houses and employment places. Those factors, once decided may not be changed until new extensions of the Metro lines are decided. Structural factors are obviously fundamental to assess the attraction of Public transport. Next are the reinforcing factors such as the general traffic in the city or the interconnection with other transportation modes (buses, trains). Those factors may be changed with some efforts requiring political support. Reinforcing factors may have a huge influence on modal shift. Lastly come the complementary factors such as quality of service and communication. Complementary factors can (and have to) be easily tuned to react quickly to events. They have a real influence on the final choice towards public transport. Concerning reinforcing factors, Connex understands it will be fully part of the discussions and negotiations with local authorities, be it during the pre-operation phase or during the operation period, to present and defend the position of public transport versus private cars in the permanent adjustment of the city of Mumbai to cope with a balance displacement scheme in its general development process. Lastly, concerning complementary factors, the Connex will set in place the quality of service (targets, follow up, corrections, improvements) it developed in its other operations (punctuality, cleanliness, information, reactivity) and Connex will actively participate in analyses and proposals concerning fares, which must not be forgotten as another complementary factor.

19.3.3 Integration of Transport

The System should be operated to complement both inter-modal and intra-modal integration.

Connex is fully aware of the revolution that the Mumbai bus service utility will have to face in the coming years with the full implementation of the Public Transport general plan which is now underway (Metro, trains). It would be too simple to think that these changes will all be beneficial to the bus utility and to the drivers. Connex experience in participating in light trains and/or metros in cities where buses had the whole share beforehand makes us...
very much aware of the huge changes to carefully analyse, prepare and negotiate. Connex will start discussing with bus companies as soon as possible to gain their cooperation in a positive way. Connex experience shows that when well prepared, with understanding positions of both sides (MRTS and buses), the opening of the metro is a success.

Inter-modal actions (mainly public transport + private cars) mainly cover Park and Ride sites which should be well prepared and taken into account. Even though having a reduced number of car parks under contract than Parking Companies fully dedicated to parking management, Connex still has some experience of those Park and Ride sites which require specific solutions and actions as Park and Ride car parks are not managed exactly like other car parks in city centers. Connex is well aware of the importance of Park and Ride sites in the patronage of Metro as well as in the global image of public transport.

19.3.4 Accessibility

The operation of the System should fully support the use of the Metro by all people.

Management of disabled passengers will be a part of personnel training (drivers and controllers in particular). Safety actions will take disabled passengers into account.

19.3.5 Enhancing Efficiency, Innovation and Customer Focus

The System should be operated to focus on customer service and on managing the Mumbai Metro within a sound business framework.

The contractual relationship initiated by Mumbai Metro for the operation and maintenance of the Metro is the basis for the sound business framework. The whole system shall be placed under the philosophy of PPP. This is a difficult process, not easy to achieve, of a relational system between private companies, usually uniquely focused towards financial results and public authorities, usually not taking into account the private risks. Actually, Connex emphasizes that, notwithstanding the normal background of being a private company, within a private group VEOLIA Environnement, Connex business is recognized as being very dependent on a sensible long term relationship with the public authorities.
and the passengers. Unlike some other operators in the transportation world, Connex avoids being a simple financial partner without full operational and managerial responsibilities and Connex avoids voluntarily pulling out of any place with full acceptance of one of the basic rules of PPP which is the call for Tenders and open avenues to competition.

19.3.6 Safety

The Operating Concession should incorporate the principle that risk to safety and health should be as low as reasonably practicable.

Safety is a complicated field which Connex fully knows and acknowledges. Connex, having the experience of light trains, metros and of rail operations, masters the combination of high safety and smooth operations. This mastering requires a very precise definition of the procedures (normal and degraded operations) as well as a precise follow up process and adaptation methodology (reviewing incidents, analyses of new situations, creation of or changes in procedures, approval processes). In this respect, the position of safety engineer is directly attached to the CEO of the Company, in an equivalent position to the operations director and to the administrative director, with a relational link with other safety engineers of light trains, metros and rail in the group and in the profession (e.g. UITP Brussels).

19.3.7 System assumptions

Description of system to be operated and maintained

The system to be operated and maintained shall meet the specifications and requirements of the tender documents, in particular the Concession Agreement and the Project Requirements, in particular:

- The train service will operate on a main line between Versova Station in the West and Ghatkopar Station in the East.
- A bifurcated service will be operated from Airport Road to Sahar Airport in the South.
- There shall be 13 stations (12 stations on the main line + 1 station at the airport).
- Metro vehicles movements shall be monitored and dispatched from an Operating Control Centre.
Scope of work

Connex (the "Operator") is responsible for operation and maintenance of the system for the whole duration of the concession under an operation and maintenance contract with Mumbai Metro One (the "Concessionaire"). Connex could sub-contract maintenance to another company (the "Maintenance Contractor") under a separate maintenance contract. These contracts have been defined in detail the scope of work and interfaces.

The Operator is responsible for:
- daily operation of the system
- interface with the Maintainance Contractor and supervision for all maintenance issues
- cleaning inspections of Metro vehicles during the day
- removal of graffiti and other damages caused by vandalism
- Management of advertising in the system will be carried out by the Concessionaire

The Maintainance Contractor is responsible for:
- daily maintenance of the whole system, including cleaning, preventive and corrective maintenance
- scope of work includes rolling stock, infrastructure and all rolling stock equipment and components
- capital asset replacement of all components of the Metro system

The Operator has a System Director reporting to Managing Director in charge of interface and supervision of maintenance with the Maintainance Contractor. The Maintenance Director of the Maintainance Contractor will report to the System Director and Managing Director of the Operator. Administration, operation and maintenance will be located at the same site, at the Versova Car Depot (or in alternative at Ghatkopar Car Depot), facilitating co-ordination.
Description of first year service plan

Operation of MRTS is planned on a double line elevated rail corridor along Versova-Andheri-Ghatkopar Corridor including a spur to International Airport at Sahar with Metro vehicles of 4 units each, during the whole day. During commercial operation, all Metro vehicles stop at all stations.

- Line length : 12.853 kms including the spur to Sahar Airport.
- Number of stations : 13.
- Commercial speed : 33 kmph
- Cycle time : 21 minutes between Versova and Ghatkopar including halt/dwell time.
- Total Metro fleet is 13 vehicles of 4 units each, including 11 for operation, 1 for operation reserve and 1 for maintenance reserve, during the first years of operation.

19.3.8 Estimations of Passenger Flows

Ridership estimate of peak hour and daily ridership and maximum one way peak hour passenger flow (PHPD) for the year 2011 (proposed year of opening of MRTS) and three more horizon years viz. 2021 and 2031 are given below:

<table>
<thead>
<tr>
<th>Year</th>
<th>Hourly Ridership</th>
<th>Daily Ridership</th>
<th>PHPD</th>
</tr>
</thead>
<tbody>
<tr>
<td>2011</td>
<td>41067</td>
<td>513338</td>
<td>18580</td>
</tr>
<tr>
<td>2021</td>
<td>53176</td>
<td>664703</td>
<td>23321</td>
</tr>
<tr>
<td>2031</td>
<td>70603</td>
<td>882533</td>
<td>30491</td>
</tr>
</tbody>
</table>

* Source Bid Document Vol. 1 Page 34
The minimum passenger carrying capacity of the system during different horizons years shall be as below:

<table>
<thead>
<tr>
<th>Year</th>
<th>Passengers per hour per direction</th>
</tr>
</thead>
<tbody>
<tr>
<td>2011</td>
<td>18600</td>
</tr>
<tr>
<td>2021</td>
<td>23600</td>
</tr>
<tr>
<td>2031</td>
<td>30500</td>
</tr>
<tr>
<td>2041</td>
<td>39500</td>
</tr>
</tbody>
</table>

*Source from Bid document Vol. II Page 3*

The design train capacity considered for the sizing shall be 18600 pphpd along the line with a minimum 11 trains (4 minutes headway during peak hours) at 2011.

19.3.9 Service Standards

Connex has as the overall objectives in its public transport operating activities throughout the world the following three priorities in the order stated:

- High level of transport safety
- High level of reliability
- High level of punctuality

19.3.10 Transport safety

A high level of transport safety implies a high level of safety for metro passengers and our own staff. A high level of safety is a basic prerequisite for operating public transport services in the first place, for being able to attract customers while also constituting a legitimate, competitive alternative to other modes of transport. If a good level of safety
cannot be guaranteed to the customer and the client, one of the most important building blocks for the popularity and success of the new metro system in Mumbai will fall away.

Connex has tried-and-tested systems for the safe operation of a metro service. Safety principles for all rail traffic (underground, railway and trainway) are to a large extent ubiquitous.

Connex has extensive experience of operating metro, trains and light trains services in France, Sweden, Spain, Germany, India, Australia, New Zealand, Czech Republic and USA, with the special requirements that this type of service demands with regard to safety.

In order to be able to provide a rail service with a high level of safety disregarding purely technical considerations there is a requirement for careful recruitment and training work. Connex has well-established procedures for the recruitment and training of its staff and keeping their knowledge up to date. Reference should also be made to section "System Organisation and Staffing" below.

19.3.11 Reliability

Connex has extensive experience of taking care of and ensuring a high level of reliability of transport services. In order to ensure staffing with the right number of drivers and other personnel, there are computerised systems for calculating requirements for human resources in the short and long term. When it comes to monitoring the status of the vehicle fleet and ensuring that vehicle maintenance subcontractors carry out the prescribed maintenance and make vehicles available that are fit to drive, safe and presentable, special log systems are provided. In the case of our operations in Stockholm (Metro, railway and trainway), all vehicle maintenance has been outsourced to a subcontractor. Within Connex, an organisation including technical staff and controllers continuously follows up to ensure that the vehicle maintenance department carries out its assignments as agreed.

In Mumbai we plan to set up almost the same model as in Stockholm for follow up of vehicle and infrastructure maintenance.
19.3.12 Punctuality

A high level of punctuality implies that the metros must come at the stated time. By its very nature, a basic prerequisite for a high level of punctuality is "a high level of reliability". If no metro comes, then it cannot be on time, either. The same factors that are important for reliability are also important for punctuality.

Connex has extensive experience of working towards achieving and sustaining a high level of punctuality. On the new light train in Stockholm, for example, punctuality reaches over 99% despite the fact that approximately 25% of the line is running on-street with no separation at all from other road traffic.

19.3.13 Connex Mumbai Metro Service Manual

In order to ensure that our drivers and other personnel in Mumabi work according to the same uniform principles when it comes to ensuring a high level of traffic safety and a high level of punctuality as well as good customer service, for example, we will prepare a special adaptation of the Connex Service Manual that we work to in Stockholm, for operations in Mumbai.

The Connex Mumbai Metro Service Manual will be an important tool for handling the "soft questions" in a uniform way within the Connex metro service in Mumbai.

Please also refer to "Customer Service", where we append an extract from the Stockholm Connex Service Manual.

19.3.14 Operations Performance Meetings (OPM)

When it comes to monitoring factors (external and internal) that are important to operational safety, reliability and punctuality and other customer service we intend to set up the same type of weekly Performance Meetings in Mumbai that have been successfully applied in Stockholm since Connex took over operations there in the summer of 1999.

At these meetings, those responsible from the operations department, commercial department, vehicle maintenance and infrastructure maintenance meet and review any
disruptions to services that have occurred during the preceding week. Since the
delineation of responsibility is somewhat different in Mumbai compared to Stockholm, we
propose that a representative of the highway authority in Mumbai should also participate in
the meetings in Mumbai – in any case during the first year of routine operations. In
Stockholm, contact with the highway authorities is normally taken care of by the
infrastructure maintenance representative responsible of the trainway service.

Daily reports (computerised logs) from the control room are used as a basis for the
discussions at the Stockholm Performance Meetings. The staff at the control centre
compiles these reports. We intend to apply the same principle in Mumbai. Reference
should also be made to sections “Performance Management Information and Operating
statistics.”

Ticket vending machines

In order to ensure quick and efficient service with regard to ticket sales, Connex will have
to follow trends closely with regard to journeys made from the various stations so that
customers do not end up in a situation where they are unable to buy a ticket before
boarding the metro because there are a lot of paying customers waiting at the same
station. It may thus be necessary to expand the number of ticket vending machines at
certain popular stations, even if we already know that major stops are equipped with
several ticket vending machines.

19.4 Safety at metro stations

There are special requirements as to the alertness and risk-awareness of the drivers. We
will make a point of examining different station even at the construction stage as and when
they are completed to try to identify and deal with any station that for various reasons
could pose a particular risk

Notwithstanding various technical and structural precautions, special attention will have to
be paid to these risks, primarily during the initial period of regular service, but also
continuously during the entire contract period.
19.5 Line Performance

19.5.1 Line Capacity

The maximum line capacity is defined as the number of passengers per hour per direction (pphpd) that can be transported past any point on the line, in the peak period in fully occupied trains operating in the peak loading condition.

The line shall be built for a maximum capacity for 39500 pphpd operating the train service at 3-min headway up to year 2041.

19.5.2 Operational Headway

The practical headway between trains is a determining factor in terms of system capacity. In order to secure an acceptable operating flexibility margin and attaining a general comfort level to passengers. The practical headway between trains will be higher than the critical part of the theoretical rail line and train equipment design. When a rail line is operated based on theoretical headway between trains, the smallest incident may result in delays along the entire rail line.

Adjusting the line transportation capacity to the future passenger traffic requirements will be obtained by modifying the headway.

The necessary frequency of trains (required number of trains per hour) and the relevant operating headway is determined according to the estimated passenger flow and the maximum number of passengers per train during peak hours. The hourly ridership forecast must be lower than the proposed hourly train capacity supplied.

19.5.3 Dwell Time Requirement

The duration from the stopping time of a train at a station until its departure from the same station (traction propulsion re-applied) is the Dwell Time in a station. The dwell time includes the technical time corresponding to the opening and closing of the train doors. This duration includes:
Transfer time: Passenger boarding and alighting time (based on station traffic, doors width and configuration characteristics),

Technical time: Door opening preparation time, the door opening time, the passenger warning time upon the door closures and the door closure time

The calculation of dwell times in stations is then based on:

- Station traffic characteristics (passengers boarding and alighting),
- Train door width (number of lanes),
- The passenger flow rate at doors and,
- Headway required between trains.

An average 20s dwell time is recommended for all intermediate stations due to the anticipated higher passenger flow forecasts. The dwell time will be more important at certain stations like Versova, Andheri, Saki Naka and Ghatkopar.

19.6 Service Line Speed

19.6.1 Acceleration / Deceleration Characteristics

The acceleration and deceleration characteristics of the rolling stock help to determine the best possible run times. The braking capability of the rolling stock is mainly limited by the tolerance of riders (especially standing passengers) as far as high deceleration rates are concerned. Passenger discomfort can also result from high acceleration rates especially high jerk rates (i.e. rapid changes in the acceleration or deceleration rate). The acceleration rate also enhances the railway system performance results.

There are very few ways to accelerate a metro vehicle:

- Increase its speed
- Reduce dwell time
Obviously the action on speed is quite impossible except if the speed would be voluntarily reduced for all vehicles, which is not advisable and would impact negatively the image of the Metro. This is to our knowledge only used on fully automatic metros.

The action on dwell time is possible within certain limits but only if the vehicles have the function of time-counting down from opening doors with possibility of variation between normal and reduced time. This is used in metros where doors open altogether at the same time.

Efficient operating control system able to monitor early/late running vehicles (early running vehicles must be slowed down which is not a difficulty)

One may recognize that if not handled this way (with the possibility to accelerate a late vehicle), the only way to ensure a “stable” system is then to retain all vehicles if a vehicle is delayed. This is another type of regulation (headway regulation) which is commonly used in full automatic subways and sometimes, but less frequently, used in regular subways (because it creates a complete disruption to the drivers’ time schedules). In addition, it is costly (the average running time is higher and the regulation creates overtime for drivers). Also, it often goes together with having to have a reserve vehicle and driver at each terminus (which is difficult to afford) and finally it is not very well accepted by passengers who do not appreciate seeing the vehicle where they are just stand by and wait “for regulation purposes”. Lastly, having a full headway regulation results in losing a part of the production, which is also a negative aspect of service.

We may understand that the hereby proposed system may not presently be fully matched to the existing functions and technical solutions of the vehicles and system, but we understand also that the operator will be given an opportunity to participate in the creation of the system and will give his commitment that he is satisfied that the system is capable.

Our understanding is that the Client is targeting a high level of reliability together with a maximum use of the performance of the system (not reducing the capacities of the vehicles). We therefore propose to work on the technical solution able to reach the targeted aim thinking that everybody, as we do, will refuse to have a system in Mumbai with poor quality.
19.6.2 Service Intervals

Intervals between trains are given in "Schedules to Operating Contract" section. Connex will operate the service in accordance with these intervals and will monitor compliance. Our judgement is that this will also be possible provided that, above all, the technical systems support operations and function as intended.

The actual intervals between trains are affected by several different factors:

1. The driver
2. The passengers

When it comes to passengers' influence on intervals and punctuality, it is imperative to have a rapid, smooth flow when it comes to both boarding and alighting from the metro. The trains are also conducive to a smooth and prompt flow of passengers by virtue of their having many doors, which are also generously proportioned. The fact that the trains also provide floor-level entry further contributes to rapid and smooth calls at the stations. In our judgement and experience of similar services, slow passenger interchange at stations would only marginally affect service intervals and punctuality. Nevertheless, there is a risk factor, which must be taken into account.

Last but not least, the driver's professionalism is an important factor contributing to a high level of punctuality and maintaining service intervals. By following the information provided by the OCC and adjusting his driving in different ways, the driver can considerably influence punctuality and maintain service intervals. If the train is running ahead of schedule, it is important for the driver to be aware of this and not just drive on, only to run even further ahead of schedule. If the train is running behind schedule, the driving must be adapted accordingly, without sacrificing safety and comfort. We know from experience of both bus and rail services that the driver's style of driving also affects passenger behaviour. If the train is being driven in a calm and stable manner, without unnecessary jerkiness and braking, passengers alighting will feel it is safe to move towards the exit doors even while the train is still approaching the next stop. If, on the other hand, the driver is driving jerkily and making passengers uncomfortable, the opposite happens - stoppage time at the stop is increased, since passengers will remain seated until the train has come to a halt.
In its training programmes, Connex will place a great deal of emphasis on teaching train drivers not only a safe way to drive and react to traffic, but also how to adopt a style of driving that is comfortable for the passengers. These instructions will also be included in the Connex Mumbai Metro Service Manual.

19.6.3 Speed and Trip Duration

Speed is an important factor to attract passengers from other transportation modes. Increasing the maximum speed of trains will increase the commercial speed by only a small amount. More important factors determine the commercial speed of a system, i.e. the number of curves along the line and other physical factors affecting train operation (signalling implementation or rolling stock characteristics for instance). Therefore, rail line constraints and restrictions will be avoided wherever possible during the system design.

The average commercial speed has been estimated at 33 kmph with a maximum speed of 80 kmph. The travel time primarily depends on line length, number of stations, distance between stations, halt time at each station and dwell time at each terminus station. This speed can be considered as a reasonable objective, provided that operating conditions are not altered.

19.6.4 Fleet size and train size

The total number of vehicles required for the services of the line is determined by the time it takes for a train to complete a full cycle on the respective line (counting from the departure from one of the terminal stations to the next departure of the same train from the same terminal station) during a time of the day when the pressure on the service is at its greatest – that is, during rush hours.

Factors determining the combined "lap time" are the length of the circuit, the intervals between departures, the average speed and the time required for turning around at each terminus. The average speed depends not only on the maximum speed, on the acceleration and braking performance of the vehicles but also on stoppage times at metro stations.
The length of the line and the service intervals are known elements, which are also determined in the documents forming the basis for procurement. The average speed is, at present, estimated based on theoretical data from the technical performance of the vehicles and the track.

Total "lap time" is based on the assumption that at least 60 seconds turn around time must be allowed for turning the trains around at each terminal station.

The table below indicates, according to the headway, the size of the rolling stock fleet corresponding to the transportation capacity required. (Pl. refer Ch 10 for calculations)

<table>
<thead>
<tr>
<th></th>
<th>Headway</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operational headway</td>
<td>4 minutes</td>
</tr>
<tr>
<td>Trains in Operation</td>
<td>11</td>
</tr>
<tr>
<td>Spare Train for standing-by</td>
<td>1</td>
</tr>
<tr>
<td>Spare Train for Maintenance</td>
<td>1</td>
</tr>
<tr>
<td>Total Train Required</td>
<td>13</td>
</tr>
</tbody>
</table>

**Design Parameters and Station Classification**

The stations are classified in different groups in accordance to their anticipated daily passenger flows as well as the complexity of the nearby track configuration.

**19.7 Crew Rostering**

Connex has different computerised systems for Crew Rostering all over its world wide operations. The joint objective for all Connex Crew Rostering systems is to provide a structural and easy way to supervise and ensure that the right levels of manning for vehicle operation and other vital functions are obtained.
In traffic operation, which is on going almost 24 hours a day all year round, it is very important that no "gaps" in the manning occurs, causing a train to be without its driver or the Control Room to be without a controller.

This all requires, beside normal management and supervision, a reliable system for Crew Rostering able to take care of short term staff planning as well as medium term and long term staff planning. The Crew Rostering system is also often the first part of the preparation of payrolls.

The different systems for Crew Rostering used by Connex for rail operation even have the availability to take care of consecutive control to check that the staff with safety critical tasks have the right level of competence in terms of skills and knowledge as well as health examination rules. If the competence for a certain driver (or another person in a safety critical role) is due to run out, the system will automatically alert the manager and the Crew Rostering clerk in a certain number of pre-set days before.

Another purpose of the Crew Rostering system is to monitor that no person is working longer or in another aspect against the rules of law or working agreements between the Company and the Unions. Connex Crew Rostering systems have “alarm” functions even for this type of circumstance.

Competence

- Employee has the required knowledge & skills to undertake that work
- Employee fulfils all requirements according to Health Check
- Employees that fail any of the above checks will be presented to the Crew Rostering clerk (or other in charge of staff and planning) with the reason why they have failed.

Working time and Rostering Principles

Working rules

Master Rosters will be constructed so as provide for an average working week according to the agreements in Mumbai between Connex and the relevant Unions.
For example a maximum number of working hours per day and per week will be set in the agreement together with a maximum number of continuous working days between rest days (even including over time). Even a minimum rest period in hours between duties (working days) will be set.

Spares

The Crew Rostering system will, in the long and short term, also be used to secure the right number of spares to meet, for example, the following needs:

- Special trains
- Route and Traction Training
- Sickness
- Leave commitment
- Miscellaneous authorised absence, jury service, staff representational duties etc.

Posting of Rosters

As the special staff agreements for India and Mumbai, as mentioned above, is to be set later we here gives a short description of present principals concerning as an example "Posting of Rosters" and "Sickness Arrangements" in Connex Sweden.

Master Rosters and any subsequent permanent alteration thereto will be posted in depot notice cases 7 clear days in advance of the first day of operation. In exceptional circumstances Management may seek agreement at local level to allow posting less than 7 days, where the business would otherwise be severely affected.

Weekly Rosters containing all known commitments will normally be posted in depot notice cases by 12:00 hours on the Thursday preceding the week of operation.

Daily Alteration Sheets to the weekly rosters to take into account subsequent changes shall normally be posted in the depot notice case by 12:00 hours on the day prior to the day for which the sheet applies.

If a driver (employee) has completed a days booked work and the daily sheets are unavailable and there is no information available from the operations team leader as to
what the next days work is, it will be the team leader's responsibility to advise the driver (employee) of any alteration.

Public and Bank Holidays rotations will be posted in the depot notice cases 7 clear days in advance of the day of operation.

19.7.1 Sickness Arrangements

Reporting sick or Resumption

Employees must advise their operations team leader of their inability to attend for work due to illness, at least 1 hour prior to the commencement time of their turn of duty unless exceptional circumstances prevail. They must give an indication of when they are likely to resume duty.

Employees must advise their operations team leader of their intention to resume duty following illness, by 12:00 noon, the day prior to resumption and ascertain their next turn of duty.

19.7.2 Crew changeover facilities

Connex intends to have all normal changeover for train drivers and other personnel taking place at the depot. This way, the drivers will also have access to the facilities available in the depot buildings. At the depot, there will be special staff rooms set up where drivers and other personnel will be able to relax in peace and quiet during breaks.

The staff room will be equipped with comfortable furniture and armchairs, both for relaxing and for chatting with colleagues. To ensure that those who really want to rest can do so undisturbed, there will be a special room at each depot reserved for "silent relaxation", away from TV sets and radios, for example. The local operational management will also use the staff-room facility, creating positive, natural contact between drivers and operational management.
19.7.3 Personal needs / Meal breaks

As the preceding section shows, areas for toilets and recreational staff rooms will be set up and located at the changeover points in the depot. In the same way, facilities will be provided at the staff rooms to store and prepare or heat up food that has been brought along. Ovens, microwave ovens, refrigerators and worktops will be arranged to sufficient extent to eliminate the need for people to queue up.

19.7.4 Performance Management Information

As already stated in point “Service standards Connex intends to apply basically the same system of Performance Management Information as we do at Connex in Stockholm. The system is essentially based on the reports and information coming into the control centre, partly technically via the various technical systems and partly verbally via the train drivers and mobile personnel. The controller logs all abnormal operations in a computerised logging system (Incident Report Log – IRL), which enables all incidents to be searchable in a database and makes it possible to produce statistical reports.

The information in IRL forms the basis of the weekly Operations Performance Meetings (in Stockholm, lasting approximately 2–3 hours), which Connex intends to implement, together with other information from those responsible for vehicle maintenance and infrastructure maintenance.

Prior to each Operations Performance Meeting, the various disruptions of the previous calendar week are compiled from IRL. All disruptions, including disruptions of order and any personnel or vehicle shortages (traffic stoppages, major delays and cancelled services in the form of cancelled departures, hours and kilometres per vehicle) are assigned to the department primarily having responsibility for it (Operations, Commercial, Rolling Stock [Maintenance Contractor] or Infrastructure [Maintenance Contractor]). Some disruptions that are rare and out of the ordinary and are beyond the control of Connex and its partners can be attributed to Force Majeure instead of being assigned as the responsibility of a department (unit). Depending on the nature of Force Majeure, however, the department (unit) most closely connected will be assigned the task of following up on the incident in order to prevent its repetition if possible.
During the Operations Performance Meeting, all events are addressed point by point. If necessary, the information as to which department is responsible for a particular incident is changed. Since the preliminary Performance Report is circulated the day before the meeting, the manager responsible has the opportunity to check the cause of a particular disruption and report on this at the meeting, including what measures his department is taking in order to prevent similar incidents from occurring again. If the cause of a disruption cannot be ascertained without further elucidation, the incident is assigned to a balance list, containing information on the department primarily responsible and the person responsible for further elucidation.

No case will be taken off the balance list until the final cause of the disruption has been ascertained and the department ultimately responsible has been determined.

The Performance Reports, as endorsed at the Performance Meeting, are compiled into a monthly Performance Report. This forms the basis of the financial balance between the various partners (allocation of fines, etc.).

The monthly Performance Reports are distributed to the Connex management team. In the event of systematic and repeated shortcomings being included, the department manager in question will be given the task of producing an Action Plan in order to deal with such problems.

The intention is to convey information as to how operations are functioning, as well as current statistics, to the staff by means of weekly local information sheets and company-wide staff information.

19.7.5 Operating Statistics

Operating Statistics are obtained on the one hand via the IRL reports described in section "Performance Management Information" and, on the other, via the AVLS and other various logs (or similar) of the technical systems for following up on Mumbai Metro operations. This is in addition to Connex internal system for following up on the status of staff training and in-service training as well as staff qualifications with respect to knowledge and medical issues.
Operating Statistics can be divided into six main sections:

- Operational aspects
- Technical aspects
- Production aspects
- Customer service aspects
- Safety aspects
- Human resources aspects

Operational aspects include non-technical disruptions, incidents and near-accidents and could, for example, be a matter of staff shortages, incorrect or neglected measures by drivers or other staff, etc.

Technical aspects include disruptions and incidents of a technical nature where various technical systems of train operation on the vehicle or fixed installations along the track in any way cause disruptions or near-accidents.

Production aspects affect statistics in the sense of services carried out and expressed as kilometres per vehicle and operated hours per vehicle in relation to planned production — as well as maintenance output in respect of vehicles and installations in relation to planned output, and the number of train journeys, including ticket revenue, in relation to planned (calculated) journeys and planned (calculated) revenue.

Customer service aspects including complains and proposals through to the "Customer Hotline", letters and emails.

Safety aspects cover statistics concerning actual accidents and near-accidents, departures from normal procedures in the interests of safety that did not cause near-accidents, but could have done so under slightly different circumstances.

Human resources aspects concern follow-up and ongoing monitoring of the status of staff training and in-service training as well as staff qualifications with respect to knowledge and medical issues.
19.7.6 Normal Operations

To achieve the greatest flexibility for normal (and abnormal) operation we intend to operate the line as a single entity, which is already suggested by the existence of one control room, with operating, depot, maintenance staff able to be assigned to any location on the network.

19.7.7 Control Centre Operation

The Connex organisation is performing successfully in controlling the operation of train services, in addition to having long experience of this. A basic prerequisite for effective disruption-control work such as providing accurate information to the customers is having successful and established action plans for various types of traffic situations. Connex controllers must have a flair for rapidly switching from a monitoring and preventative traffic control role to a proactively remedial one of disruption-control.

Following a successful model from Connex in Stockholm, the Mumbai traffic control organisation will also comprise a mobile unit, providing recovery operations, as described below.

When recruiting, the suitability of the applicant for the role will be assessed with the help of several variables. The selection process will include a work-psychology test.

Controllers’ shifts are regulated by a work rota that allows for good changeovers and debriefing at the end of each shift.

19.7.8 Control Room

The Mumbai Metro control centre (situated in the depot) will take care of the ongoing monitoring of the metro service via various technical systems and will keep in contact by radio and telephone with the train drivers, the mobile personnel and the metro service vehicles. The control centre will also monitor the metro service via the CCTV systems that exist along the train line as well as answering and dealing with calls via the emergency call system at the train stations.
The control centre will also be responsible for monitoring the other technical systems relating to the metro service such as the power supply and signal installations, in addition to lighting, as well as ticket vending machines at the metro stations.

The controllers on duty are also intended to serve as the train driver's and mobile personnel's immediate operations managers in operational service. This implies, for example, that the controller has the right to decide to withdraw staff from service if required in connection with a serious incident occurring or a near accident, or, for example, on suspicion of the influence of alcohol or other intoxicant. This right also covers all maintenance personnel.

The Operations Control Centre OCC normally handles the trains (multiple-unit vehicles) in service on the line. Our assessment, based on experience of other similar services, is that the Control Centre should be staffed with controllers 24 hours a day, every day of the year. Additional Controllers may be needed during rush hours, mornings and evenings and during days of the week. Operational experience will show whether or not additional controllers will also be required at other times and on other days of the week. Additional controllers will also serve at the same time during special events and major maintenance or repair works along some of the lines, when additional monitoring will be required.

During the night-time hours when metro services are not operating, the controller will compile the incident statistics of the previous service day, including disruptions, producing a finished "daily report" based mainly on IRL (see above) and the information supplied via various technical monitoring systems (logs). The controller will also prepare for the next day's services by ensuring availability of vehicles through constant contact with the vehicle maintenance personnel at the depot. In parallel with this work, the controller will also monitor the operation of the technical systems and respond to any emergency calls from the train stops during the part of the night when services are not operating.

Staffing the control centre with qualified controllers, even when no services are operating (during the night), ensures that incidents that may occur during these times do not remain without remedy until services resume the following morning, with consequential risk of disruptions.
Ongoing work of the control centre and lines of communication

As already stated the ongoing work of the control centre entails continuous monitoring of train services via the technical surveillance systems and via radio contact with the train drivers. An important aspect of the ongoing work is the anticipation, identification and understanding of those conditions that, further ahead (reckoned in minutes and hours), could disrupt operations. These might include suspected vehicle faults, suspected faults in other technical equipment, indicated personnel problems or indicated external disruptions; hence preventive and corrective action can be taken as early as possible.

In order to be able to respond quickly when necessary, well-established lines of communication with key functions including those outside of Mumbai Metro are needed, such as with the police, fire brigade and ambulance services as well as the relevant highways authorities. Connex has extensive experience of setting up such well-functioning relations.

By its very nature, the work of a controller is sometimes quite calm, then very quickly it could require the full attention and engagement of the controller. Connex places a great deal of emphasis on recruiting controllers who are capable of handling these rapid changes in levels of activity.

Technical assistance to drivers

Our intention is for all controllers also to be trained and qualified metro drivers, in addition to having greater in-depth technical knowledge of the vehicles and installations than is normally required of each train driver. In this way, the controller can act as the first line of "technical assistance" to the metro drivers in the event of disruptions due to vehicle faults or other technical faults along the track. We also have very good experience of this model from our service in Stockholm (both light train and underground). The model of having controllers who are qualified drivers also implies that all controllers, at certain specified intervals, serve as train drivers for a day. This also serves to keep the controllers up to date on what is happening "out in the field", which increases the understanding between drivers and controllers.
Since the controller only provides verbal assistance to the drivers via communications radio or telephone, in the case of more complex and service-stopping technical faults, appropriate resources also need to be dispatched by vehicle to the scene of a disruption. Connex will co-ordinate such resources with the respective technical subcontractor.

19.8 Remedying disruption

An important task over and above the ongoing, somewhat “passive” monitoring and managing of services and monitoring of various systems is rapidly taking in hand and remedying disruptions that arise in the system. Disruptions may be either technical or non-technical in nature or may relate to staff failures and accidents or near-accidents. A statement of our basic principles in respect of this is reproduced in section “Abnormal Operation” below.

19.8.1 Daily Vehicles Operations

Day-to-day operation of the trains is based on several aspects working in tandem. A basic prerequisite for being able to operate and offer passengers a disruption-free service in accordance with pre-determined plans is for the vehicle maintenance contractor to deliver vehicles that are fit to drive, presentable and roadworthy, at the right place and at the right time. As stated above, the controller on duty is responsible in the short term (the last few hours before going into service) for checking and ensuring that the right number of trains will be delivered by the Maintenance Contractor at the right time and in the right place.

19.8.2 Start of transport services

Normal procedures used by most public transport operators involve drivers coming on duty going through a standardised overall checking of their assigned vehicle before it leaves the train depot. This happens even if the vehicle has been supplied with the individual information that it has been fully "prepared" for service by the technical department. A final check will be necessary before leaving from the depot.
The following points should normally be included:

1. Give driver and line/route specific information (in-put).

2. Check that the train can be driven from both drivers' cabs and that the controls for the manoeuvring and monitoring systems, including communications radio, in both drivers' cabs are working.

3. Check that the starter-equipment and braking equipment work error-free, that there is sand in the sanding devices and that these are working.

4. Check that all passenger doors are working normally.

5. Check that interior and exterior lighting is working, as well as the passenger information systems.

6. Check that the passenger area (including the seats) is flawless.

7. Check that the train has been properly cleaned and that there is no graffiti.

8. Check that the appropriate passenger information is made available in the vehicle.

19.8.3 During the service day

During the service day and when out driving on the line, the driver must be aware of his train and, in the event of faults being suspected, try to investigate as soon as possible whether the fault can be remedied and whether imminent faults can be averted. If necessary, the driver must immediately report any problems to the controller and consult with him as to how the matter should be resolved. If necessary, the controller can send technical personnel to investigate a fault that the driver is unable to remedy.

At regular intervals during the service day, the driver must check that the sanding devices are working and that the required amount of sand is in the sand containers. The driver must also follow up to ensure that the train is working normally as well as that the
passenger area is kept in a good and presentable condition with regard to cleanliness and being free of waste.

During changeover of personnel in the course of the service day, both drivers must exchange information as to the status of the train, including any faults or shortfalls.

19.8.4 End of each service day at the depot

When a train is to be taken out of service and driven into the depot, at the last stop before the depot, the driver must check that there are no passengers remaining on the train. On arrival at the depot, the same check must be carried out again, with the driver also checking that passengers have not left any items on the vehicle. If passengers have inadvertently been brought to the depot, it is the responsibility of the driver to ensure that they are appropriately helped out of the depot area. Any train faults must be reported in writing to the depot personnel before the driver leaves the train.

According to the washing plan and washing policy, the driver will drive its vehicle through the washing machine before leaving the train.

19.9 Depot Operations

Depot operation for Connex as the Operator within the Mumbai Metro scheme can be divided into different parts, here beginning, as an example, with the train arriving to the depot after a day of operation to be prepared for the next day of operation without special maintenance work:

- **Instructions.** First of all on arrival to the depot, the train driver will be given information by the controller where to park the train after certain activities, given by the controller at the same time (below).

- **Washing.** Connex drivers are responsible for driving the train through the train washing machine in the depot when instructed to do so by the controller on behalf of the maintenance department.
- **Filling of sand.** In addition to washing, Connex drivers are responsible, on all arrivals to the depot after more than five hours operation, for filling up sand in all sand boxes of his train or trains.

- **Parking.** After fill up of sand and (when requested) even washing of the outside of the train, the driver shall drive his train to the appropriate parking track.

- **Preparation for next running shift.** During the early morning hours or late evening and night the train will be checked and prepared by the maintenance technician following a special checklist. Depending on how many running hours have elapsed since the last overhaul the technician will use different checklists to fulfil his work. When ready a special form will be signed by the technician and placed in the drivers cab including a copy to the depot logbook.

- **Drivers last check before departure.** All drivers taking a train out from the depot on a working day will follow a special a standardised overall “drivers checklist”.

- **Instructions and leaving the depot.** When ready to leave the depot or depot yard, the driver asks for instructions from the controller. The controller ensures that the train will be let out on the line in the appropriate direction and at the appropriate time.

19.9.1 **Vehicles movements within the depot area**

All movements within the depot areas (as well as on the line) will be supervised and controlled by the controller.

Connex will provide drivers (shunters) for the necessary movement of the trains within the depot on the behalf of the vehicles maintenance department.

19.9.2 **Maintenance activities in the depot**

A proportion of the fleet of vehicles will be allocated for examination, cleaning and maintenance in the depot. Diagrams will be prepared with sufficient time from arrival at to
departure from the depot for the examination and maintenance to take place. The
appropriate vehicles will then be allocated to the appropriate diagrams. Plans will also be
prepared to ensure that staff working on vehicles do so safely, have sufficient personal
protective equipment, training in the tasks expected of them and the tools and chemicals
that they will use.

Provision will be made for storage of equipment and materials, environmentally friendly
waste disposal and facilities for the staff to prepare and eat food. Plans will be made to
keep these in good repair.

19.9.3 Normal Operating Procedures

The normal operating procedures are based mainly on three fundamental parts
(preparation, implementation and conclusion) as stated above under section "Daily
Vehicles Operation". The complete operating procedures for normal operation do,
however, start earlier and cover more than just the actual management of the trains on a
particular service day.

19.10 Preparations and Planning

The basis of the entire operation (normal and abnormal) is 1) long-term planning and 2)
short-term planning (production of timetables and service rotas), 3) recruitment and 4)
staff training and in-service training, 5) manpower planning, 6) planning maintenance of
vehicles and installations as well as implementation of this and 7) planning and application
of emergency plans for various types of abnormal operation.

1. Long-term planning (planning on an annual basis and longer) forms the basis and
prerequisites for virtually all remaining planning. The long-term planning establishes
the rough outline of future service arrangements, which in turn govern staff needs,
recruitment and staff training in various specialist areas. Long-term planning is also
the basis for planning maintenance of vehicles and installations, which to a large
extent, but not entirely, depends on the scope of the service and thus the effect and
wear and tear on vehicles and installations.
2. **Short-term planning** (planning on a monthly to half-yearly or seasonal basis) involves producing timetables (internal and general) as well as rota for staff working shifts in the first instance (drivers, controllers, mobile personnel and maintenance personnel). The work set-up and work procedures for personnel not working shifts are also governed by short-term planning with regard to when vehicles and track installations are available for maintenance purposes.

3. **Recruitment** of drivers and other personnel is a basic prerequisite to enable agreed services to be carried out.

4. **Basic training and in-service training** are the two cornerstones of all staff training programmes.

5. **The planning of maintenance for vehicles and installations** is carried out by the contractor concerned, under the supervision of Connex. Connex will do this by examining current maintenance plans and individual job cards. Maintenance work carried out by Connex under its own auspices will be governed in the same way, using established maintenance plans and individual job cards.

6. **Abnormal Operation** is to be planned and handled in accordance with section "Abnormal Operation" below.

19.11 Implementation of the service day

**Sign-in and Sign-off**

Since Connex intends to allow all transport services and all personnel begin and end their working day at the depot all signing-in at the start of the working day and signing-off at the end of the working day will take place at the relevant depot. Thus, there will be no risk of a driver failing to turn up for the start of his shift without this being noticed by the operational management. At the start of service each morning, there will be an operations team leader at the depot who will take a register of all personnel who have reported for duty and received the required instructions and directions for the working day.
Reading orders and messages

In addition to the room (of the operations team leader) where staff reports for duty at each depot, there will be notice boards for orders and messages to personnel. All personnel must note any new orders or messages at the start of the working day.

Reserve personnel

There will be sufficient numbers of reserve drivers at the respective depots during the entire service period. It is planned that in the morning, the reserve driver will arrive at the relevant depot approximately 20 minutes before the first driver is due to begin his working day, and his first task will therefore be to have a quick look to see that all trains required for going into service have been prepared by the vehicle maintenance contractor.

Action taken by the driver during the service day

The driver's first action when he arrives at the depot is to report to the operations team leader and note current orders and messages from the operational management. A check of the assigned train will follow, as described in section "Daily Vehicles Operations" above. Before leaving the depot, it is the driver's task to ensure that his train is ready for service, both from a safety point of view and from a customer perspective. If shortfalls are discovered in either of these respects, the driver must immediately report this to the controller, who must take the necessary action.

The driver has sole responsibility for the safety of his own train and for the security of passengers. In the event of any accidents the driver must inform the controller without delay. The driver must also inform the controller of anything that has, or could have, a negative impact on customers’ experience of the transport network, such as disruption of order, damage, faulty equipment, etc.

At each stop where the train is turning, the driver must – if possible, with reference to the timetable – check the vehicle for any items left behind and any damage, etc., sustained by the vehicle.
The driver must drive the train with due regard to safety and comfort requirements and strive for even and calm driving without unnecessary jerks and sharp braking. The driver must as far as possible provide passengers with information and assistance, without neglecting safety or losing track of time.

**Changeover during the service day**

Drivers beginning their shift by relieving another driver "on the line" must previously have reported to the operations team leader at the relevant depot.

Drivers who for any reason are not replaced at the planned changeover time, may not leave their trains, but must report to the controller via the communications radio and drive on until changeover can be arranged. If the timetable allows time for a relief driver to arrive, this is what should be done after permission has been given by the controller, if it is still possible for the train to leave at the appointed departure time. Where the driver has to continue driving because changeover has not been effected, the relief driver may arrange to take over at a suitable stop, or else when the train returns to the depot.

**Driving trains into the depot**

When driving trains into the depot at the end of the service day, the driver is to apply what is stated in section ‘Daily Vehicles Operations’ above. Any faults in the train must be reported in writing to the operational management at the depot before the driver leaves the depot.

**Different levels of Abnormal Operation**

In addition to the typical cases of abnormal operation, disruptions can be divided roughly into two categories: planned disruptions and unplanned disruptions. Typical of planned disruptions are that in these situations, Connex has the opportunity to pass on information about the disruption in advance through notices and advertisements as well as planning how inconvenience to passengers can be minimised by means of replacement buses, arranged in advance for example.
Typical of unplanned disruptions is that they often occur without any warning in the usual sense, even though, for example, early signs of a vehicle fault or the start of problems with the power supply may give a certain amount of warning time before the disruption takes hold. As stated previously, it is therefore important for both drivers and controllers to be aware of vehicle or installations faults that could develop into stoppage faults.

Connex classifies emergency disruptions (unplanned disruptions) according to the following scale:

Type 1 Disruption/fault that only needs to be reported for future action
Type 2 Disruption/fault requiring immediate action w/out stoppage
Type 3 Disruption/fault causing brief stoppage (5–15 minutes)
Type 4 Disruption/fault causing longer stoppage (15–30 minutes)
Type 5 Disruption/fault causing extended stoppage (over 30 minutes).

Our evaluation based on experience of train services with new installations and new vehicles is that the emergency disruptions (after an initial testing and trial period of approximately six months with all systems in complete and normal operation) are distributed as follows according to type of disturbance level:

Type 1 approximately 85% of disruptions
Type 2 approximately 10% of disruptions
Type 3 approximately 3% of disruptions
Type 4 approximately 1% of disruptions
Type 5 approximately 1% of disruptions

Potential causes of Abnormal Operations

Abnormal operations may occur in any type of operation. When it comes to train services, Connex has experience from operations in several different countries.

Causes of Abnormal Operations in train services might include the following:

- Vehicle faults not resulting in stoppage
- Vehicle faults resulting in stoppage
• Power outage
• Fallen overhead cables
• Disruption of order
• Bad weather

Potential Emergencies

Accidents can happen in any type of operation. Incidents more specific to trains include:

• Collision with a passenger on a station
• Collision with another train
• Fallen overhead cables
• De-railing
• Fire
• Sabotage

Connex has valuable experience of handling all types of Abnormal Operations and Emergencies.

19.12 Alternative Service Strategies

Purpose of control room

One of the main reasons for a Train control centre is to return the train service back to normal as soon as possible after a disturbance in a safe and reliable way. Its role is to deal with safety of the line issues from major collisions to a door on the catch in a method that is safe and laid down in rule books and safety manuals.

To advise customers, both internally and externally of problems with the train service and the subsequent alterations made to rectify these issues.
Strategies

It may sometimes be possible to run over Single line working (SLW) between the affected areas, thus allowing a train service to run throughout, but with a much reduced service pattern.

It may be possible to terminate short, depending on if there are cross-overs close to the disturbance, of the scheduled destination and cross over to the other line (track) and come back. Effectively terminating short. To cater for the passengers to the end of the line you can either pass on other bus routes or, as in Mumbai seems to be a better alternative, supply buses/coaches from public bus companies or private hire companies that will run exclusively between stations on the line(s) affected.

This is a much preferred method as it keeps passengers moving, though does take a while initially settling them up. But this obviously has constraints on it as well, like can you get coaches close to the station, turning circles and advice to customers etc. Obviously the park and ride facilities are the preferred locations to start/stop coaches.

As far as bus service replacements are concerned consideration should be made for time sensitive locations such as the railway stations and airports, running a fast bus from the city to the railway station and/or airport for instance.

With the depot being at the end of one of the lines this puts a great pressure on keeping this line open to ensure a service on all other lines. A great deal of maintenance and observation should be kept on the lines infrastructure so to keep it open for all movements.

To secure quick and efficient bus replacement in case of a disruption in the train operation Connex will arrange an agreement with local bus companies in Mumbai after the same model as in Stockholm and London, to pass anywhere within their area.
Service Recovery Strategies

Recovery Strategies are a part of the Connex traffic control organisation, the purpose of which is to handle emergencies encountered by vehicles in service and where qualified handling is required at the scene. Such incidents could, for example, be accidents involving people, fires, breakdowns, derailment, fallen overhead cables or incidents disrupting order as well as all other kinds of incident, for example vandalism.

Where train services are impacted by extremely serious incidents, it is of paramount importance to get specially trained staff to the scene quickly - people who can provide support to the train staff and the emergency services as well as generally contributing knowledge, backup and information.

Since train services operate alongside other modes of transport, there is a particularly pressing requirement for prompt intervention and other measures depending on the special risks involved, for example where an overhead cable is down.

Connex will have emergency services available 24 hours a day, either on duty in stand-by mode or on-call, including the period when train services are not running. This ensures prompt and competent intervention even if the cause of an incident is not attributable to the train service as such - for example, in the event of a road vehicle damaging the overhead contact line assembly at night, causing it to pose a hazard for people and other traffic.

On weekdays, from 5 a.m. until 1 a.m., at least one emergency vehicle will be available and manned. They are based at the depot, and will for some hours each day patrol the line in order to monitor and follow up on the transport service and drivers. At least one emergency vehicle will always be on stand-by during train service running time or on-call to proceed immediately to the scene of a disruption or accident.

The emergency vehicles will be manned by traffic supervisors with competency as controllers and train drivers, fully qualified to move and drive a train if necessary, for example in connection with an accident, as a result of which the driver is unable to continue driving.
Emergency vehicles

Under for example Swedish and German road traffic legislation, as well as that of some other EU countries, train companies are entitled to equip certain service vehicles with the same type of alarm signals as are used on fire engines and police cars. This type of alarm signal arrangement makes it possible to get the right personnel to the site rapidly, in the event of an accident or a serious disruption to traffic, to remedy the disruption, including situations in which street traffic is more or less at a standstill as a result of traffic jams (perhaps due to a train blocking the traffic). The reasoning behind these "special alarms" on some of the train operator's service vehicles is that accidents involving trains, or other serious traffic disruptions involving the train operator's vehicles, often require specialised technical competency not normally found within the community's ordinary emergency services.

Connex intends, with Stockholm as a model, to apply to the relevant authorities in India with regard to such entitlement. Even without the possibility for such "special alarms", we shall use the system with "emergency services" mobile by intervention cars.

Restoration procedures

The procedures relating to abnormal operation in Mumbai are to a large extent based on the experience Connex has of similar train services in Rouen and Stockholm, but are also drawn from our experience of railway transport operation. Typical of train services in comparison to bus services is that train services often mean a total stoppage of all services in a certain direction (or both directions) past the scene of an incident. However, "stoppage" in terms of a bus service often only affects one vehicle and the train service (as stated above) also requires specialist and qualified knowledge in order to prevent exacerbation of the damage or hindrance that has occurred. In all situations, it is of course important for the train company to have procedures that are well prepared and well rehearsed with the local police, other emergency services and competent highways authorities.

A number of typical cases of abnormal operations can be ascertained based on experience. Depending on where in the transport system an incident occurs, the measures taken may vary, however, when it comes to restoring normal service and if necessary
replacing the disrupted train service with buses, for example. The purpose of all measures in the event of abnormal operation is to restore the situation to normal operation as soon as possible. In the event of accidents, etc., however, rescue efforts and damage-limitation measures must always take priority, which means that a return to normal operation of the train service onwards past the scene of an accident is dependent on the rescue work first having been completed. Rescue work in progress at the scene of an accident or remedial measures to remove a faulty train, for example, do not, however, need to prevent train services from being maintained on other parts of the affected line. This is, however, conditional upon a sufficient number of transition points and shunting opportunities being available. This in turn is naturally dependent upon where a disruption occurs.

**Bad Weather Plans**

**Adverse weather conditions, seasonal conditions**

We know from experience that the most difficult problems affecting train services from a the weather and seasonal point of view are those connected with leaves in the autumn, with the resultant mass of slippery leaves making conditions difficult for a few weeks each year.

**Other Bad Weather Conditions, Rainfall and flooding**

Large amounts of rain may in certain circumstances cause operative breakdowns, partly due to the flooding of sensitive technical equipment along the line and partly due to aggregations of water undermining the embankments.

In such a situation, other traffic in the area would also be impeded and the situation could thus be considered a case of Force Majeure.

We are assuming that the tracks, as well as track devices such as the vehicles, are manufactured in accordance with accepted and proven methods, suitable for the circumstances in which they are to be used. Thus, normal and also occasionally difficult weather conditions must be coped with without breakdowns.
Wind and storms

Winds of sufficient force to hamper train traffic are not likely to occur. In very adverse conditions of strong, gusty winds, the pantograph on the roof of the train may be exposed to extreme stress. In these circumstances, it may be necessary to reduce the maximum permissible speed of the trains temporarily.

There is also a risk of strong winds bringing trees down across the track and the overhead contact line. Connex will draw up procedures that will, as far as possible, identify and remove such trees and similar obstacles posing a potential risk for Mumbai Metro in conditions such as strong winds and storms.

19.13 Abnormal and Emergency Operating Procedures

Below are some examples of procedures for handling Abnormal Operations and Emergencies. In all situations it is compulsory to immediately alert the Control Centre.

Driver assistance

Technical first-line assistance for train drivers in the event of a disruption that the driver is unable to remedy on his own is provided by the controller via the vehicle’s radio or by mobile phone. Since Connex controllers are also qualified train drivers with supplementary training in train technology, prompt assistance is assured.

Faults resulting in stoppage

Stoppage faults mean that the train cannot be driven any further (within 5 min. [level 2 to 4 see Part "Abnormal Operation above]) without some kind of repair. Our experience from Stockholm indicates that stoppage faults are rare (2 stoppage faults per 100 vehicle faults).

In the event of a stoppage fault, one of the Connex emergency vehicles will in all cases be dispatched to the scene immediately. If the driver is not able to remedy the fault with expert advice from the controller, another controller and/or repairman will be at the scene within a short time to assist the driver.
In the event of such faults being so complex that the train driver and the controller are unable to fix them, vehicle technicians from the vehicle maintenance department will also be called to the scene.

Recovery of trains

In certain cases, faults may arise that require the broken-down train to be towed from the scene by another train. Although recovery should be regarded as an extreme measure, this can be considered as a last resort at times.

Lifting trains

It may be necessary to lift a train in the event of an accident where a person or a large object has been run over. Lifting trains may also be required following derailment.

Accidents

The fire brigade carries out the raising of trains in the event of an accident occurring which involves a person who has been run over and is trapped. Connex personnel will assist the fire brigade in the rescue work and will remove the train involved in the accident following the rescue effort. There will then follow technical checking of brakes, etc., in consultation with the police.

In certain cases, the fire brigade may also be able to raise a train that has run over a large object that cannot be dislodged other than by lifting the train.

Derailment

In the event of derailment where no one has been injured, the train will be lifted back onto the rails by the staff of the vehicle Maintenance department. The vehicle maintenance department's staff may also raise a train in the event of an object having become stuck, but not normally in the event of an accident involving personal injury.
Fallen overhead cables

In the event of a fallen overhead contact line, it is important to ensure as quickly as possible that the fallen overhead contact line does not cause injury to persons or damage to property. In the event of an alarm (via a technical indication or a verbal alert) concerning a fallen overhead contact line, Connex will immediately dispatch an emergency vehicle to the scene and, at the same time, effect emergency disconnection of the power to the line for the section of track in question. Trains approaching the scene of the disruption are alerted via vehicle radio and ordered to stop at a safe distance from the site of the fault.

For repair of the faulty cable, the next stage is to immediately alert the repair staff from the Maintenance department.

Collisions with passengers

Collisions between passengers and trains must be prevented and avoided as far as possible. A collision between a passenger and a train can easily have devastating consequences.

When it comes to a collision with passengers, Connex goal is that this must not happen at all. In its driver-training programme, Connex will place a great deal of emphasis on the safety of unprotected road-users. The express goal must be zero serious accidents involving unprotected road-users; our experience from Stockholm also shows that this is an attainable goal.

In the event of a collision occurring with an unprotected road user, extraordinarily prompt and proper action must be taken. If the person in question is stuck under the train, it is a good rule of thumb to evacuate the train via a part of the train other than where the injured person is lying, partly to avoid unnecessary distressful visual impact to passengers and partly to "relieve" that part of the carriage that the injured person is lying beneath.

Connex will carry out special exercises with the Fire Brigade and Police in Mumbai to prepare for this type of accident, which we know from experience, can be extremely distressing to all parties involved.
Collision between trains

Connex experience is that collisions between trains are comparatively uncommon. Some train systems ensure (either partially or fully) that headway is maintained between trains using railway-style signals, sometimes supplemented by Automatic Train Protection (ATP). In other train systems, the trains are driven, as in Mumbai, "by sight" – that is, basically in the same way as cars. Connex has experience of all three variants of traffic solutions.

The risk of damage is greatest in a "head-on" collision between trains. This risk of collision is greatest if the train system includes sections of single-file track. The Mumbai Metro train network is entirely double track, which virtually eliminates the risk of high-speed head-on collision between trains. It is actually only within the depot areas and at the crossing points at a terminus that head-on collisions can occur. Common to these locations is the fact that speeds are relatively low.

Another type of collision between trains is driving into the back of another train. This type of collision can be rather serious and Connex will place particularly strong emphasis on this in its driver-training programme. Collisions due to driving into the back of another train may occur especially when leaves are falling in the autumn.

Fire

Fire puts special demands on resourceful action on the part of all concerned. All experience shows that one of the most important first steps in the event of fire is to disconnect the power. Many fires aboard trains start with the electrical equipment and can be extinguished quite easily if the power is disconnected. Even if the fire does not start from the electrical equipment, all power must be disconnected in order to be able to carry out fire extinguishing safely.

In the event of fire, it is important for passengers to leave the train as quickly as possible. However, this must be done in such a way that the passengers are not exposed to new risks, for example trains on adjacent tracks or road traffic outside the train. Depending on the whereabouts of the train, the train driver must decide on how best to carry out evacuation safely. In disaster situations, the passengers may evacuate the train
spontaneously and without direction from the driver. In such a case, it is important for the
train driver to inform the controller immediately so that other trains can be stopped.

Sabotage

Sabotage to trains may consist of several different actions. For example, large objects
may be placed on the track or stones or other objects may be thrown at the train. Attempts
set fire to a train or start a fire along the track can happen, as well as various attempts
being made to affect various technical systems.

It is important for all personnel to always be aware that sabotage may happen and to be
aware of anything that deviates from the norm and to report these to the control centre.

19.14 Staff care

Within its various companies, Connex has tried and tested procedures for caring for staff
who have been involved in an accident or some other serious incident. The general rule is
that a driver who has been involved in an accident or some other serious incident is to be
taken off duty immediately. The driver will only be able to return to work once a doctor and
the driver's immediate supervisor have given their approval.

In certain cases, supplementary training and renewed aptitude testing may be required
before a return to safety-critical work can be approved.

19.15 Special Safety investigators

Based on our experience from Stockholm, we intend to provide special training for a
number of Connex staff as special safety investigators in order to be able to document the
event at the scene of a serious near-accident professionally. These people will then be
responsible for Connex internal investigation of an accident or a near-accident in parallel
with the police investigation. In Sweden, the Swedish Railway Inspection Service trains
special investigators for the various railway companies in the country and throughout the
Nordic region. We will propose that the same type of training should be arranged in India.

They will act under the supervision and control of the Safety Engineer, following the
Connex RISK AND CONTROL EVALUATION PROCESS (see next page).
19.16 Personnel

We select staff for Revenue Control duties extremely carefully. In particular we seek extremely good communicators, who are well presented, professional and relaxed people, able to deal effectively with difficult situations and prevent possible conflicts. We also place great emphasis on the need for our staff to act firmly but with common sense to ensure that at all times, customers feel they are being dealt with in a fair manner.

In addition to receiving our Customer Service Training Programme, all staff and managers involved in regular Revenue protection activities will receive full training in the appropriate legislation relevant to the task. We also provide conflict-handling training for all such staff.

We recognise that this can often be a very stressful task so we set out to provide a role that is varied to reduce the time spent purely on Revenue Protection. A good example of this is in Stockholm’s Metro system where we have merged the Revenue Protection and “Connex Hosts” roles to both provide a greater number of qualified control staff and to offer a Customer Service/Information providing role every other week to some of the Revenue Control Staff.

The principles that our Revenue Protection staff operate to include:

- A Customer-focused approach
- Professionalism at all times
- Firmness
- Autonomy and the use of Common sense

We also place emphasis on the links between ticket-less travel and potential crime or disorder. We believe that by having a high profile approach to the protection of revenue, whether through infrastructure, (gates, CCTV, fences) or through our personnel, those who could cause problems towards people or environments during a journey on our services will go elsewhere.
Communication to customers

In addition to applying a fair but firm policy to customers we also feel that it is important to explain to them why we need to ensure that passengers pay for their journey.

We have carried out many advertising and communication campaigns in our networks and will look into the need for such actions in Mumbai. The results can be both highly noticeable and financially interesting. Below we explain one example from Bordeaux.

Since 1996 the rate of ticket fraud in the city had increased to over 20%. The problem was, that this high level of fraud had repercussions both on the image of the transport system “there is no need to pay” and on the sense of insecurity. To fight this, Connex launched a major anti-fraud campaign.

Our first objective was to reduce the rate of ticket fraud. But we also wanted to change the behaviour of “redeemable cheaters”, those who feel slightly guilty about not paying. Additionally, the image of ticket inspectors had to be improved. Finally everyone had to be put in a position to see that the company was dealing with the problem of fraud.

We strengthened the inspection team by adding lots of ticket inspectors. The inspection techniques were changed. This was considered as being effective for disrupting the habits of the people regularly cheating the system.

Probably the most important thing was the creation of a major advertising campaign to publicise the increase in ticket inspection, to give a positive image of the inspection. We also explained that the price paid for public transport was good value for money – as long as everyone paid. The campaign was held in 1997 and for a second time in 1999.

The main message of the advertising campaign was “if you cheat, you are not playing the game”. This slogan was chosen due to the 1998 World Cup. It had a major impact as it used the theme of rules of the game that the referee has to enforce on a football field and which are essential for the game to take place satisfactorily. This theme enabled the ticket inspector to be seen as a referee rather than as a “cop”.

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Mumbai Metro One

Reliance Energy

A Reliance Anand Group Enterprise

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The results of this marketing action were astonishing: 150,000 Euros of extra revenues for the first month and 1.4 million Euros of extra revenues over 12 months, an increase rise of 8.8%.

The cost of the second campaign was paid off within less than two months. In addition the general fraud rate in the network fell from 23% to 14.5% from 1996 to 1999.

19.17 Revenue collection

Connex Mumbai Metro will appoint an appropriate contractor to deal with collection of all revenues from ticket machines.

The experience gained with handling large sums of cash in our networks, where we are often responsible ourselves of all procedures and controls, will ensure that Connex Mumbai Metro keeps tight control over the contractor. Our specialists from some of our European networks will assist Connex Mumbai Metro staff in the selection of the contractor and the definition of the contract that will be entered into.

19.18 System Organisation and Staffing

Organisation

Mumbai Metro as an organisation reflects the highest degree of modern management thinking. Connex has combined the best of previously gained experience of operating transport services with the very latest in research into effectiveness and quality-creating organisations.

The organisation is process-oriented when it comes to routine operation of services, but is in other respects function-oriented in order to ensure that the train service has adequate management capacity both for normal operation and on such occasions when the organisation is faced with extraordinary stresses and in order to apportion responsibility for safety and the working environment at the right level.
Human Resource Management

Connex recognises that its greatest asset is its employees and that the continued success and growth of the Group is dependent upon the continued success and development of its employees. Connex encourages employees to use their own initiative to develop the business and overcome the challenges that face the organisation.

Connex promotes an open employee team environment with communication across all levels. A clear staff structure is defined in order for employees to understand their role in the organisation, their working relationship with others and the duties that they are tasked to perform. In return, employees are encouraged to develop their roles through training courses and continuous development. Connex is an equal opportunities employer.

Connex aims to develop a committed, harmonised and skilled workforce.

Connex Human Resources Policy

The main HR strategies to be followed by Connex are:

It needs to be seen within the context of the five key business objectives of Connex:

- Safety
- Customer Satisfaction
- Employee Satisfaction
- Performance
- Profitability

It sets out the overall strategy for Human Resources within Connex, and then develops the strategy into six key areas, against which there will be developed defined measures and targets (Measures of Success (MOS)).
Human Resources Vision

Connex aims to achieve three things through its Human Resources Function:

- Increase the commitment of our employees to delivering the Five Objectives
- Raise the "Human Capital" of the business through effective development of people, and ensuring that employees feel competent, capable and valued.
- Increase the performance of all employees in delivering the Five Objectives

In achieving these aims, it looks to work with its employees, managers, and other stakeholders in developing long term partnerships, which support the long-term success of the business.

Connex Mumbai Metro will seek to measure progress towards this vision through setting targets in the areas of:

- Employee Satisfaction Index (from the annual employee survey)
- Staff Retention (labour turnover percentage and stability indexes)
- Staff absenteeism
- Training (in terms of both the attitudes towards training in the employee survey, and the number of days training or briefing per employee in a year)

Human Resources Strategy

This has been divided into six areas of Human Resources activity:

- Recruitment
  - To encourage talent to join the business and remain with it
  - To raise the standard and calibre of staff recruited in order to provide the future skilled resources of the company.
  - To use the internal resources of the company in a planned way, and always before looking externally.
  - To make it easy to join the business.
  - Organisation
• To create a culture focused on Team membership, and Development over hierarchy and promotion
• Service Culture - "nothing is too much trouble"
• Sales Culture - "I sold 10 units this week"
• To encourage a flat organisation, focused on measuring performance and achieving results.
• Training and Development
• To ensure that all employees have the skills and knowledge (feel competent) to do their current roles.
• To create an environment of self-learning and personal development.
• To create a small, high skilled group of managers who express leadership through a team based facilitation and communication skills.
• Reward Management
• To ensure that all employees have a long-term stake in the success of the business as a whole, and are motivated to be loyal, long serving employees.
• To reward and recognise both team and individual performance and skill acquisition as part of compensation.
• To pay and offer benefits which are at the median for the industry, and related industries, and ensure that all employees have a variable element to their pay.
• Communication
• To ensure that all employees feel well informed about the direction of the business so that they feel involved and a part of it.
• To ensure that all employees have access to the information they require to do their jobs, and can play an active part in the development of the business.
• To build the external image of Connex Mumbai Metro in how it approaches its people and people management.
• Employee Relations
• To act openly and honestly, and with respect for, the individual in all matters.
• To be seen as an Employer of Preference - "Best in Class".
• To work with employee representatives, and other stakeholders in order to develop partnerships.
• Administration and Processes
• To manage HR processes quickly and efficiently, as close to the business as possible.
• To automate processes where possible, and ensure security of people data.
To provide relevant people and payroll data to management to allow optimisation of resources

19.19 General Team Organisation

A team organisation for executing the services is shown hereunder.

The proposed staff organisation will provide the required organisational, maintenance and scientific roles necessary to ensure Regulatory compliance standards and treatment performance guarantees are achieved.

The Metro will be operated with standards, systems and procedures promoting operational and maintenance best practices.

Health and Safety employee training and development policies appropriate to this contract will be implemented.

The organisational structure to operate the works will be based around multi-disciplined personnel and team flexibility.

Continuing development and instilling a sense of responsibility in all personnel is the key to building an effective staffing strategy. The transfer of skills and the development of a multi-skilled, self-governing and monitoring workforce is paramount to Connex's approach to long-term operations management.

All personnel will be expected to take responsibility and accountability for their work. This philosophy is actively encouraged by Connex and rewards self-initiative and commitment. This approach tends to promote greater job satisfaction and an improved working environment.

Personnel will be required to provide 24-hour cover in the CCR with other personnel being either present or on-call at home under a turning schedule.

The on-duty operator will act as the first-line response to alarm situations. The operator will call the Manager depending on the nature of the situation.
Organisation and Job Specifications

The qualifications and experience of the staff will comply with the Tender requirements objectives.

Connex Mumbai Metro will develop full operational guidelines, standards and policies in line with those developed for other Metros operations worldwide.

The staff roles and responsibilities are listed below. The qualifications and experience of the staff will comply with the Tender requirements.

Organisation Chart

As stated in the Mobilisation Plan, the Organisation Chart of the Company shall be as follows:

- **CEO (1)**
  - **Safety Eng. (1)**
  - **Ops Manager (1)**
  - **Administrative Officer (1)**
  - **Techn. Eng. (1)**
  - **Marketing Director (1)**

  - **Traffic Controllers**
  - **Planning**
  - **Drivers team leader**
  - **Drivers**

  - **Human Resource**
  - **Accounting**
  - **Secretariat**

  - **Assets Management**
  - **Contracts Management**

  - **Inspectors**
  - **Customers Service**

  - **Call center**
19.20 Managerial and Operating Staff Responsibilities

The respective duties and responsibilities of the members of the staff shall be as follows:

CEO
- Organise and manage the Company
- Keep adequate relations with the Client
- Report to Connex

Safety Engineer
- Organise safety in documents and training
- Analyse incidents and accidents
- Propose corrective actions
- Keep relation with fire brigade and police

Operations Manager
- Organise operations
- Provide quality service
- Check personnel qualifications
- Keep relations with local traffic authorities

Traffic controllers
- Follow operations
- React to perturbing events
- Report incidents

Planning
- Prepare operations
- Collect activity data

Drivers team leaders
- Follow drivers activity
- Report events
- Give directions to drivers
- Report equipment defects
- Assist controlling actions
Drivers
- Drive and follow time table
- Check vehicle and report incidents
- Sand and wash vehicle

Administrative officer
- Organise administrative activities
- Assess legal rules, statements and documentation
- Prepare financial reports

Human Resources
- Set up internal rules
- Assess social rules, statements and documentation
- Organise recruitment
- Negotiate with training sub-contractors
- Keep relations with Unions and personnel representatives

Accounting
- Record expenses
- Issue invoices
- Follow capital needs

Secretariat
- Type reports and mail
- Keep record of documents
- Organise meetings
- Facilitate management organisation

Technical Engineer
- Keep relations with Suppliers and Contractors
- Check adequate level of maintenance
- Propose improvements
- Validate changes proposed by Maintenance Contractors
Assets Management
- Keep official list of assets
- Follow up list of assets
- Assess status

Contracts Management
- Keep data on Maintenance
- Check objectives and results
- Inform on incidents
- Keep record of incidents
- Prepare contractual actions vs. Maintenance Contractors

Marketing Director
- Prepare marketing and commercial plan
- Prepare communication plan
- Organise actions
- Follow up statistics
- Check passengers satisfaction
- Keep contacts with

Inspectors
- Ticket controlling
- Equipment controlling
- Assistance to passengers

Customer service
- Ticket controlling
- TVM filling

Call Centre
- Telephone directing
- Passengers telephone assistance
19.21 Staff Regulations

Advertising, Recruitment and Selection

The Company will ensure that employees and applicants for employment are recruited and selected on objective criteria consistent with their skills, abilities and potential. No applicants or employees will be unfairly discriminated against on grounds of criteria not relevant to the performance of the job.

Training and Development

Connex encourages all its employees to participate in training and development programmes, and makes every effort to ensure that the selection criteria for training and development are not discriminatory. The results of the performance appraisal system will be reviewed annually by senior management.

Monitoring and Review

The senior management team will annually review and monitor the Organisation’s progress towards human resources including staffing and training, and where necessary modify the policy and procedures.

Communication

The Company will monitor and review its Human Resources policies and procedures annually and undertake to communicate any changes in practice to employees. Positive action will be undertaken to ensure that employees are aware of the Company’s policies and procedures.

Grievance and Disciplinary Procedures

Disciplinary Procedures will be clearly stated and in accordance to the general behaviour’s rule book widely communicated in the Company.
Connex Mumbai Metro will ensure that employees who have experienced direct or indirect discrimination are represented and protected from victimisation. Any employee who feels that he or she has been treated unfairly or been discriminated against should follow the appropriate grievance procedure which will be set out in the Employee Handbook.

Employee Performance and Development

It is intended that consistent treatment is offered to all employees in all divisions and activities, and will take into account employment legislation and practices in India.

Employee Appraisal and Review

Performance Appraisal is a continuous process. Employees will annually have the opportunity for a more formal discussion with their managers about how they are performing in their job, to agree objectives and development needs for the next year and to discuss how they would like their career to develop in the future.

Employee Training and Development Programme

Connex is committed to the maintenance and improvement of the knowledge and skills of all its employees and encourages them to participate in the continuous updating and enhancement of their individual competencies, whilst recognising that this is a joint responsibility between the company and its employees.

In order to ensure compliance with this commitment, it is Connex's policy to:

- Aim to ensure that all its employees are offered encouragement and the opportunity to maintain and enhance their knowledge and skills where relevant to the needs of the Company.
- Identify and review the needs for continued training and development of each of its employees, and to make such training and development available when agreed.
- Monitor and review its training and development policy and practices at least once each year, and to set and monitor progress towards targets to improve its performance.
- Oversee and control training and development activities within Connex and to pursue the development and implementation of sound training methods and practices.

Connex will designate a Manager with responsibility for Human resource who will monitor the training policy, review the training needs of employees and function as the main contact between the Company and employees on training and development issues.

Employee Remuneration

It is Connex's policy to set overall remuneration and benefits at levels appropriate to the responsibility and performance of an employee whilst remaining competitive with relevant market rates.

An Employee is advised of remuneration in the letter of appointment. The employee will receive a pay slip detailing the gross to net salary and identifying all deductions.

The contract will be operated with standards, systems and procedures promoting operational and maintenance best practices necessary to comply with the final treatment processes. Health and Safety employee training and development policies appropriate to this contract will be implemented.

Staff Issues

It is anticipated that all staff will reside within appropriate travelling time to the work site.

19.22 Management of Employment and Industrial Relations

Interaction between Connex various bodies

Connex is a company that combines the advantages of a small-scale operation with the benefits of being part of a large group. The entire Connex Transportation group of companies acts as support for the operation of Mumbai Metro.
Connex often develops its operations in project format, with company-wide participation or parts of the company participating. Examples of this include disruption information, development of cleaning procedures, dealing with threats and violence, etc. By using the project format, we are able to maintain a smaller common administration and at the same time bring a very high level of knowledge to bear in the development work, with the participation of staff from the operational units. Development findings can also be implemented very quickly.

Across the various Connex companies, there are well-developed lines of communication (both formal and informal) at many different levels and of various types. In addition, the various units can serve as backup for one another.

Connex Knowledge Management (KM) - Best practices

For the purpose of achieving efficient use of resources and securing the undertaking, interchangeability of resources and know-how (Best Practices) is necessary between the various Connex units. A special world wide Connex Knowledge Management Program divided in the parts stated below handles this:

KM organisation involves all international regions and is structured into nine main topics. An international team with a process group with representatives of all international regions manages the process. Topic leaders and their international topic teams prioritise the items for exchange, handle the collection of data and exchanges of experiences. A support team handles with technical and administrative issues.

Country teams from Connex France, Connex AB, Connex UK and Connex GmbH identified KM contacts for collecting data and best practices

Dedicated tools as working international groups for each topic: quarterly meetings, Connex Intranet for database and catalogue of best practices, and tools. An international KM Newsletter for communication on new operational companies and list of best experiences
The different Knowledge Management groups are as follows:

1. Bus Fleet Management and Maintenance
2. Industrial Logistic
3. Market Analysis
4. Operation and planning
5. Quantitative Benchmarking
6. Rail Fleet Management
7. Train Maintenance
8. Services to Customer
9. Sourcing

19.23 Staff training and Development

Recruitment

Recruitment of both drivers and other personnel is a basic prerequisite for implementation of the agreed transport service. Connex is well aware that in India, and in the Mumbai area especially, there is an overheated labour market, which means that relatively long periods of long-term unemployment exist and there is the risk of a lack of manpower when it comes to qualified professionals. Our experience from other labour markets, for example in the UK and Sweden, shows that train drivers and other types of drivers in rail transport belong to a risk category when it comes to availability on the labour market.

The risk of a shortage of manpower makes it necessary to have extra foresight and planning when it comes to recruitment of personnel for Mumbai Metro. The time from advertising for personnel to the start of the driver’s course, for example (the recruitment time) consists of, from experience, between two and three months. The recruitment period includes the advertising period, job interviews, aptitude testing and verification of medical suitability. Our judgement is that for train drivers in Mumbai, at least 4-5 months will be required from advertising for drivers until completion of driver training.
Basic training and in-service training

Basic training and in-service training are two corner stones in the training programmes for all staff training programmes. When it comes to Connex staff and the staff of relevant subcontractors performing safety-critical assignments (drivers, controllers, maintenance personnel and certain operational managers), special basic training plans will be produced, stating minimum times for the various sections of training. Training plans and in-service training plans will to a large extent be based on our experiences of Connex operation of the Metro and trains in Stockholm. The training plans will give certain fixed minimum service time spans for different safety-critical work tasks that must not be exceeded without repeat training being undertaken.

All staff (including relevant contractors' personnel) doing safety-critical work tasks will undergo regularly recurring in-service training in order to ensure that the required level of knowledge is kept up to date. Implementation of in-service training is suggested every two years. For the year in which in-service training does not take place, it is proposed instead that personnel carrying out safety-critical work tasks should undergo a knowledge examination that includes both practice and theory elements as well as sections on safety.

General training

Training is one of the most important ways of being able to influence attitudes. Attitudes are the building blocks for all stances towards quality and customer service. By investing heavily in the systematic training of our employees, Connex Mumbai Metro will be able to raise the quality of travel for its customers. A positive spin-off is that employees are happier, too.

Training plans

All staff whose work impacts safety will be trained in accordance with specially developed training plans, which will be detailed to RPA and the Railway Inspection Service well in advance of services commencing. This Appendix shows what training plans are required,
in our assessment and based on our experience, for the train service in Mumbai as per the present tender.

Training resources

Connex Mumbai Metro will rapidly be able to link in various tried and tested training resources from our train operations in Stockholm, and elsewhere, with the train service in Mumbai. This will guarantee initial training for test drivers, for example, and additional staff could quickly be drafted in for trial exercises. For the final training of drivers and other staff employed in ongoing operations in Mumbai, Connex envisages setting up a local Mumbai Metro training unit in Mumbai.

Fundamental personnel requirements
Staff competency and training are crucial in terms of the quality provided. Descriptions of our key categories, drivers and controllers, are reproduced below. The fundamental requirements for all categories of employees involved in train operations are:

- Approved training for the role in question.
- Fulfilment of medical requirements stipulated in regulations set by the authorities.
- Fulfilment of all requirements as to aptitude for the post.
- A strongly service-oriented approach and a good grasp of the requirements of working in the service industry.
- A good command of English, Hindi and Marathi
- A sound knowledge of vehicle theory, appropriate to the type of work in question.
- A good reputation and high stress tolerance.
- Accuracy and punctuality.

Control room staff

All quality in service operations begins with appropriate recruitment of staff in managerial positions. Careful recruitment is crucial to major aspects of the way the service is operated in years to come. Over a period of many years, Connex has developed a training programme for controllers and other traffic control personnel operating in various countries. Experience from training and developing the competency of traffic control staff
in Stockholm and Rouen will form the basis of the recruitment and training of controllers for the new train service in Mumbai.

Profile of requirements

Controllers, who are a key category for quality throughout the transport system, must fulfill the following profile of requirements:

- Approved training for the role of controller.
- Approved training for the role of train driver.
- Fulfilment of medical requirements stipulated in regulations set by the authorities.
- A strongly service-oriented approach and a good grasp of the requirements of working in the service industry.
- A good command of English, Hindi and Marathi
- A sound knowledge of trains and other technology.
- A good reputation and high stress tolerance.
- Accuracy and punctuality.

Training programme for controllers

Training to be a Mumbai Metro controller is contingent upon first having successfully completed the course leading to qualification as a train driver. The basic training to be a controller, which involves both theoretical and practical aspects, is tailored to the individual and takes about 30 days over and above the approximately 40 days' training required in order to qualify as a train driver. The course concludes with a test involving written, oral and practical examination elements.

The training programme to qualify as a controller goes into the training elements of the train driver course in greater depth on the one hand, and on the other, also includes special training elements relating to tasks that are specific to the work of a controller, including remote monitoring techniques and information systems as well as in-depth training in troubleshooting and fault handling in order to be able to function as the first line of technical assistance to the train drivers.
Because Connex Mumbai Metro controllers have completed their full training to qualify as train drivers, with additional, more detailed knowledge, the function of "technical assistance" is assured via vehicle radio during all service times, including in instances when the intervention of a controller at the site of the incident is not required. Mobile controllers and/or mobile technical vehicle staff are responsible for emergency line-side assistance throughout the service times.

Train drivers

Profile of requirements

All quality in service companies begins with good recruitment of service personnel. Recruitment determines major aspects of the implementation of the service for years to come. Drivers, who are a key category in the provision of transport service, must meet the following profile of requirements:

- Approved training for the role of train driver.
- Fulfillment of medical requirements stipulated in regulations set by the authorities.
- A strongly service-oriented approach and a good grasp of the requirements of working in the service industry.
- A good command of English, Hindi and Marathi
- A sound knowledge of trains and other technology.
- A good reputation and high stress tolerance
- Accuracy and punctuality.

Training programme for train drivers

The basic training for Mumbai Metro train drivers, which includes sections on both theory and practice, is individually tailored and is estimated to take 40 days based on our experience of training train drivers in Stockholm. We plan to train all drivers for lines A, B and C in order to ensure appropriate and flexible provision of staff. The course ends with written, oral and practical examination elements.

The training programme for train drivers is made up of a number of basic elements as summarised below:
Traffic technology

The employee learns the rules, regulations and instructions found in the Mumbai Metro Rule Book as well as the legislation outlined in various handbooks applicable to trains and public transport. In addition, the employee learns the practical skills required for driving trains.

Bearing in mind the special risks involved in driving trains in "mixed" traffic, extra emphasis is placed on driving in these conditions.

Vehicle knowledge

During this part, drivers learn how to operate the train and in addition, learn how to troubleshoot problems affecting the vehicle. The aim is for drivers themselves to be able to correct the majority of faults out on the line in order to minimise delays and other problems faced by customers. Examples of troubleshooting and dealing with vehicle faults are door faults, traction faults and towing faulty vehicles. Vehicle knowledge also includes, for example, basic electrical theory and the principles of replacing fuses.

Practical aspects and exercises

Training as a train driver consists largely of, in addition to theory, various practical exercises and practice sessions. The best way to remember something is to have it said to you, to read it and above all else, to perform the task yourself. Train driving is in many ways a practical "trade".

Service and quality

Service and quality form an important and integral part of the training to be a train driver. The objective of this aspect is that after completing the course, the employee should have the "right" customer values and practical skills in five key areas:
Train stop announcements
Disruption information
Smooth driving
Safe boarding and alighting
Punctuality.

Inspectors and Customer Service Officers

Profile of requirements

The mobile Mumbai Metro staff (Inspectors and Customer Service Officers) will principally be recruited and trained in accordance with the same principles as Connex mobile staff on the Stockholm Metro. Great emphasis will be placed on personal aptitude and a high level of motivation for the relevant assignments. The profile of requirements includes among other things:

- Approved training for the role in question.
- Fulfilment of the mandatory medical requirements.
- A strongly service-oriented approach and a good grasp of the requirements of working in the service industry.
- A good command of English, Hindi and Marathi
- The required knowledge of vehicles and other relevant technology.
- A good reputation and high stress tolerance
- Accuracy and punctuality.

Assignments for mobile staff include the following:

Information
- Excellent knowledge of the Mumbai Metro fares system and network
- Knowledge of the Mumbai Metro organisation including the role of RPA.
- Knowledge of local geography and of one's own section of line and adjoining lines
- The ability to distribute timetables and other information to passengers
- Giving information about disruptions affecting train services
- Passing on information about disruptions received by pager
- Ensuring that current information is deployed in stations
- Providing general information about train services.
Order and security
- Alerting the emergency services as required - ambulance, police and fire brigade
- Familiarity with authority and procedures with reference to security work.

Ticket sales / securing revenue
- Ticket inspection on trains
- Inspection of ticket machines to ensure that they are working.

Vandalism / graffiti / cleaning
- Documenting, photographing and reporting damage and graffiti
- Where necessary, reporting damage and graffiti to the police
- In an emergency, if possible removing, repairing and replacing damaged equipment
- Carrying out straightforward spot cleaning in an emergency.

Training programme for mobile personnel

The basic training course for mobile personnel, which includes sections on both theory and practice, is individually tailored and takes approximately 20 days. The course culminates in an examination, taking the form of a final written and oral test.

In-service training for all staff

The purpose of in-service training is to keep staff up-to-date with the skills and knowledge that are not used every day, but are nevertheless important when needed. For example, ongoing information to our customers in the event of any disruption to operations, as well as handling various types of irregularities in the provision of transport services, including those occurring under very stressful conditions.

At present, in connection with ongoing safety examinations for drivers and controllers, there is an in-service training module with an emphasis on quality and safety. The course includes reinforcement of vehicle knowledge as well as the reason for good treatment of customers by all categories of staff that the passengers may come across while travelling.
Training with regard to disruption information is intended to give all staff the motivation and knowledge required in order to be able to give "their" passengers information in a positive way if the service does not go as expected.

In-service training of the mobile field personnel will be partly co-ordinated with in-service training of drivers and controllers in order to promote reciprocal exchange of knowledge between categories of staff.

19.24 Rule Book

All types of different rail operations (Metro, Train and Railway) need for safe and secure operation a special Rule Book containing at least general rules, safety and health rules, vehicle operating rules, rules for maintenance, training and update briefing and adherence monitoring.

Connex have experience from different countries of making up such types of Rule Books. For normal railway operation the main Rule Book often is provided by the "Railtrack" (infrastructure management) company, but for Metros and trainways the Rule Book often is a product of the Operations Company.

For the preparation and application of the Rule Book for Mumbai Metro, Connex will use its experience from especially Rouen and Stockholm. In Stockholm Connex work under five different Rule Books (one for the Metro, two for the Metro and two for the Commuter Railways). The Rule Books in Stockholm are under the formal responsibility of the Public Transport Authority (PTA) but Connex Stockholm through its Safety Department has taken a big part in the preparation and up-date of the different Rule Books.

In the preparation of the Rule Book for Mumbai Metro Metro Connex Mumbai Metro will use its safety experience and resources from Connex UK, Stockholm and Rouen.

The Rule Book is a component of the proposed Safety Management System. The Safety Manager of Connex Mumbai Metro, directly reporting to the Managing Director, will have the full responsibility for the Mumbai Metro Rule Book.
The Safety Management System is made up of the following components:

- Policy,
- Organising
- Planning and Implementing
- Measuring Performance
- Reviewing Performance.

**General Rules**

The whole process is subject to internal management check and external audit.

The Rule Book will cover the following general rules which include:

- Glossary of terms and abbreviations
- Individual conduct
- Personal safety
- Security of premises
- Communication
- Rules for training and medical (health) examinations
- Supervision of skills, knowledge, medical demands and training
- Reporting of accidents
- Calling the emergency services
- Dealing with fallen down overhead wires
- Dealing with accidents and fatalities
- Dealing with fires and fire prevention

**Safety Rules**

Safety rules (other than VEHICLE) shall include:

- Intervention on the line
- Electrical rules, intervention on power supply equipment
- Actions in case of Fire
- Actions in case of accidents
- Working with maintenance on infrastructure
- Working with maintenance close to the Metro
- Working as a controller in the Control Rooms
- Working in degraded situations.
- Respect of procedures

Vehicle Operating Rules

Safety & VEHICLE Rules which include:

- Preparation driving of vehicles
- Entrance into and departure from depot
- Driving vehicles in the depots
- Distances to respect, e.g. switches
- Working on vehicles (maintenance)
- Driving vehicles under normal conditions
- Driving vehicles under abnormal conditions
- Driving vehicles on the line off-street
- Driving vehicles on the line on-street
- Drivers action at accidents, fires and fatalities

19.25 Training and Update Briefing

On appointment to a job covered by the Rule Book a Training Needs Analysis of the individual will be undertaken. They will receive training appropriate to their individual requirements. Rules updates and reminders (the later for example following incidents) will be briefed through a number of media, including: notices and publications, face to face individual briefings, team meetings, news letters and Intranet.

19.26 Adherence Monitoring

The Adherence monitoring will be achieved through 'management by walking about', formal management checks and audits conducted by both external and internal auditors.
Internal control and management of Connex Mumbai Metro operations is effected by means of contracts of employment between the managing director and reporting managers. The contract governs, in addition to responsibility for health & safety at work, responsibility for quality and quantity of production as well as responsibility for human resources.

Monitoring of drivers carried out internally is to ensure that safety and quality of the service will rest on four pillars:

Basic training
In-service training
Help and support
Monitoring and follow-up

In-service training chiefly takes place in conjunction with regular examinations on safety regulations, and focuses on safety, quality of service and vehicle knowledge.

Help and support will be available in the course of day-to-day operations. Personnel including instructors and supervisors, sitting in with the drivers as they work, observing them and conversing with them, provide this. Ongoing monitoring and follow-up is also carried out in the same way.

System audits

System audits are designed to ensure that everything affecting a particular area is charted and documented. Our service structure for a certain quality area is made apparent in this way. Hence it is possible to find weaknesses and get to grips with the causes of faults. The opportunity to discuss the details of the quality area from a strategic perspective is one of the most significant aspects of this. So far, Connex in Stockholm, for example, has carried out system audits relating to disruption information and the dissemination of safety information.

19.27 Protocols for Third Parties

Interaction with the outside world
Connex Mumbai Metro intends to set up tried and tested channels of communication and working partnerships with RPA and with ancillary bodies such as the police and emergency services, as well as with other relevant organisations. This will ensure that the train service operates at a high level of safety and a consistently high level of quality. There will be ongoing co-operation with the organisations listed below as well as with others:

- RPA
- VEHICLE maintenance department
- Infrastructure maintenance department
- Ticket machine maintenance department
- Police
- Fire brigade
- Ambulance service
- Relevant Road & Traffic Authorities
- Other transport operators (with regard to disruption information, alternative transport, etc.)
- Event organisers
- Schools and social services for the purpose of preventing injury and violence
- Supervisory authorities (such as the Railway Inspection etc.)
- Press and media

All relationships must be characterised by professionalism and a business-like approach as well as aspiring to contribute to the overall perspective of providing safe and reliable operation of public transport in and around Mumbai.

19.28 Transition periods / Handover to the Client

General

On completion of the Operation Period of the Works, Connex Mumbai Metro will hand over the Works to the Employer.
The works will be handed over following satisfactory conclusion of Tests After Completion to be detailed in due time.

The staff, except for the CEO, shall be taken over by the Client.

Immediately prior to completion of the Operation Period, Connex Mumbai Metro will carry out final repairs, repainting as necessary and make good any defects, if they are beyond normal wear and tear.

End of Operation Period Report

At the end of the Operation Period, Connex Mumbai Metro will provide a report containing a statement regarding the overall operational performance. This report will include information concerning the condition of the equipment, schedules of spares on the Site, outstanding repair orders together with a list of all documentation and information necessary for the operation of the Works.

Handing Over of the System to the Client

After completion of the Operation Period, Connex Mumbai Metro will transfer the whole of the System and staff (less CEO) to the Client.

Prior to the end of the Operation Period, the Client will carry out an inspection with Connex Mumbai Metro to identify any defects or damage which have occurred during the Operation Period. These will be rectified prior to the expiry of the Operation Period. All up-to-date Documentation including Operation Manuals will be submitted to the Client for approval. Connex Mumbai Metro will also submit complete Health and Safety Documentation, including the Safety File.

Take-over and Transition Plan

A well-planned and smooth phased take-over and transition of the services to the Client's control will retain the confidence of all staff, regulatory bodies and the general public. The planning process is important to avoid confusion and disruption that can occur if major change is carried out too quickly.
The Plan will ensure that complete take-over will occur in a logical and general fashion.

Connex has considerable experience in the management and transition of operations.

The key to a successful transition is to be flexible and adaptable and to be prepared to modify plans to cope with changing circumstances. Communication and information dissemination is important to ensure all employees are aware of what is happening at all times.

The Plan will incorporate the overall Business Plan Objectives into functional areas such as general management, operational aspects, financial and administration. For each area, a transition program will be developed. The main elements in developing the transition tasks are to focus on the issues such as timeframe, facilities, operational assets, ongoing work, etc.

Connex believes that its personnel will be able to offer skills and experience to the Client that will be invaluable. Consequently, considerable attention will be given to understanding and explaining the philosophy and the policies that will be adopted by the Client.

The Client will require a new CEO to be trained by Connex and meetings will be arranged to enable senior management to explain Connex’s philosophy regarding best industry practice in relation to utility management and human resources management.

19.29 Marketing Policy

Marketing policy guidelines

For the Operator and Concessionnaire, a strong, creative, informed, and results-oriented marketing initiatives are indispensable elements of a healthy transport program. Therefore, included in this proposal is a series of:

- analyses and actions designed to understand who the metro customer is, what he or she needs, and what it will take to attract others who do not ride the service.
- actions to improve the reality and the perception of the service, and ensure that the transport offer is as attractive as possible.
The main actions that we will introduce are the following:

<table>
<thead>
<tr>
<th>Market Research</th>
<th>Yearly customer satisfaction survey. Every 3 years, ad hoc research studies (origin – destination surveys, qualitative surveys including focus groups).</th>
</tr>
</thead>
<tbody>
<tr>
<td>Customer Information</td>
<td>Definition of design guidelines. Information outside and inside stops: in each stop, general network map and a route plan, map of the surrounding area, timetable posters, fare information, information about the smart card and on selling points. Information onboard trains: in each unit, route plan, posters to provide information about the Metro and Mumbai city life. Information on paper: network map with connecting points to buses, pocket guide including the fares structure, selling points, frequencies in peak and off-peak times, etc. Yearly update. Internet site: includes network information (routes, timetables, maps, optional trip planner), city information and a customer Contact section. Call center: Customer care and Information phone service including a call protocol and a follow-up protocol for complaints and feedback.</td>
</tr>
<tr>
<td>Sales and Distribution</td>
<td>Flexible ticket options according to customer needs with a focus on price incentives and combined tickets. Ticket design to project a higher quality image to passengers and raise extra revenue. Direct sales point at a commercial agency located in the city heart covering: information, loading and reloading of smart cards, ticket sales, payment of fines and lost property.</td>
</tr>
</tbody>
</table>
Communication and promotion

Definition and design of a brand for the Metro system that will be associated with values.

Communication prior to commercial start: presentation of the main milestones of the project, information and promotion meetings.

Communication at launch: information and assistance to passengers in the stops and inside the vehicles.

Passenger’s charter: outlines key statements that are the foundation of our commitment to passengers.

Internal communication: quarterly newsletter.

Customer Service Management

Customer service training: development of a customer oriented culture through information and training sessions, and active involvement in local promotions.

Customer service quality program: monitoring of passenger satisfaction, daily and monthly reports, tailored action plans to improve the service.

Marketing objectives

No transport operator can be truly successful without a thorough understanding of who its customers are and what they want, followed by the redesign of the network services to meet that demand. By clearly understanding the needs of the market (people and local conditions), the Operator will deliver the best possible service to customers, present and future.

Transport networks need to attract an increasing number of passengers by providing the most frequent, comfortable and efficient service possible. Our overall marketing objective is to design and deliver a product that can grow and evolve to meet and exceed customer expectations and the changing demands of the transport market.

Over time the Mumbai Metro system will be considered to be an integral part of Mumbai life and a realistic alternative to the car for work, school, service and leisure activities.

Under this general umbrella, specific marketing objectives will be devised. Based on our experience, here are some examples:
- Ensure an excellent image and perception of services and staff leading to a growth in patronage
- Contribute to the growth of market share in all segments through specifically designed programs and initiatives and services to meet customer values
- Encourage use of the service outside peak hours
- Ensure loyalty from customers and increase frequency of use
- Protect and improve the integration and multi-modality of transport services
- Enhance the role of the network in Mumbai's city life by creating partnerships with key organizations, event and industry bodies.

The Operator will confirm and validate these points before the commercial launch and during the operation period.

**Key target groups**

Analysis of target groups' travel experience, critical to developing and marketing attractive differentiated offers, shows that reliability, frequency, and speed are passengers’ key requirements. The Operator will develop customised messages and use appropriate and innovative media to communicate these requirements and grow metro travel in each segment.

For the purposes of this analysis, passengers have been segmented in five customer groups. There are additional smaller segments; those listed below comprise a suitable segmentation of the market for the launch period. The Operator will revisit this analysis regularly as passenger habits and attitudes change.

<table>
<thead>
<tr>
<th>Segment</th>
<th>Summary description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regular peak hour commuter</td>
<td>Five days a week, every week</td>
</tr>
<tr>
<td>Semi-regular peak hour commuter</td>
<td>Two or three days a week, every week or five days a week, but not every week</td>
</tr>
<tr>
<td>Off-peak regular</td>
<td>Students, shift workers, part-time workers</td>
</tr>
<tr>
<td>Off-peak occasional</td>
<td>Shoppers, appointments</td>
</tr>
<tr>
<td>Off-peak exceptional</td>
<td>Tourists, special events</td>
</tr>
</tbody>
</table>
We have developed and analysed a typical travel scenario for each segment, comprising what passengers think and feel as they prepare for their trip, move through the various stages of their journey, and complete their journey. Based on the results, we identified the groups' detailed travel expectations, needs, and desires. We then prioritised the results for each segment, as the basis for devising the messages that ensure our service is the most attractive to these groups.

<table>
<thead>
<tr>
<th>CUSTOMER SEGMENTATION &amp; MESSAGES</th>
<th>Peak commuter</th>
<th>Semi-regular commuter</th>
<th>Off-peak regular</th>
<th>Off-peak occasional</th>
<th>Off-peak exceptional</th>
</tr>
</thead>
<tbody>
<tr>
<td>SUMMARY DESCRIPTION</td>
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<tr>
<td></td>
<td>5 days/ wk</td>
<td>2 or 3 days/wk</td>
<td>Students,</td>
<td>Shoppers,</td>
<td>Tourists,</td>
</tr>
<tr>
<td></td>
<td>every week</td>
<td>OR 5 days/ wk</td>
<td>shift &amp; part-time</td>
<td>appointments</td>
<td>Special events</td>
</tr>
<tr>
<td></td>
<td></td>
<td>not every week</td>
<td>workers</td>
<td></td>
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<tr>
<td>EXPERIENCE REQUIRED</td>
<td>Reliable</td>
<td>Reliable</td>
<td>Reliable</td>
<td>Reliable</td>
<td>Easy</td>
</tr>
<tr>
<td></td>
<td>Frequent</td>
<td>Frequent</td>
<td>Frequent</td>
<td>How to use</td>
<td>Easy</td>
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<tr>
<td></td>
<td>Fast</td>
<td>Fast</td>
<td>Value For Money</td>
<td>How to use ?</td>
<td>Reliable</td>
</tr>
<tr>
<td>TYPE OF MESSAGE</td>
<td>Convince +</td>
<td>Convince +</td>
<td>Convince +</td>
<td>Inform,</td>
<td>Inform</td>
</tr>
<tr>
<td></td>
<td>Keep loyal</td>
<td>Encourage to use more</td>
<td>Keep loyal</td>
<td>Attract with</td>
<td>through</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>partners</td>
<td>partners</td>
</tr>
</tbody>
</table>

Built into this segmentation model is a measure of loyalty including whether customers are loyal by choice or through dependency. In the case of potential customers, it involves identifying those with a predisposition to use the metro as opposed to those who cannot or will not.
Customer information

Information is the entry point to any service. Without adequate information on a service, people generally refuse to use it. On the contrary, when people know how to use the service, it turns into a pleasant, hassle-free experience.

Potential customers must be considered as well as existing customers. Sometimes we rely on the fact that the regular rider knows what vehicle to take, where to stand, and how to pay a fare. The regular rider simply doesn’t need as much information. However, the newcomer needs adequate and easily accessible information at any given time and under changing circumstances.

This new Metro system will be first and foremost a commuter service in peak hours. This multi purpose service will cover the travel needs of shoppers, religious people, visitors and tourists. Gaining customers during off-peak is a major goal. This implies providing information over and above what might be considered to be strictly “essential”.

The Operator will provide assistance with all necessary documents to help passengers prepare for their trips. Given the various communities, information will be printed in following languages: English, Hindi and Marathi. Documents specific to the tourist market will also have text in the major foreign languages.

The following suggestions will be part of the information package, and take into account the needs of both the regular and of the potential or infrequent customer. The result of the proposed plan will:

• Guarantee the overall level of passenger satisfaction,
• Enable the metro system to be better integrated into city life,
• Increase patronage by infrequent off-peak users, especially tourists.
Information in stations

The Operator propose the following:

- A general network map with connecting points, links to other transport services of the city and suburbs, landmarks and sights (in addition to information such as parking areas, wheelchair access, etc.) will be affixed to the wall.

- A route plan will highlight the routes and show clearly all stops served by the metro leaving from each platform. It must be positioned intelligently, i.e. at the point where the customer must decide whether to go left or right. Signage to and on platforms will be adequate and easy readable.

- Large timetable posters in each stop with specific departure times or frequencies at peak hours.

- Basic fare information (prices of all ticket available on automatic vending machines) located next to the ticket vending machine. A potential customer who has never been on the Metro system before will want to have a rough idea of fare prices before he gets down to calculating the price of his particular trip.

- Clear information to explain to potential customers how to get a smart card, how it works and what are its benefits, where to load and reload it on the spot and elsewhere.

- Loudspeakers and dynamic panels will also be used to inform passengers of any disruptions to the service. The real-time information displayed will include at least: the line number, the destination of the next vehicle, the number of minutes before the arrival (or departure) of the next metro and information when there is some change regarding the operation of the Metro system. Information will be displayed in three languages: English, Hindi and Marathi.

- Information at the arrival stop, especially for visitors and tourists, the customer, leaving the metro stop, will need to know where precisely he is and in which direction he should head. At each stop, we shall therefore need:

- Platform signs with the name of the stop that are clearly visible from anywhere inside the metro vehicle,

- A map of the surrounding area,

- Direction panels to all major landmarks or places of interest, public transport connections and park and ride facilities.
Information in vehicles

The Operator will make the service user-friendly, particularly for irregular users. Passengers should be able to follow stop by stop where they are and know where they have to get off. They will feel reassured to see on-board the Metro vehicle:

- the name of the terminal stop on the exterior front panel. This information will be set automatically.
- a route plan in each carriage to check how many more stops there are before his destination. Ideally the route plan will be displayed above the doors.
- dynamic visual information through the form of illuminated panels that indicate the name of the current stop, the name of the next stop when the vehicle doors are closed, and the terminus when doors are open. The Operator will also be able to send specific messages.
- audio information, during normal operation, the system will automatically announce the next stop name upon approach of the stop. The driver and / or the customer relation staff will be able to send complementary messages regarding security or regarding the safety or the operation of the vehicle.

Other information material

Information on paper

The Operator will produce a series of paper documents to ensure that passengers have handy information packs adapted to their requirements. As in all our networks we apply strict rules on the preparation of these documents to ensure that they provide the information required by passengers in the best possible format.

The following will be part of the information package available on paper:

- Network map with connecting points to the buses,
- Pocket guide including the fares structure, methods of purchasing a ticket, information about the smart card, and background information on the metro. The pocket guide will include the Metro timetable presented in the form of frequencies in peak and off-peak times.
- Remote information, internet site and call center
Internet site

As the passenger's requirements change over time, it is extremely important for a provider of public transport to keep the service up to date. Passengers expect all service industries to use the latest technology available. We believe that urban transport networks should not be excluded. Following studies, we have noted that the demand for Internet booking and information is a very fast growing sector. To offer the maximum to our passengers, the Operator will install an Internet site for the metro network in Mumbai, that will be on line at least 2 months before the operating launch.

The Operator has experience in the preparation and the setting up of internet sites that can be easily managed by the network to ensure that the site can be updated within minutes and without having to pass by any third party.

There are three main sections. The first contains information about the network (routes, timetables, maps, a optional trip planner). The second contains everyday information where the reader can find information about the city (points of interest, events, other...). The third part is a customer contact section (linked to the call center).

Call center

The Operator will put into a place a call center that will be recognized by passengers as a customer care and information phone service. A team of 5 employees will handle calls throughout the metro days of operation.

The aim of each call will be to listen to the customer, not to convince him that the Metro system and every member of its staff are perfect. A call protocol will be introduced in order to provide the best customer service to customers. Call centre staff will be encouraged to admit errors in the service provided.
The Operator expect that the type of questions answered will be the following: times of departures, fares, how to use the service, problems encountered by the passengers, lost property, background information on the Metro system.

The call centre staff will use the internet site as their source of information to ensure that the same answer is always given to the same question. The phone number of the call center service will figure prominently on the Internet site to ensure that passengers know where to call in case they cannot find the information they want on the Internet.

Customer Relation Agents on the network will be encouraged to use the customer care and information phone service if they cannot answer a passenger’s question.

The call center service will be available for the longest period of the day possible. The Operator will need to analyse the travelling habits of Mumbai residents further to decide on the precise hours of operation but we would expect to open the lines daily from approximately 6am until 8pm. A large part of the information on the network that is available will be accessible on the Internet site 24 hours a day.

A single phone number will be communicated to customers. Calls will be handled through key pad activated menus to choose for example the language, the subject, etc. The agents will be able to speak to the customers in English, Hindi and Marathi.

The Operator can expect the high quality service of the call centre to generate excellent word-of-mouth promotion for the network. A level of satisfaction that cannot be generated by any advertising campaign will pay the investment of additional time spent on each call back.

19.31 Customer service

A focus will be made on the development of a customer oriented culture. The attitude of employees to the customers, and their ability to understand their travel needs will be a critical factor in making the service truly accessible.
A dedicated "customer service" will be introduced to meet potential and existing customers’ expectations. The Operator will appoint one person as "Customer Service Manager" to guarantee the level of service offered to passengers. Fundamental to the success of the marketing strategy is the ability of staff to welcome the customer and provide courteous, helpful service.

Successfully motivating staff to embrace high standards of customer service, and to accept their responsibility and the role they play in promoting the organisation, involves substantial efforts in terms of attitude and the overall culture of the company. Our commitment to customer service is illustrated in our approach to training, which results in high professional standards throughout Connex organisations. Our Institutes of Urban Environment in France, UK and Australia are specialised training centres operated jointly by Connex and local authorities.

As so many of our customers equate service with people, it is also important to establish a higher profile for all staff, particularly the customer relation staff. Service staff will be mobile, and actively involved in local promotions and information sessions, giving them the opportunity to interface with and assist customers. They will wear visible uniforms so that passengers can clearly identify them and their role will be clearly identified to all as providing information and assistance to customers during their journey.

Based on the particularities of the network, the staff and passengers expectations, the Operator will devise a customer service manual to explain to staff how to provide a friendly and efficient service. Gestures, attitudes, and key actions are some of the points we aim to pass on to our staff at our training sessions.
19.32 Branding and trademark

The introduction of the Mumbai Metro system will be a major event in the history of the city. It is important that the Metro system be seen as modern and dynamic and that its image reflects the values of the communities that it serves and the level of service that both residents and passengers expect. It is essential that Mumbai has a positive feeling concerning the Metro. If the Operator are to make the Metro system an integral part of the city it must have a personality or an image that people can identify with and recognize. The brand will be seen and become known by the residents of Mumbai through advertising prior to launch, through the vehicle livery, through the uniforms of the personnel and through all customer communications. Everything that represents the Mumbai Metro will have a similar look and feel. Passengers and residents will become more and more familiar with it. The vehicles will help reflect the modern image of the system using the latest technology and bringing new levels of travelling comfort. Personnel will represent the high levels of customer service that the Operator will bring. Passenger information documentation through its clear and simple design will reflect the expertise and professionalism of the operator. The Operator will associate a complete program of internal and external communication concerning the values that we wish to associate with the brand.

19.33 Communication prior to commercial operation

The heavy works that will be undertaken in the city to build the Metro system will affect the everyday life of Mumbai inhabitants and public transport riders; roadworks will be in progress until launch day, some of the of bus routes will be changed for a period of time, and traffic congestion will increase.

It is important not to miss the communication before start-up and to reassure the community of the benefits of the metro system: The Operator will put into place the following actions:

- Explain the project and give the main milestones of the project until launch. A model of the Metro system and its itinerary could be shown in different places along the route wherever possible. This action shall be well coordinated with the transport authority.
• Organize information and promotion meetings to explain in simple terms what the new network will bring in terms of concrete advantages, and answer queries. Some of our customer relations staff will take part in local promotions and information sessions to interface with potential customers.

• This action is essential as the Operator will get a direct feedback from the perception of local citizens and expectations of potential passengers, which will give us keys to devise the communication strategy.

• Communicate on work in progress on a regular basis. Special press events could be organized to release information and photographs to journalists: for instance the first stop, the first vehicle, etc.

• Start to inform our potential passengers of the new service. This will start to be done two months before launch through the metro Internet site and Information phone service.

19.34 Communication at launch of commercial operation

Timing and credibility are key as expectations are high. Our communication strategy will focus on information and assistance of passengers, while the Concessionaire on definition of the central messages of media strategy and organization of public relation activities. This launch communication will try to build on the pride that Mumbai inhabitants and visitors will feel in using a new, modern Metro service.

19.35 Information and assistance to customers in the stops

Our customer relation agents handle several tasks onboard the metro: they inform customers, help to prevent fare evasion and vandalism and safety, and control the level of cleanliness. During the commercial start the Operator will focus their role on information and assistance to passengers.
Extra staff will strengthen our numbers during the first weeks of operation. They will keep a close watch to public transport newcomers and people with difficulties. Our marketing and commercial management team will be split in the main stops to coordinate them and give a hand during launch.

The Operator will put a great emphasis on the training of staff, that we consider to be the shop window of the service. They will be trained to quickly understand people queries in order to provide an efficient, helpful and friendly service from the first day of operation.

Service staff will wear visible uniforms and badges so that passengers can clearly identify them. To inform and motivate our staff, the Operator will present to them the actions and timing of the communication campaign in advance of its implementation. This will also show our customers during launch that the level of information of our staff is consistent.

19.36 Define our communication claims

Approximately one year before the commercial start the Operator will assist the Concessionaire to develop the precise commercial launch strategy.

Communications will focus on the arrival of a new era of transport for Mumbai and a high level of customer service, as we consider that reliability, frequency, ticketing and overall information are basics that will be right beforehand.

The Operator will also the emphasis on the way to use the service and the benefits it will provide to customers. The objective from start on will also be to create a new image that will benefit the broader community.

Set up public relation activities

Public relation activities will be organized by the Concessionaire to generate positive press coverage, and the Operator will assist the Concessionaire whenever necessary.
A Press launch day will be organized approximately one week before launch and a similar event for local VIPs. All media will be invited among which national television and radio channels.

**Media relations**

The Metro operator has had a wealth of experience with the media both as a corporate company and a transport operator. A real partnership will be built with the local press to develop a long term relationship with Mumbai residents.

After launch, public relation activities will continue on a daily basis in the form of regular updates and frequent press releases particularly during the first months of operation. The information provided to journalists will deal or example with the growing number of passengers and the achievement of reliability targets or will detail the positive effect of road traffic in the area covered by the metro.

To ensure that information is readily available, a “News” section on the Internet site will list the most recent releases and provide an archive for journalists to browse. This will be a free-access section so that passengers can also read press releases.

Our Customer Service Manager will be our Press Officer. He will be the day-to-day contact for journalists with a press desk manned at all times. Our strategy is to push news to journalists and to provide honest and factual information.

In the case of an exceptional event the Operator will have procedures to react in order to reduce the impact of any major incident on the image of the network. The Operator will have a reduced hierarchical chain and co-ordination with the network through regular contact with Connex headquarters. Our Marketing and Sales Manager will be the company spokesman.

In the case of an emergency the Operator will call an immediate press conference led by the local manager in charge of the Connex communication. The principle of our communication is factual and objective, with no speculation.
19.37 On-going communication and promotion

Many of the tools prepared for launch will be used in the first three months of service. Thereon they will be adapted to take account of the fact that we are now dealing with more and more current passengers, less potential customers and a generally higher level of awareness of the network.

The internet site will be modified to promote on-going activities. The customer care and information phone service will take on its role as a customer contact service dealing with all communications with passengers.

The propositions below are based on real-life initiatives that the Operator has brought to existing customers all over the world. These few examples are meant to illustrate the type of creativity and initiative we bring to any project. The Operator believe that the actions below will help us to attract new customers and encourage the loyalty of existing customers.

19.38 Customer relationship

In the first year, relationships will be established with our clients to ensure the best possible “feel-good” factor associated with the network, good word-of-mouth promotion and of course to increase both income and frequency of use.

Once the customers become regular riders, a key communication tool is relationship marketing: regular passengers will know that we appreciate their loyalty and we will encourage semi-regulars to use our services more by providing them value-added benefits. The inception of the smart card will provide the necessary tools to offer a customer relationship program in which customers can enroll.

Discount travel is offered with bulk purchase. The Operator will team up with some of Mumbai’s leading companies to bring passengers exclusive discounts offers through the program on shopping, restaurants and entertainment.
An essential issue for the concept to be successful is communication and promotion. Posters will be displayed at all stops, and if necessary a direct mail campaign may be undertaken through a mailing list purchased covering for example companies close to the metro route.

From a passenger's point of view the Operator can clearly demonstrate that we are keeping them better informed and that there is a real advantage in communicating detailed personal information to us. If successful, these passengers would start to understand the real value of relationship marketing programs.

19.39 Market research program

The Operator will undertake extensive quantitative and qualitative research to refine marketing strategies. There will be an on-going program of focus groups and community forums with specific market segments including non-users. The Operator considers that this process is a good way to encourage innovation and deliver a better quality of service.

The focus group discussion will focus on participants' perceptions of an ideal metro service and identification of service characteristics that would influence their decisions to use the service, or to use more frequently.

These data would be analyzed and also compared to the data of existing ridership and performance standards. A series of recommendations will be developed to encourage service use by non-users, attract new riders, increase ridership, and engender customer loyalty.

Maintenance Plan

19.40 Introduction

The primary function for the maintenance of the system is to ensure a safe and reliable operation and function of all systems of the Metro system. For all subsystem an appropriate maintenance plan and schedule will be designed and implemented. The mayor subsystems are:
• Electric Multiple Unit
• Ticket Vending Machines
• Track
• Substations and Overhead Catenary System (OCS),
• Control Systems (SCADA, train on-board systems, etc.)
• Signalling
• Communication System (radio, passenger announcement, telephone, etc.)
• Station and Station systems (includes escalators, lifts, lighting, etc.)
• General Infrastructure,
• Depots and other Structures,
• Security systems (CCTV, fencing, emergency phones),
• Electrical distribution,

Utilising the experience and knowledge that Connex has acquired over many years managing maintenance activities, Connex has prepared an approach to the Maintenance which will benefit the passengers, MMRDA, our consortium and us as Operator.

During the Development Period each individual plan shall be consolidated in the System Maintenance Plan, which shall be used to manage the maintenance requirements for the system, taking into account the necessary preventive maintenance necessary to ensure the highest possible availability of the subsystems relevant for the operation of Mumbai Metro One. For each subsystem a detailed maintenance plan shall be developed and implemented.

During the realisation of the project it will be decided which tasks shall be executed by the own staff or which shall be outsourced. These decisions will be taken according the technical and operational feasibility of the envisaged tasks by contracting experienced firms for the maintenance of certain subsystems.
19.41 Maintenance Philosophy

To ensure an efficient operation the approach to maintenance shall be pro-active with the purpose to guarantee during the whole contract’s period in every moment a safe and reliable operation of the system including – amongst others – also the following services:

1. Responsibility for the maintenance of all systems of the Metro system
2. Preventive maintenance of all systems as foreseen in the producer’s technical handbook
3. Corrective maintenance of the systems including troubleshooting and failure elimination, repair/exchange or substitution of faulty components and replacements.
4. Prescribed overhaul of the EMUs and other systems during the contract’s period of 30 years and the foreseen overhaul works.
5. 24-hour a day and 7-days a week phone hotline for assistance of train drivers and operational management in case of technical trouble during operation.
6. Set-up and updating of the maintenance history of all systems.
7. Certification of the maintenance organisation according to ISO 9001 within one year form beginning of the activity as well the necessary successive audits during the train operation contract’s period.
8. Periodical inspections of the systems and especially of the vehicles following the indications of the authorities and the manufacturer.
9. Engineering-support from the manufacturers.
10. Continuous updating of the maintenance documentation.
11. Continuous training of the maintenance staff.
12. Operation and up keeping of the maintenance workshop and its equipment.

All maintenance activities detailed in the System Maintenance Plan will be performed to ensure its compliance with the Indian legislation.

The extensive experience of Connex in direct maintenance management and contract maintenance management places us well to overcome any problems in the maintenance area, of these arise in the future.
19.42 Maintenance strategy

The implementation of a System Maintenance Plan underpins our approach to this responsibility and will ensure all maintenance is performed to the levels required especially for the vehicles and infrastructure.

The primary strategy to be used is Preventative Maintenance. By establishing and following a program of inspection and replacement (before failure) most disruptions to the System will be avoided. For each subsystems detailed records for all maintenance activities will be kept and monitored.

The maintenance plans for each subsystem will be periodically reviewed and discussed with the manufacturer if necessary.

The Maintenance Strategy will be managed by the Technical Engineer during the Development Period. The Technical Engineer will have the resources and appropriate skills to ensure the successful performance of the System Maintenance Plan. During the Development Period and Operation additional resources will be provided from Connex's operations worldwide as required.

19.43 Safety

Safety is very important to Connex. All relevant safety parameters and performances will be checked and verified by the Safety Engineer. The Safety Engineer shall ensure the safety of the System and satisfy the requirements of the System's Safety Case. In detail these responsibilities include:

- The approval and acceptance of assets and systems into service, most notably during the mobilisation period;
- Assisting in the integration and configuration control for all the assets of the System;
- Proper maintenance of the assets of the System;
- Maintenance of the safety assurances, accreditation and documentation associated with the assets of the System;
• The specification of competency requirements of staff and contractors to work on the safety critical assets of the System and the development of associated licensing and training programmes.

It is essential to the safety of the System that suitably trained and qualified personnel continue to be employed in the maintenance of safety critical assets. To this aim the following actions will be taken:

• In order to ensure that only suitably qualified and experienced staff are employed in the maintenance of the assets of the System, we shall ensure that all maintenance staff will receive appropriate training. The training will be based upon a training matrix which details each of the competencies required of a Technician when undertaking safety critical works as recommended by the equipment manufacturers. This will be allied to a scheme of annual re-evaluation of staff competencies and a programme of quality audit to ensure adequate standards are being maintained;
• Consideration will be given to adoption of a scheme of accreditation based upon the Institute of Railway Signal Engineers, as a basis for licensing S&T Technicians;
• All contractors employed upon the System will be subject to the same licensing requirement as directly employed staff.

19.44 System Disruptions

Special procedures, established in the Development Period will be implemented in those instances when a planned or unplanned maintenance activity will cause a disruption to the System operation. Such activities would include:

• Track repairs,
• Catenary repairs,
• Substation repairs,
• Road works in the street sections.
• Third party civil construction.

Subject to meeting environmental requirements work will be performed in either off-peak periods or at night during non-operating periods. All such maintenance activities will be planned well in advance.
Spare Parts

Another important aspect of the Maintenance Strategy will be to monitor and manage the Spare Parts inventory for all areas of the System. These parts will initially be provided under the System Contracts during the erection phase.

Condition Index

To ensure that the assets are maintained throughout the period of the Operating Concession Connex will establish a Condition Index, linked to the Asset Database.

Assets will be maintained to acceptable Condition indices to ensure that at the end of the Concession the assets can be returned to MMRDA in an acceptable condition based on age, fair wear and tear.

Asset Stewardship

The Asset Database produced in the Development Period will be reviewed continuously and updated to reflect changes in the System so as to remain current and valid. Monthly assessments will be performed to ensure its integrity for reflecting the present status of all assets in their respective maintenance life cycles.

19.45 Maintenance organisation

The maintenance organisation takes into account the different tasks to be performed. One group will be responsible for the vehicles and a second group for line and stations. The vehicle group will be active mostly in the Versova workshop and be responsible for the preventive and corrective maintenance as well as for the overhauls of the vehicles. The Line and Station’s group will be responsible for the preventive and corrective maintenance as well as for the overhauls of all equipment installed in the line, track, overhead lines, power supply, signalling, telecom as well as in stations like ticketing machines, CCTV, etc.
Line and Station Group
The maintenance team is responsible for the following maintenance tasks:

- Management of all tasks and activities in their field
- Spare parts management
- Station & Infrastructure maintenance
- Civil and Architecture, Builder's Works and Finishes
- Electrical & Mechanical System such as lifts, escalator, air-conditioning, ventilation, plumbing and drainage, etc.
- Automatic Fare Collection System
- Signalling system
- Telecommunication such as radio, CCTV, telephone, network management, SCADA

Vehicle Maintenance
The maintenance team is responsible for all rolling stock used in the Metro system and covers the following tasks:

- Management of all tasks and activities in their field
- Spare parts management
- Preventive maintenance
- Corrective maintenance
- Workshop equipment maintenance

Spare parts procurement will be carried out by a common department responsible for the procurement of all spare parts and third party supplies required for the operation of the Metro system.

The decision about the possible outsourcing of maintenance activities to manufacturers of the subsystems or to specialized contractors will be taken at a later stage during the execution of the project. During the planning phase all activities will be planned and the required service level defined.

Connex has a vast experience in the management of outsourcing contracts in various projects. This experience shall be the base for the definition and organization of the possible outsourcing activities.
Staffing

Staffing requirements for all departments and groups is based on a 3 shift operation to ensure a continuous service level. During the initial operation phase of the Metro system, whilst the systems and equipments are recently installed and not yet all child problems are being cured, shift pattern and staffing will be denser than when the system is stabilized. After 12-18 months shift patterns and staffing shall be reviewed and adapted by taking into consideration the experiences of the initial operations phase.

As well as for Line and Stations Maintenance as well as for Vehicle Maintenance a Maintenance supervisor will be responsible during each shift for the coordination and execution of the works. The Supervisors will report to the Maintenance Engineer, which will be the overall responsible for the respective field.

The initial team for the Line and Stations Maintenance includes the following staff, assuming the outsourcing of the maintenance tasks to specialised contractors or the manufacturer of the equipment:

<table>
<thead>
<tr>
<th>Staff</th>
<th>Skill Set / Resources</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maintenance Engineer</td>
<td>Direct report to the Station Manager for the maintenance issues.</td>
</tr>
<tr>
<td>Maintenance Supervisors</td>
<td>Responsible for the day to day station / mainline maintenance activities, including cleaning of stations</td>
</tr>
<tr>
<td>Civil/ABWF Technicians</td>
<td>Multi-skill in Civil / ABWF</td>
</tr>
<tr>
<td>E&amp;M Technicians</td>
<td>Multi-skill in M&amp;E systems</td>
</tr>
<tr>
<td>Sig. &amp; Comms. Technicians</td>
<td>Multi-skill in Sig. &amp; Comms</td>
</tr>
<tr>
<td>AFC System Technicians</td>
<td>To be responsible for the AFC</td>
</tr>
</tbody>
</table>

The maintenance team will be carrying out the supervision of the maintenance contractors who are responsible for maintaining all assets on the system.
Vehicle Maintenance

The shift regime in the workshop will be different for the people working in daily maintenance and the ones involved in the overhaul of the vehicles. Preventive and corrective activities in daily maintenance will be carried out in a 3 shift pattern and overhauls in a normal day shift pattern.

The Maintenance Engineer will be responsible for all activities regarding the maintenance of the vehicles and the operations of the workshop and depot. Daily maintenance will be supervised by the Maintenance Supervisor on duty, which will be working in 3 shifts. The Overhaul supervisor will work only 1 shift. Because overhaul planning and execution starts only after 3 years this person will assist the Maintenance Engineer and the Maintenance Supervisors in various tasks. The Technical Supervisor and Assistants will be responsible for planning, dispatching and quality control and report directly to the Maintenance Engineer. There will be also depot drivers on duty during 24 hours a day, which will report to the Maintenance Supervisor on duty.

Cleaning of the vehicles will be performed at the workshop and shall be outsourced to a specialized contractor.

19.46 Quality assurance

The maintenance organisation shall be certified according to ISO 9000. Base for the process definitions and descriptions shall be the already implemented ISO 9000-systems of other Connex maintenance activities around the world.

Planning

For planning, follow-up and monitoring purposes specialized maintenance software shall be introduced already in the construction phase. During the test phase of all systems the processes can be tested and adapted in order to ensure a maximal support of the staff of all levels by this software.
Major Overhauls & Refurbishment

To retain condition of station & infrastructure assets in a way that it enable the Metro system to run an optimum operation to achieve a high customer satisfaction.

The plan aims to achieve the following key goals:

- Changing out of assets/components at optimum timescales,
- Minimising disruption during change-outs by planning resource and asset usage,
- Maintaining assets to a high level thereby reducing the need for unexpected change-outs,
- Keeping abreast of new technologies being used within the industry to enable the MRTS to upgrade the assets in line with industry norms and therefore handing back the system with reduced obsolescence,
- Asset management allowing hand-back of systems at the end of the concession period to be completed with all systems in a good operational condition.

The overhauls & refurbishment details and approach try to demonstrate that the Metro system has considered asset condition throughout the Concession Period paying due regard to the safe operation of the assets and the ability to retain performance of the assets at the high levels required within by the Agreement. Where histories of the asset conditions are not available, the overhaul & refurbishment programme is based on industry norms used by other railway companies.

The overhaul and refurbishment of components and systems will be driven by the inherent design and utilisation of the system concerned, however the maintenance organisation will ensure that the principles of reliability-centred-maintenance are applied to each overhaul & refurbishment programme. This will ensure that each component, system and subsystem is overhauled at optimum interval and in the most effective manner.

Sufficient resources will be put in place to ensure that all systems, subsystems and components are overhauled at the optimum time and with the minimum disruption to the operational service and passengers.
Generic Overhaul & Refurbishment Requirement

<table>
<thead>
<tr>
<th>Station &amp; Infrastructure System</th>
<th>Activity</th>
<th>Expected Period</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Replacement of switches and counter-switches</td>
<td>10 years</td>
</tr>
<tr>
<td></td>
<td>Replacement of switch hearts</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Servicing of switch motors</td>
<td></td>
</tr>
<tr>
<td>Tracks &amp; Track Equipment</td>
<td>Replacement of guidance rails in some sections</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Replacement of OHE support arm</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Replacement of guidance rails</td>
<td>15 years</td>
</tr>
</tbody>
</table>

| Other Station & Track Equipment | General servicing of safety rails                   | 10 years        |
|                                 | Replacement of track transmission cables            |                 |
|                                 | Replacement of track equipment travel and micro-switches |             |
|                                 | Servicing of emergency sensors                      |                 |
|                                 | Replacement of certain video cameras                |                 |
|                                 | General servicing of fire detection system          |                 |
|                                 | Replacement of safety blocks (safety lighting)      |                 |
|                                 | Replacement of electronic cards caused by obsolescence. |             |

<table>
<thead>
<tr>
<th>Automatic Fare Collection System</th>
<th>General servicing of distributors and validation machine</th>
<th>10 years</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Modifications as required subsequent to change of coinage</td>
<td></td>
</tr>
<tr>
<td>Station &amp; Infrastructure System</td>
<td>Activity</td>
<td>Expected Period</td>
</tr>
<tr>
<td>---------------------------------</td>
<td>------------------------------------------------------</td>
<td>-----------------</td>
</tr>
<tr>
<td>Facilities</td>
<td>Refurbishing of offices, meeting rooms, rest rooms.</td>
<td>13 years</td>
</tr>
<tr>
<td>Signaling, E&amp;M Installations</td>
<td>Re-working of signaling system</td>
<td>10 years</td>
</tr>
<tr>
<td></td>
<td>Revision of air-conditioning installations</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Correction of station accesses</td>
<td></td>
</tr>
<tr>
<td>Station Finishing Work</td>
<td>Repairs depending on state of paint and wall coverings and signage</td>
<td>10 years</td>
</tr>
<tr>
<td></td>
<td>Reworking of flooring according to materials and conditions</td>
<td></td>
</tr>
<tr>
<td>OCC</td>
<td>Complete renewal of the process computers, associated software, operator’s equipment in the control room such as consoles, videos, keyboards, etc.</td>
<td>13 years</td>
</tr>
<tr>
<td>Management data processing, Operation and Maintenance Aid</td>
<td>Renewal of PCs and associated software</td>
<td>10 years</td>
</tr>
</tbody>
</table>
19.47 Electrical Multiple Units

Routine Maintenance

As the electrical Multiple Units (EMU) represents one of the essential elements in the success of the System operation meticulous attention will be given to the maintenance of the EMUs so they are maintained to the levels required to meet availability and reliability performance requirements.

The EMU maintenance organisation will ensure:

- Routine services are performed on time.
- All necessary inspections are completed in accordance with the vehicle maintenance plan.
- Appropriate tests and repairs are completed in accordance with the maintenance schedules.
- Suitable records are maintained detailing the maintenance history of every individual EMU.

To ensure the reliability and availability of the EMU fleet a preventative maintenance philosophy will be employed. Based on the proven techniques developed by Connex from other similar operations and using the EMU manufacturer’s documentation Connex can ensure that the vehicle maintenance will be carried out for each EMU as required.

Rolling stock maintenance programme

The concept for the maintenance of the EMU includes all preventive and corrective maintenance work for the whole fleet for the period of 30 years from the beginning of operation. This includes also the wheel turning – depending on mileage – and all overhauls that occur during the contract’s period.
In our bid we have foreseen that the a new work shop in Versova will be build to carry out the maintenance activities in the best way. The workshop infrastructure includes also the installation of a through passing external train wash plant.

With the purpose to guarantee during the whole contract's period in every moment a safe and reliable operation of EMUs, in the offer are included – amongst others – also the following services:

1. Responsibility for the maintenance of the fleet
2. Set-up of the EMUs for the daily operation as foreseen in the rostering schedule
3. Internal and external cleaning of the EMUs
4. Preventive maintenance of the EMUs as foreseen in the producer's technical handbook
5. Corrective maintenance of the EMUs including troubleshooting and failure elimination, repair/exchange or substitution of faulty components and replacements.
6. Prescribed overhaul of the EMUs during the contract's period of 30 years and the foreseen overhaul works.
7. Shunting and set-up of the vehicles inside the workshop and the yard where the vehicle cleaning takes place.
8. 24-hour a day and 7-days a week phone hotline for assistance of train drivers and operational management in case of technical trouble during operation.
9. Set-up and updating of the maintenance history of all vehicles.
10. Certifying of the workshop according to ISO 9001 within one year form beginning of the activity as well the necessary successive audits during the train operation contract's period.
11. Periodical inspections of the vehicles following the indications of the authorities and the manufacturer.
12. Engineering-support from the vehicle producer.
13. Continuous updating of the maintenance documentation.
14. Continuous training of the maintenance staff.
15. Operation and up keeping of the maintenance workshop.
Preventive maintenance

Our bid includes the complete preventive maintenance of the EMUs. Based on the producer's maintenance handbook all necessary operations will be carried out in the maintenance workshop to guarantee a smooth train operation and the necessary operational readiness of the entire fleet.

Following our experience, that we gained in many railway operations all over the world, a daily visual inspection of the EMU will be carried out before commencing the daily operation. Additionally a detailed visual inspection of all EMUs will be carried out once a week. Doing so its possible to guarantee operational safety at any moment.

The maintenance matrix of a 4-car EMU are defined as follows (indicative figures):

<table>
<thead>
<tr>
<th>Description</th>
<th>Term</th>
</tr>
</thead>
<tbody>
<tr>
<td>V0</td>
<td>Weekly</td>
</tr>
<tr>
<td>V1</td>
<td>15'000 km</td>
</tr>
<tr>
<td>V2</td>
<td>30'000 km</td>
</tr>
<tr>
<td>V3</td>
<td>75'000 km</td>
</tr>
<tr>
<td>V4</td>
<td>150'000 km</td>
</tr>
</tbody>
</table>

The overhaul and re-profiling patterns are defined as follows (indicative figures):

<table>
<thead>
<tr>
<th>Description</th>
<th>Term</th>
</tr>
</thead>
<tbody>
<tr>
<td>R0</td>
<td>200'000 km</td>
</tr>
<tr>
<td>R1</td>
<td>750'000 km</td>
</tr>
<tr>
<td>R2</td>
<td>1'500'000 km</td>
</tr>
<tr>
<td>R3</td>
<td>2'250'000 km</td>
</tr>
</tbody>
</table>
Corrective maintenance

In the scope of work all operations of corrective nature for the fleet of the EMUs, in other words those operations that occur in an un-programmed way. The maintenance offer includes in particular:

- Trouble shooting and elimination in all stations of the network of the tender specification
- 24-hour a day and 7-days a week phone hotline for assistance of train drivers and operational management in case of technical trouble during operation or for further questions
- Repair of damages of the EMUs and the internal decoration

All spare parts and all material which is necessary for carrying out the above mentioned operations, as well as its procurement and storage, will be carried out by the maintenance organisation. For maintenance and overhauls may use for the maintenance new, used, repaired or overhauled components or subsystems.

All terms have allowances to permit, that for any vehicle the corresponding activity can be carried out accordingly to the operational situation and its mileage. The execution of the maintenance operations will be planned by the maintenance workshop in coordination with the train operation management to allow coordinate the maintenance operations with the roster planning.

Major overhauls

Major overhauls of the systems will be performed according to the condition of the equipment and the definitions of the maintenance plan. To carry out the involved works the workshop will be equipped with the necessary machines and tools.

The execution of the overhaul works will be planned by the maintenance workshop in coordination with the train operation management to allow coordinate the overhaul operations with the roster planning.
Cleaning of the EMU

Internal cleaning

All EMUs will be cleaned daily and prepared for operation in the maintenance workshop. Additionally, there will be a periodical cleaning.

The internal cleaning schedule terms are defined as follows (indicative figures):

<table>
<thead>
<tr>
<th>Denomination</th>
<th>Term</th>
</tr>
</thead>
<tbody>
<tr>
<td>Punctual cleaning</td>
<td>daily</td>
</tr>
<tr>
<td>Basic cleaning</td>
<td>weekly</td>
</tr>
<tr>
<td>Basic cleaning 1</td>
<td>Every 30 days</td>
</tr>
<tr>
<td>Basic cleaning 2</td>
<td>Every 120 days</td>
</tr>
</tbody>
</table>

Punctual cleaning – every day with the following tasks carried out at the workshop:

- Internal cleaning
- Supply of expendable materials

Basic cleaning – every week with the following tasks carried out at workshop:

- Cleaning of windows and frames
- Cleaning of glass dividing walls
- Wet cleaning of seats

Basic cleaning 1 – every 30 days with the following tasks, which complete those of the inferior level:

- Basic floor cleaning
- Washing and drying of ceiling, walls, lamps etc.
- Washing of waste bins
Basic cleaning 2 – every 120 days with the following tasks, which complete those of the inferior level:

- Cleaning of dividing walls
- Hand cleaning of coach body and front ends
- Cleaning of luggage rack

If required the vehicles will be cleaned also during the day in case of unforeseen events.

The preliminary planning of the cleaning activities during the night in the depot is as follows:

External cleaning

The external cleaning of all EMUs is carried out every 7 days – normally together with the maintenance level P0 – in the through passing wash plant.

EMU Failure

Occasionally a component or sub-system on an EMU will fail. Under such conditions our Failure Management Plan will work to limit the disruption to operations and ensue the appropriate corrective maintenance steps are taken, be they Emergency Running or Depot repairs.

The quick overcome of events that cause railway operation interruptions is of central interest for the operator in order to achieve a high customer satisfaction. Therefore the customers Mainly in situations like this the functional connections inside the organisation express entirely its value. The effective work of the emergency management envisages a close and constructive collaboration between the departments of:

- Infrastructure management
- Passenger Information
- Sales department
- Maintenance management
- Vehicle management
- Train driver and train chief staffing management
In case of interruptions the client's satisfaction can increase remarkably providing specific and for the client's need adequate information. To regulate the collaboration and to guarantee the most important functions – which can easily be forgotten in the stress to overcome the interruption – it's necessary to describe the flows in a process diagram and to introduce it in the organisation.

Emergency management

The purpose of the interruption management is to overcome the events in an efficient and effective way increasing the client's satisfaction.

- Event
- Event report

- Elaborate event / report
- Alarm
- Inform
- Elaborate solution and put it in practice
- Learn and improve
- Overcome event
- Reporting and precepts

This work is supported by the following communication means and documents:

Communication means:

- Phone
- Mail
- PAGER
Documents

- Alarm list (instructions for what to do in case of interruption)
- Information list (who must be informed in case of which kind of event)
- Daily report
- Events report

Quality measurement

The following markers will be useful for the interruption management process evaluation and the quality evaluation:

- Punctuality values
- Number of cancelled trains
- Client's satisfaction

Emergency prevention programme

The emergency programme starts with the adoption of adequate procedures of early failure diagnostics and a very accurate preventive maintenance, which is able to minimise the failures that compromise the regular passenger service operation. Here below is illustrated at first the repair strategy and then the procedures to adopt in case of unforeseeable emergencies.
The repair strategy is based on the diagnostic maintenance, which is subdivided in the three phases listed up below for the vehicles’ activity, utility and condition. The following example shows preliminary work plan for the EMU:

<table>
<thead>
<tr>
<th>Phase</th>
<th>Activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>During the operation:</td>
<td>Formulation of diagnosis</td>
</tr>
<tr>
<td>- during the run</td>
<td>Punctual cleaning for the</td>
</tr>
<tr>
<td>- during the stop at terminus</td>
<td>client</td>
</tr>
<tr>
<td>During the programmed</td>
<td>Maintenance</td>
</tr>
<tr>
<td>parking:</td>
<td>Basic cleaning</td>
</tr>
<tr>
<td>- during the overnight parking</td>
<td>External cleaning</td>
</tr>
<tr>
<td>- during the longer daytime</td>
<td>Waste management</td>
</tr>
<tr>
<td>parking</td>
<td>Repair</td>
</tr>
<tr>
<td>On the EMU out of service</td>
<td>Greater maintenance</td>
</tr>
<tr>
<td>- during the overnight parking</td>
<td>Deeper cleaning</td>
</tr>
<tr>
<td>- during the longer daytime</td>
<td>Wheel treatment</td>
</tr>
<tr>
<td>parking</td>
<td>Revision</td>
</tr>
</tbody>
</table>

Failure management

The intervention procedure starts with the train driver’s or train chief’s report and brings through consultations between these, the maintenance operation management and the vehicle operation management to an evaluation of the failure’s seriousness and a decision about the intervention to choose.

The execution of small interventions out of the Gallarate workshop is based on an assistance car with the necessary spare parts and the most important equipment. The staff entrusted for these interventions avails itself of the infrastructure access right at the most suitable site for a repair, which is able to bring re-establish the full operation in less time as possible.
The execution of repairs of different extent, which cannot be carried out in concomitance and/or during the periodical maintenance, is articulated following a three level programme:
1. Level: Simple repairs

The 1. level includes the execution of repair operation in the Versova workshop as well as in external sites. Therefore it's necessary that the maintenance staff can be employed also out of the Versova workshop. In this phase the following activities are carried out:

- Failure analysis and diagnosis
- Failure elimination according to the capacity (of space, time and spare parts)
- Service of technical assistance (motor power control)

Assuming the outsourcing of cleaning operations, an ulterior employment is planned:

- Inspection of the cleaning operations

2. Level: Complex repairs

Before beginning with complex repairs, the vehicle must be put of service. This putting of service will be accorded between the actors – infrastructure management, vehicle management, train drivers management, maintenance management – and carried out than. After that the repair will be carried out in workshop as soon as possible. If possible, the workshop of Versova will be used therefore.
3. Level: Great repairs

The vehicles, which have failures that need great repairs, must be substituted in their service. This will be accorded between the actors – infrastructure management, vehicle management, train drivers management, maintenance management – and carried out than. If the expected repair should exceed the capacity or faculty of the Versova maintenance workshop, it will be carried out externally in specialised repair workshops.

19.48 Track

Regular maintenance of the Track will be conducted in accordance with the Track Maintenance Plan. This Track Maintenance Plan will be incorporated into the System Maintenance Plan during the Development Period.

Routine maintenance activities will be planned to limit any disruption to the operation of the System with the highest level of attention applied to ensure the safety of any maintenance employees working on or near the track.

An unexpected failure on the System will be managed in accordance with our Failure Management Plan.

In general, most routine maintenance tasks will be performed in the off-peak periods and at night at the cessation of normal service operations.

The Track Maintenance Plan will also include the 6 monthly tasks to measure noise and vibration. Based on the results corrective action will be taken.

The following tasks will be carried out in the track maintenance:
- Preventative grinding of the track as appropriate.
- Regular machining of the EMU wheels in the work shop.
- Regular servicing of the flange lubricators on the EMU.

All track regions and associated surrounding areas will be kept clean and tidy. These activities form part of the Track Maintenance Plan.
19.49 Power System

Regular maintenance of the Power System will be conducted in accordance with the Power System Maintenance Plan, which will be developed during the construction phase. This Power System Maintenance Plan will be incorporated into the System Maintenance Plan during the Development Period.

Overhead Catenary System (OCS)

Routine maintenance activities in respect of the Overhead Catenary System (OCS) will be planned to limit any disruption to the operation of the System with the highest level of attention applied to ensure the safety of any maintenance employees working on or near the OCS.

An unexpected failure of the OCS will be managed in accordance with our Failure Management Plan.

In general, most routine maintenance tasks for the OCS are inspection based and can be performed in the off-peak periods or at night at the cessation of normal service operations. However, some tasks will require the isolation of the power to the OCS for short periods. In these circumstances such work will be performed at night when normal operations have ceased but under the direct control of the Operator.

Sub-Stations

Routine maintenance activities in respect of the Sub-Stations will be planned to limit any disruption to the operation of the System with the highest level of attention applied to ensure the safety of any maintenance employees working on or near a Sub-Station.

An unexpected failure of a Sub-Station will be managed in accordance with our Failure Management Plan.

In general, most routine maintenance tasks for the OCS are inspection based and can be performed in the off-peak periods or at night at the cessation of normal service operations. However, some tasks will require the isolation of the power from a Sub-station for short
periods. In these circumstances such work will be performed at night when normal operations have ceased but under the direct control of the Operator.

19.50 Communication and Information Equipment

Regular maintenance of the Communication and Information Equipment will be conducted in accordance with the Communication and Information Equipment Maintenance Plan. This Communication and Information Equipment Maintenance Plan will be incorporated into the System Maintenance Plan during the Development Period.

Routine maintenance activities in respect of the Communication and Information Equipment will be planned to limit any disruption to the operation of the System with the highest level of attention applied to ensure the safety of maintenance employees.

An unexpected failure of the Communication and Information Equipment will be managed in accordance with our Failure Management Plan.

In general, most routine maintenance tasks will be performed in the off-peak periods and at night at the cessation of normal service operations.

In addition, Connex LUAS will ensure that the Asset Database is adjusted in accordance with any work done in this areas and monitor closely the Spare Parts Inventory as spares are used for replacement.

19.51 Buildings

Regular inspections of the buildings and structures of the System will be conducted in accordance with the Buildings and Structures Maintenance Plan. This Plan will be incorporated into the System Maintenance Plan during the Development Period.

All buildings and structures will be maintained in a condition to meet regulatory and safety requirements.
Source: Connex Light Rail Operation Sydney, Aust.

Cleaning will be included in the Buildings and Structures Maintenance Plan and comprises:

- Keeping System paths clean of debris and litter.
- Removal of all rubbish (including the emptying of litterbins).
- Keeping the surrounding areas of all buildings and structures clean of debris and litter.

All work shall be performed to limit any disruption to the System operation or road users.

19.52 Security Systems

Regular maintenance of the Security Systems will be conducted in accordance with the Security Systems Maintenance Plan. This Security Systems Maintenance Plan will be incorporated into the System Maintenance Plan during the Development Period.

Routine maintenance activities in respect of the Security Systems will be planned to limit any disruption to the operation of the System with the highest level of attention applied to ensure the safety of maintenance employees.
An unexpected failure of the Security Systems will be managed in accordance with our Failure Management Plan.

In general, most routine maintenance tasks will be performed in the off-peak periods and at night at the cessation of normal service operations.

Connex will ensure that the Asset Database is adjusted in accordance with any work done in this area and monitor closely the Spare Parts Inventory as spares are used for replacement.

Access to the Depot sites, in particular the Central Control Room, will be restricted to authorised personnel only. This will be managed by the strict issue of passes and surveillance of usage.

Incidents of vandalism and intrusion will be reported to the local police authorities for their follow-up action.
CHAPTER – 20

DESIGN PROCESS

20.1 Project Definition Documentation

20.1.1 Our experience has show that where a clear and unequivocal common understanding on the scope of works and customer requirements can be arrived at then both Client and Supplier can enhance the value for money and reduce costs of contract supply. The process of project definition, now regularly applied by MTRCL, provides a definition of objectives and service requirements which is mutually agreed between Client and Supplier, before entering into the technical design of the system.

20.1.2 In the initial stages of this process it is recommended that MTRCL with it’s wide experience in Railway Operation sits with the Supplier to develop a description of the contract objectives and to subsequently detail the functional and service requirements of the system to be supplied.

20.1.3 Following this the supplier would review with the Client the definition of requirements to reach a binding description on the contract requirements on which the design and delivered system would be based. It is proposed that MTRCL could develop this definition with the Client and/or the supplier and that these deliverables would improve both Client and Supplier understanding as to the supplied system. A brief outline of the process follows:-

20.1.4 Project Objectives

20.1.4.1 This is the high level mission statement which outlines a Project’s overall parameters and the objectives of the final product. This document is signed by the Client and is project specific.

20.1.5 Service Requirements

20.1.5.1 The key customer objectives are addressed, including normal and “down graded” operating conditions, operation of irregular modes and emergency configurations of the system. The information outlined in the Service Requirements Documents is project specific.
20.1.5.2 A detailed Customer Service Requirements List is included as an Appendix to the Service Requirements Document should that be required by the Client. This list includes measurable performance standards to be achieved for items such as operational cycle, reliability, accessibility, etc.

20.1.5.3 The design patronage of the railway is usually included as a further Appendix, which provides information to be used as a basis for evaluating the maximum and minimum capabilities of the systems to be supplied. A Schedule of Accommodation defining the type, purpose and size of all spaces required to house system apparatus could be provided as a further appendix.

20.1.6 Functional Requirements

20.1.6.1 This document defines the functions for the software design. It defines the role of the equipment in the control and operation of the line and the degree of human intervention. It defines the contribution the system makes to achieve a safe, successful, reliable and efficient railway. Description of points of interface and system integration could be included.

20.1.6.2 This document is organized by discipline. Typically there are sections covering environmental performance, electrical and mechanical systems and system assurance. Each section contains a series of functional statements, which together constitute a description of the system and an explanation of how the system meets the Service Requirements under each of the operational conditions described in the Service Requirements. Normal and abnormal conditions are specified and the contribution of each system under various failure modes is covered. Each functional statement is referenced to the relevant requirement specified in the Service Requirements.

20.1.6.3 The Functional Requirements are also project specific.

20.2 Design Management Objectives

20.2.1 The primary objective of design management is to manage the process of producing a coordinated design for a project, such that the objectives and requirements as defined in the Project Definition Documents can be achieved. This design is to be described with sufficient clarity in working drawings and specifications, such that construction may proceed without hindrance. Essential elements to be addressed during design management are:
a) Achievement of the project objectives for design as stated in the Project Definition Documents:

This shall be achieved by selection of competent, experienced designers, clear definition of the Client’s requirements, pro-active design management and regular design checks, reviews and audits throughout the design period. There will be a combination of internal checking and reviews by the designers, and external reviews and audits by suitable qualified personnel.

b) Design cost-effectiveness:

This shall be achieved by clear definition of project objectives, use of appropriate, tried and proven design solutions, pro-active design management and regular reviews and reporting of estimated costs.

c) Adherence to design program and buildability of the design:

These are essential components in achieving the overall project objective of completion on time and within budget. Adherence to the design program shall be constantly monitored and reported at regular internals. Ease of construction, or buildability, is an essential feature to be addressed in all design. It will sometimes override cost effectiveness as a primary objective.

20.3 Design Co-ordination

20.3.1 Accurate design coordination, between design disciplines and adjoining design packages is the most important feature needing to be managed once the design moves from the scheme design stage into final detailing. To ensure proper design co-ordination, there should be:

a) Clear identification of design interfaces and allocation of responsibilities,

b) Accuracy and robustness of the design concept and system design for all disciplines,

c) Provision of design input on time,

d) A rigorous process and sign off procedure for design co-ordination by the detailed designers,

e) Constant monitoring of the design process
20.3.2 A schedule giving the type, quality and program of the information to be exchanged and co-ordinated between designers shall be prepared by each design and agreed at the commencement of design. This schedule shall also identify major co-ordination activities within each multi-discipline design package. Monitoring and reporting against the major items in this schedule is required at regular intervals.

20.3.3 The design program must recognize that installation of system wide E&M equipment, station building services and architectural finishes works does not commence until late in the program, the designs for these elements will be required much earlier in order to allow civil design and reinforcement detailing to be completed to match the civil construction program.

20.3.4 The design program shall incorporate Stage 1 and 2-process confirmation of the size and position of E&M penetrations. Stage 1 shall be the initial design to be issued to the relevant D&C Contractors for provision of their detailed requirements; Stage 2 shall be the final design incorporating the detailed requirements from the D&C Contractor where these can be accommodated. The Phase 1/Phase 2 process shall be regarded as a major design milestone and shall be give due emphasis in the reporting of design status.

20.3.5 Regular design co-ordination meetings are required throughout the detailed design period. These should be structured, rigorous, detailed co-ordination sessions. Attendance by the designer's staff from all relevant design disciplines including system wide designers is considered mandatory to ensure effective and rapid decision making.

20.4 Design Management during Preliminary Design

20.4.1 The purpose of preliminary design is to establish the project scope, cost and program, and to produce the Project Definition Documents to enable a decision to be made on processing with the project and to enable the project to be split into manageable packages for the purposes of detailed design and construction.

20.4.2 It must be recognized that substantial changes in scope will occur during this period in response to external influences, e.g. Promoter or Statutory Authorities. However, providing that costs are controlled and the risk to project implement are reduced by such changes then changes should be acceptable. Risks to the project must be identified and that strategies for dealing with these risks are established. Robust design solutions should be adopted.
20.4.3 Decisions on procurement strategy to be adopted for design and construction will affect the required design documentation and design interfaces. The implications on the design of a preferred procurement strategy are to be established and considered in the discussions on procurement.

20.5 Design Management of detailed Design Consultancies

20.5.1 The initial period of design, usually termed Scheme design, will be to confirm the scope of the design package as established during preliminary design. Adequate consideration shall be given to any changed circumstances since the completion of the preliminary design and responses formulated. The intention should be that at the end of Scheme Design a robust design scope has been established and accepted by all relevant parties.

During the production stages of detailed design the emphasis should be directed towards ensuring thorough design co-ordination between disciplines and between adjoining design packages.

20.5.2 During the construction stage the emphasis must be directed towards completing any outstanding design in accordance with the agreed program and for responding rapidly to design queries arising from construction works at site.

20.6 Design Management of Design & Construct Contracts

20.6.1 Design and Construct (D&C) Contracts will normally be preferred where the design of permanent works is significantly affected by construction methods, proprietary equipment or materials available and where the design objectives can be easily defined. There must be defined in advance so that it is clear what the performance requirements are and what can be left to design development by the Contractor.

20.6.2 Most D&C Contracts will have some requirement for independent checking of the design, either by an independent team within the Contractor's organisation or by an independent checker employed separately. The design reviews undertaken must also provide an evaluation on the overall performance requirements and interfaces.
20.7 Design Consideration Specific for MRTS

20.7.1 The detail descriptions of the design considerations and the design philosophy and the design codes adopted for the elevated corridor have been described in detail in para 7.1 & 7.2 of Chapter 7. Similarly the design considerations for station buildings have been indicated in para 9.12 of Chapter 9. Similarly design standards and design considerations applicable to various subsystems like electrical, traction signaling etc have been indicated in the respective chapters.
CHAPTER – 21

PROJECT AND QUALITY MANAGEMENT

21.1 Introduction

21.1.1 MTR Corporation Ltd. (MTRCL) was established in 1975 for the principle purpose of constructing and operating, on prudent commercial principles, a mass transit railway system.

21.1.2 The present network consists of 50 stations, 5 maintenance depots, 1 Operations Control Centre, with a total of 87.7 route-kilometers and 1050 train cars. Total passenger numbers per weekday currently average above 2.3 million. MTR System is ranked as one of the world’s safest and most reliable mass transit system. In 2004, the train service delivery achieved as high as 99.9% whilst the train punctuality attained 99.3%

21.1.3 MTRCL has developed a wide range of expertise for managing multi-disciplinary railway projects from feasibility study, through design and construction to commissioning and testing. With our expertise in using professional planning software tools comprehensive and precise network program can be developed with critical paths identified. MTRCL has developed a wide range of expertise for managing multi-disciplinary railway projects from feasibility study, through design and construction to commissioning and testing. With our expertise in using professional planning software tools comprehensive and precise network program can be developed with critical paths identified.

21.1.4 MTRCL Limited has been very successful over the past thirty years in the project management of a number of new railway lines in Hong Kong from initial conceptual design to final commission and revenue services. The success is mainly due to the implementation of a set of project management and control procedure, which is necessarily required for multi-disciplinary railway projects. In fact similar procedures have also been successfully adopted for the recent metro construction works for Jubilee Line Extension in London and the mass rapid transit system in Singapore. With suitable modifications to suit the local conditions, it is intended to adopt this well proven project management technique for the proposed MRTS in Mumbai.

21.1.5 A copy of the Project Management System as adopted by MTRCL for new railway projects is appended to the end of this chapter.
21.2 Project Quality Manual and Project Procedure

21.2.1 A number of project quality manuals and associated project procedures will have to be developed for the successful completion of the proposed MRTS in Mumbai. The possible list of Project Quality Manuals and Project Procedures may include some of the following:

**Project Quality Manual**

- Quality Policy
- Quality Management
- Quality Management System Policies
- Organization and Management Responsibilities
- Project Management and Control
- Corporation Standards, Technical Documents and Specifications

**Project Procedures**

- Use and Disclosure of Information
- Project Division Recruitment
- Job Description and Job Evaluation
- Prequalification of Consultants
- Selection of Consultants
- Prequalification of Tenderers
- Selection of Tenderers
- Project Cost Control
- Preparation and Administration of Tenders for Engineering Works
- Preparation of Particular Specification for Civil Engineering Works
- Preparation of Particular Specification for E&M Engineering Works
- Major Engineering Works Tender Assessment
- Minor Engineering Works Tender Assessment
- Contract Administration for Major Engineering Works Contracts
- Design Consultants - Claims and Variations
- Design Consultants - Interim Payments
- Preparation of Engineer’s Decisions
- Preparation and Invitation of Proposals for Consultancies
- Consultants'/Contractors' Performance Reports
- Contract Closure Report
- Agreements Closure Report for Entrusted Works and Essential Infrastructure Works
- Railway Protection Requirements during Construction
- Handling of Development Submissions from Statutory Authorities for Railway Protection
- Government Entrusted Works - Agreement Preparation and Administration
- Resource Management
- Design Standards Changes and Waivers
- Drawing & CADD Manual - Development and Control
- CADD Drawing Production - Civil & Survey
- CADD Drawing Production - System-wide E&M
- Design Management - Meetings
- Design Management
- Technical Audits
- Preparation of Railway Extensions Energy Consumption Budget Information
- Requirements on Security and Transport Interchange
- Trackside Fire Safety Committee Consultation
- Management of Drawings
- Environmental Aspects Identification and Prioritisation
- Environmental Impact Assessment
- Environmental Site Management
- Foul Water Discharge Licences
- Identification and Control of Hazards for Railway Extension Operational Phase
- Hazard Identification and Control Audit
- External System Assurance Audits
- Inspection of New Railway Projects by the Hong Kong Railway Inspectorate
- Tender Assessment Report (Construction Department)
- Rolling Stock Design Approval Process
- Rolling Stock Inspection and Testing
- Fire Service Installations Inspections
- Site Office Furniture and Equipment (Construction Department)
- Site Vehicles (Construction Department)
- Site and Site Office Security
- Responding to Complaints from the Public (Construction Department)
- Heavy Rainstorm and Adverse Weather Conditions
- Site Allowances
- Project Work Affecting the Operating Railway
- Contractors' Submissions
- Temporary Works
- Insurance and Insurance Claims
- Requests for Information and Site Queries
- Inaugural and Initial Works Meetings with Contractors
- Regular Meetings and Reports
- Site Records Including Photographic and Video Records
- Monitoring of Construction of Railway Related Works Entrusted to Government
- Temporary Ground Anchors Extending Outside the Site
- Buildings and Structures at Risk
- Traffic Diversion Proposals
- Survey Instruments
- Master Survey Control
- Checking of Contractor's Site Surveys and Setting out Works
- Topographic and As Built Surveys
- Wriggle Surveys
- Engineer's Inspection, Test and Survey Check for Civil Engineering Works
- Engineer's Inspection and Test for E&M and Building Services Works
- Overseas Inspection, Testing and Permission to Deliver
- Monitoring of Overseas Supplier's Production Progress and Witnessing of Production Milestones
- Project Emergency Management
- Safety Performance Measurement Scheme
- Defects Liability Handling
- Correspondence & Communications
- Consultation with Statutory Authorities
- Submission for Approval by Statutory Authorities
- Technical Document Review
- Condition Surveys of Structures
- Railway Alignment Design and Checking
- Railway Gauging and Clearances
- Materials Section Safety
- Materials Section Laboratory Sample Reception and Reporting
- Materials Section Database
- Sampling of Materials for Engineers Tests
- Sampling and Testing Concrete for Acceptance & Compliance Purposes
- Sampling of Steel Reinforcement
- Sampling of Concrete Cores
- Testing of Carriageways for Permeability Surface Regularity and Texture
- Conformance Assessment of Concrete Batching Plants
- Conformance Assessment of Concrete Mix Designs
- Conformance Assessment of Materials & Suppliers
- Conformance Assessment of Laboratories
- Ground Investigation - Planning and Control
- Geotechnical Instrumentation and Monitoring
- Ground Investigation Data Collection, Assessment & Reporting
- Corestore
- Geotechnical Data Collection during Construction Phase
- Software Acquisition Management
- Training
- Preparation, Issue and Revision of Procedures
- Technical Documents
- Correspondence and Communications General
- Document Backup
- Project Papers and Reports
- Project Records
- Controlled Document Issue
- Quality Management System Review
- External Quality Audits
- Internal Quality Audits
- Control of Nonconforming Product
- Pre-qualification and Tender Assessment
- Submission and Approval of Programs
- Works Trains Meetings and Wagon Usage
- Bi-weekly Status Report
- Removal and Reinstatement of Objects Projecting from Buildings
- Land Acquisition
- Preparation of Particular Specification for Building Services Works
- Utilities Management
Chapter 22
CONSTRUCTION PROGRAMME

22.1 Introduction

22.1.1 As stipulated by MMRDA the construction of the elevated corridor between Versova & Ghatkopar will be completed and commissioned within a period of 5 years from the commencement of the concession contract. Accordingly detail planning of the various activities involved has been exhibited in the form of Bar Charts for individual works as well as for the overall project and are enclosed at item 3 of chapter 27 - List of Drawings.

22.2 Mobilization & Planning

22.2.1 The organization chart required for the construction of the project has been enclosed at item 2(B) of Chapter 27 – List of drawings. Action would be initiated by the JV for formation of SPV and the Key Officials of the JV along with supporting staff for engineering & construction will be established in the existing office of REL at Santacruz Mumbai. The staff of the Technical Consultant for Planning & Project Management (MTR) would also be established for initial Planning, Design & Engineering. A Project Manager with appropriate qualification and experience would be identified by the JV and placed in position along with the supporting experts and staff of various disciplines such as Civil and Structural Engineering, Track, Electrical Works, Rolling Stock, Signaling, Telecommunication & other allied works would be identified and placed in position progressively. A detail planning of the Manpower requirement during various periods of construction would be made and staff selection should be planned accordingly. All the infrastructure necessary for office and field work would be installed on priority. The field office will be established in the Depot area near D.N. Nagar Station. Priority would be given for field mobilization of infrastructure casting yard, store yards and workshops and site offices etc. Simultaneously survey work will be taken up for setting out the alignment centre line and marking of curves, transitions and column positions and other critical locations. GTS benchmarks will be transferred and established all along the alignment and suitable intervals. Action will be initiated for Geotechnical investigations and trial bores and analysis of the soil data at column locations. A detailed station area planning, designing and locations at site would be initiated. Land to be taken over from MMRDA would be jointly surveyed and delineated on site. Detail investigation of the Underground services of the alignment would be carried out by digging trial pits if necessary and coordinating with the Municipal corporation and
other state government authorities. Similar Action will be taken for establishing liaison with western & central railways for assistance required from them at locations crossing the railway tracks by the corridor alignment. Negotiations would be conducted with established companies for supply of ready mix concrete and approving the mix designs and analyzing the qualities of their sources of materials. Similar action will be taken by the respective departmental heads for electrical, Rolling stock, Signaling & Telecommunication officials for their part of mobilization activities and their planning & designs for their system. All the above activities have been planned to be completed within a period of 6 months, some of which would be extended to 12 months as indicated in the programme.

22.3 Construction Activities

22.3.1 The detail design of the way structures and of other disciplines i.e. Electrical, signaling etc. would be carried out through the Project Management Consultants and tender document with bills of quantities will be prepared for finalizing the construction agencies. It is proposed to have two main contractors for construction of Civil Works and around three contractors for the construction of station buildings and one labour contractor for Track Work as the volume of work involved for each agency would be very high and the timely completion with the best quality to international standards would be required, large size construction groups who are well known for their quality construction and completing within time would be identified and about four to five agencies would be pre-qualified for way structures. This prequalification would be based on their financial strength, experience on similar bridge works & piling and prestressed concrete girder works and having sufficient in-house equipment and manpower ability and an outstanding in-house Planning. Procurement, administrative and financial setup with large number of experienced engineering staff particularly those who have experience in working in cities like Mumbai. Companies having established systems for engineering, construction, safety and environment would be selected for the construction for ensuring timely completion. These construction agencies would be provided with a bonus clause for completion before time. It would also be ensured that SPV Management and the Project Management Consultant gives prompt decisions and approvals for various issues connected with construction and will be paid promptly for the works executed. The actual construction of the civil works for the main alignment has been planned for commencement from the sixth month and will be completed in a period of 36 Months. The detailed activities for the various detailed components of the Civil Work has been exhibited in the detailed Bar Chart for Civil Works enclosed at item 3 of Chapter 27 – List of Drawings.
22.4 Station Building

22.4.1 The Project Management Consultant would appoint Architectural Firm for Design & Planning of Station buildings. A separate expert agency for building design would be associated for structural design of the various components of the buildings. Three independent construction agencies for station buildings have been planned with 4 to 5 groups of stations for agencies. The conceptual architectural drawings will be got approved from the top management of MMRDA. The construction agencies will be selected from among the experts real estate contractors who have good record and in-house infrastructure and quality and timely construction. The broad specifications should be adopted for the station building would be approved by MMRDA. If necessary, coordination approval required from BMC will be entrusted to these contractors. The field construction of the Station Building will commence from the 6th month and has been planned for completion in a period of 3 years. The detailed activities involved in the construction of station building has been shown in the programme for Civil Works attached at item 3 of Chapter 27 – List of Drawings.

22.5 Track

22.5.1 The design of the track structure with reference to curvature, gradient, with super elevation and transitions, track fittings & ballasted track in the alternative depot and the detail methodology for execution and the track parameters and the tolerances necessary including LWR would be prepared by the Project Management Consultants. It is proposed to design, plan & procure all the track materials by the JV on the advise of Project Management Consultant and award a contract for laying of track to agencies available around Mumbai having similar experience in the past. Initial work for design and planning would be done during the first 12 months and the actual execution would be commenced in the 12th month immediately on the launching of Girders and has been planned to complete within a period of 33 months. Enough float is available in these activities. The detailed activities involved in track work has been shown in the programme for civil works enclosed at item 3 of Chapter 27 – List Of Drawings.
22.6 Electrical Works

22.6.1 Initial mobilization of staff, site office and workshops including construction infrastructure and field survey would be completed within the first 6 months. The design, drawings, quantity estimation and placing of orders on equipment and preparation for tender documents including finalization for OHE contract will be completed in the first 15 months. The work of fabrication, erection of OHE mass & wiring of OHE conductors including insulation for adjustment of OHE would commence from the 15th month completed within a period of 36 months. Electrical works for power supply, wiring of station building, erection of escalator, lifts & substation equipment has been planned for commencement from 36th month and programmed for completion within a period of 12 months. Wiring and erection of SCADA equipment, testing of OHE substations and commissioning the electric supply has been planned for commencement from 48th month and programmed for completion within a period of 12 month with sufficient float in these activities. A bar chart for the detail activities for the electrical works has been enclosed at item 3 of chapter 27 – List of Drawings.

22.7 SIGNALING & TELECOMMUNICATION

22.7.1 Initial mobilization and design of the system has been planned during the first 6 months as the work involves procurement of materials some of them from international sources has been planned from 6th month and completed by 24th month. Installation of OCC equipment, way side equipments and track side equipment has been planned for commencement from 18th month and completed in a period of 24 months. Other equipments including electronic equipments, train indicator PA system would be installed from 30th month and programmed for completion within a period 6 months. OFC laying and network equipment will commence from 30th month and would be completed with in a period of 12 months. Testing of equipments & ATC system would commence in 42nd month and competed within a period of 6 months. Final testing and commissioning of all systems will commence at 48th month and completed by 54th month. Enough float has been provided in the various activities to cover dependency elements of other work. Detailed bar chart for the various activities involved in signaling and telecommunication works has been shown in item 3 at Chapter 27 – List of Drawings.
22.8  ROLLING STOCK

22.8.1 Initial mobilization, finalization of design, specifications and preparation of tenders for procurement of rolling stock have been planned for completion within a period of 6 month. Invitation and finalization of tenders for procurement of rolling stock would commence from 6th month and planned for completion within a period of 9 months. Design and manufacture of prototype rake would commence in the 15th month and would be completed by 24 month. The transportation, testing & commissioning the prototype train including correction to design etc. would commence in 24th month and will completed by 33rd month. Final manufacture of the bulk order, transport and commissioning of the balance trainsets would commence from 33rd month and completed in 48th month. Enough float has been provided for testing and commissioning of the system so as to get the system certified go through the trial running period and commence operation in 60th month. Detail bar chart of the various activities have shown in item 3 for Chapter 27 – List of Drawings.

22.9  OVERALL BAR CHART

22.9.1 An over all bar chart of all the activities has been summarized and enclosed at item 3 of Chapter 27 – List of Drawings. An S'Curve showing the overall percentage of works proposed to be completed during the various periods have also been shown in the overall bar chart. The major mile stones for the construction activity have been indicated in the overall Bar Chart as indicate below:

<table>
<thead>
<tr>
<th>Mile Stones</th>
<th>Months</th>
<th>Cumulative %Progress</th>
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</thead>
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<tr>
<td>M1</td>
<td>6</td>
<td>5%</td>
</tr>
<tr>
<td>M2</td>
<td>12</td>
<td>15%</td>
</tr>
<tr>
<td>M3</td>
<td>24</td>
<td>40%</td>
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<tr>
<td>M4</td>
<td>36</td>
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<tr>
<td>M5</td>
<td>48</td>
<td>90%</td>
</tr>
<tr>
<td>M6</td>
<td>60</td>
<td>100%</td>
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### CIVIL AND TRACKS

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<td>1</td>
<td>CIVIL WORKS</td>
<td>Mon 7/17/06</td>
<td>Mon 6/7/10</td>
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<tr>
<td>2</td>
<td>I - Mobilization</td>
<td>Mon 7/17/06</td>
<td>Mon 8/4/08</td>
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<td>3</td>
<td>1) Taking over for LAND</td>
<td>Mon 7/17/06</td>
<td>Wed 8/16/06</td>
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<tr>
<td>4</td>
<td>2) Establish Site Office</td>
<td>Mon 7/17/06</td>
<td>Wed 9/13/06</td>
</tr>
<tr>
<td>5</td>
<td>3) SURVEY &amp; SETTING OUT ALIGNMENT</td>
<td>Mon 7/17/06</td>
<td>Fri 10/13/05</td>
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<td>6</td>
<td>4) Geotechnical Instruments</td>
<td>Mon 7/17/06</td>
<td>Fri 10/13/05</td>
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<td>7</td>
<td>5) Submission of PERT CHART</td>
<td>Mon 7/17/06</td>
<td>Wed 9/13/06</td>
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<td>8</td>
<td>6) Setting up Casting Yard</td>
<td>Mon 7/17/06</td>
<td>Tue 11/4/06</td>
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<td>9</td>
<td>7) Setting up Workshops &amp; other infrastructure for cons</td>
<td>Mon 7/17/06</td>
<td>Mon 8/4/08</td>
</tr>
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<td>10</td>
<td>II - Setting up of Planning &amp; Design Offices &amp; Preparation</td>
<td>Mon 7/17/06</td>
<td>Thu 2/5/09</td>
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<td>11</td>
<td>III - Co-ordinating &amp; Planning for Diversion of Road, UG 1</td>
<td>Mon 9/13/06</td>
<td>Mon 10/10/06</td>
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<td>12</td>
<td>IV - CIVIL WORK</td>
<td>Mon 9/18/06</td>
<td>Tue 11/24/09</td>
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<td>(a) MAIN ALIGNMENT DESIGN</td>
<td>Fri 11/17/06</td>
<td>Tue 11/24/09</td>
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<td>Fri 11/17/06</td>
<td>Fri 11/24/09</td>
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<tr>
<td>15</td>
<td>ii) PILE CAPS</td>
<td>Mon 12/13/06</td>
<td>Mon 12/22/06</td>
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<tr>
<td>16</td>
<td>iii) PIERS</td>
<td>Fri 11/24/07</td>
<td>Thu 11/24/09</td>
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<tr>
<td>17</td>
<td>iv) PIER CAPS</td>
<td>Thu 2/8/07</td>
<td>Thu 2/10/09</td>
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<td>18</td>
<td>v) GIRDER</td>
<td>Fri 11/17/06</td>
<td>Tue 11/24/09</td>
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<td>a) CASTING OF SEGMENTS</td>
<td>Fri 11/17/06</td>
<td>Fri 11/22/09</td>
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<td>Wed 5/23/07</td>
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<td>(b) DEPOT</td>
<td>Mon 9/18/06</td>
<td>Wed 12/3/08</td>
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<td>22</td>
<td>i) Design</td>
<td>Mon 9/18/06</td>
<td>Tue 7/24/07</td>
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<tr>
<td>23</td>
<td>ii) Piling, columns, beams &amp; Slabs</td>
<td>Fri 1/18/07</td>
<td>Wed 12/3/08</td>
</tr>
<tr>
<td>24</td>
<td>V - STATION</td>
<td>Mon 9/18/06</td>
<td>Mon 6/7/10</td>
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<tr>
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<td>i) Design</td>
<td>Mon 9/18/06</td>
<td>Wed 7/23/08</td>
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<tr>
<td>26</td>
<td>ii) Piling &amp; Cap</td>
<td>Fri 1/18/07</td>
<td>Wed 7/21/09</td>
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<tr>
<td>27</td>
<td>iii) Column</td>
<td>Wed 3/14/07</td>
<td>Wed 3/18/09</td>
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<tr>
<td>28</td>
<td>iv) Beam &amp; Slab</td>
<td>Wed 4/11/07</td>
<td>Tue 4/14/09</td>
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<td>29</td>
<td>v) Platforms</td>
<td>Wed 6/20/07</td>
<td>Mon 6/22/09</td>
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<td>30</td>
<td>vi) Roofing &amp; Finishing</td>
<td>Mon 9/10/07</td>
<td>Fri 9/11/09</td>
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<td>31</td>
<td>vii) Finishing</td>
<td>Mon 12/3/07</td>
<td>Mon 12/7/09</td>
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<tr>
<td>32</td>
<td>viii) Electrical &amp; Air Conditioning</td>
<td>Fri 5/30/06</td>
<td>Mon 6/7/10</td>
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<td>33</td>
<td>VI - TRACK</td>
<td>Wed 6/20/07</td>
<td>Wed 1/4/10</td>
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<tr>
<td>34</td>
<td>i) Preparation of Bed &amp; Casting of RCC Blocks</td>
<td>Wed 6/20/07</td>
<td>Wed 6/22/09</td>
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<td>35</td>
<td>ii) Placing Bearing Plates, Rubber Pads, Rail ns Sleepe</td>
<td>Tue 7/17/07</td>
<td>Mon 7/20/09</td>
</tr>
<tr>
<td>36</td>
<td>iii) Track Laying</td>
<td>Tue 8/14/07</td>
<td>Tue 8/18/09</td>
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<td>iv) Rail Welding &amp; Fitting of Fixtures</td>
<td>Mon 9/10/07</td>
<td>Mon 9/14/09</td>
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<td>38</td>
<td>v) Destressing &amp; Rail Alignment</td>
<td>Mon 11/5/07</td>
<td>Mon 11/9/09</td>
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<td>39</td>
<td>vi) Final Alignment levels etc.</td>
<td>Mon 12/31/07</td>
<td>Mon 1/4/10</td>
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# BAR CHART FOR ELECTRICAL & ELECTRIFICATION WORKS

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<td>Tue 1/16/07</td>
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<td>1) Establish Site Office, Workshop &amp; Infrastructure for Construction &amp; Communication</td>
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<td>Fri 10/13/06</td>
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<td>Mon 7/17/06</td>
<td>Tue 7/10/07</td>
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<td>Mon 7/17/06</td>
<td>Mon 3/19/07</td>
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<td>2) Quantity Estimation for Materials, Equipments &amp; Placing Order</td>
<td>Fri 3/9/07</td>
<td>Tue 7/10/07</td>
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<td>8</td>
<td>III - OHE</td>
<td>Wed 10/10/07</td>
<td>Thu 10/14/10</td>
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<td>Wed 10/10/07</td>
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<td>2) Fabrication &amp; Erection of Cantilevers</td>
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<td>Fri 7/16/10</td>
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<td>3) Wiring of OHE Conductors</td>
<td>Tue 1/12/10</td>
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<td>Mon 7/19/10</td>
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<td>IV - STATION &amp; BUILDING - electrical</td>
<td>Thu 7/9/09</td>
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<td>1) Power Supply, Wiring to Stations &amp; Buildings</td>
<td>Thu 7/9/09</td>
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<td>15</td>
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<td>Thu 11/26/09</td>
<td>Mon 5/31/10</td>
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<td>V - ERECTION OF SUB-STATION EQUIPMENT</td>
<td>Thu 7/9/09</td>
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<td>17</td>
<td>VI - WIRING &amp; ERECTION OF SCADA EQUIPMENT</td>
<td>Fri 7/16/10</td>
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<td>VII - TESTING OF OHE, SUB-STATION &amp; STATION BUILDING EQUIPMENT</td>
<td>Fri 1/14/11</td>
<td>Wed 3/16/11</td>
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<td>19</td>
<td>VIII - COMMISSIONING OF SUPPLY</td>
<td>Thu 3/17/11</td>
<td>Mon 7/18/11</td>
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# BAR CHART FOR SIGNALLING & TELECOMMUNICATION

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<td>Mon 9/22/08</td>
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<td>Mon 9/24/07</td>
<td>Mon 9/28/09</td>
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<td>Thu 3/26/09</td>
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<td>Test Track &amp; Test Equipments</td>
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<td>Mon 6/29/09</td>
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<td>8</td>
<td>Train Tetra &amp; Electronic Equipments</td>
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<td>9</td>
<td>Trains Indicator PA System</td>
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<td>10</td>
<td>Centralised Clock &amp; PF</td>
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<td>11</td>
<td>OFC Laying &amp; Network Equipments</td>
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<td>12</td>
<td>Testing of All Communication Equipments</td>
<td>Wed 6/17/09</td>
<td>Thu 12/17/09</td>
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<td>14</td>
<td>Final Testing &amp; Commissioning of All System</td>
<td>Fri 12/18/09</td>
<td>Thu 6/17/10</td>
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- Project: Project1
- Date: Sat 5/14/05

**Legend:**
- ●: Milestone
- ○: External Milestone
- ➤: Project Summary
- ➖: External Tasks
- ✔: Deadline

**Summary:**
- 2006: 0 6
- 2007: 0 24 30 42 36
- 2008: 30 36 36
- 2009: 36 36
- 2010: 36 36 48
CHAPTER – 23
ENVIRONMENT MANAGEMENT

23.1 Environment Management

Environment includes water, air, land & the inter relationship which exists among & between water, air & land and human beings, other living creatures, plants micro organisms & property.

The Main Objectives are:

23.2 Environment Aspects

23.2.1 Significant environmental Aspects:

- Impact
- Environmental Impact
- Environmental Pollutant
- Environmental Pollution
- Nuisance
- Construction site
- Waste

23.3 Plant and Equipment

- Potential Source of the Air Pollution:
  i) Exhaust coming out from different equipments.
  ii) Dust generated as the result of different construction activities.
  iii) Dust and suspended particles during transportation of material.
- Mitigation Measures Including Contingency Planning.
- Air Monitoring Control Plan (AMCP).
- Monitoring Of SPM (Ambient Levels).
- Presentation and Interpretation of Results.
- Dust Control.
- Noise Control Measures (PPE).

23.4 Mitigation Measures

- Scheduling truck loading, unloading & hauling operation so as to minimize noise impact near noise sensitive locations and surrounding communities.
- Locating stationary equipments so as to minimize the noise impact on the community.
- Equipment and plant are stopped when not in use.
- We will use only well maintained machinery at site, all equipment and vehicles will service as per the maintenance schedule.
- Silencers and mufflers on constructing equipment shall be properly fitted and maintained.
- Schedule the work to avoid simultaneous activities that would generate high noise levels.
- Construction of temporary physical noise barriers whenever required.

23.5 Monitoring

23.5.1 The Noise monitoring and control plan shall provide:

23.5.2 Specific night and day time construction activities, monitoring locations, equipments, procedure and schedule of measurement and reporting method to be used.

23.5.3 A scaled plan indication monitoring location, including measurement to be taken at construction site boundaries and nearby residential zone.

23.5.4 A record of noise characteristics of power mechanical equipment proposed to be used during day time and night time, of proposed working methods and of potential noise level reduction measures.

23.5.5 Immediate notification when measured noise level exceeds allowable limits.
23.5.6 A reporting procedure whereby noise-monitoring data is furnished to the employer’s representative on a monthly basis.

23.6 Presentation and Interpretation

23.6.1 The monthly report will include (but not be limited to) the following:

- Executive Summary.
- Brief mention of constructing activities.
- Monitoring results under AMCP and NMCP.
- Interpretation of monitoring results, significance and influencing factors.
- Graphical representation of monitoring results over past four reporting periods.
- Measure of control spill under SPCP.
- Action taken on recommendation under site inspection programmed or specific direction.
- Summary of complaints, results of investigation and follow-up action.
- Future key issues.

23.7 Water Pollution Control

- Spillage Prevention and Control Plan.
- Drip Pans.

23.8 Waste Control

23.8.1 Identification of Waste.

23.8.2 Information & Training – Regarding Waste handling

- Organic Waste
- Combustible Waste
- Hazardous Waste
- Recycling Waste
- Minimization of Waste
23.9 Hazardous Materials

23.9.1 Handling of Hazardous Materials

- Necessary training to handle the hazardous material will be imported to the workmen deployed on handling such materials.
- Authorized transport will be deployed for transportation of such material.
- Proper handling tool shall be provided to all.
- PPE shall be strictly enforced during loading and unloading.

23.9.2 Storing Hazardous Materials

- Proper platform, rack should be used for storing materials.
- Different type of materials should be stored at different places.
- Filled in and empty drums / bags / cylinders shall be stored separately at earmarked places.
- Raw materials should be stored properly providing access for fire fighting operations.
- Fire fighting facilities should be available.
- MSDS should be available in stores.
- Proper bin card (Store Record) should be maintained.
- Concrete platforms wherever required should be provided.

23.9.3 Usage Disposal

- Empty drums, bags, containers recyclable & such material should be disposed off though approved agents only. Hazardous waste should be disposed off as per MSDS, manufactured instructions following all applicable rules and regulations. Spend batteries should be either returned to supplier or sold to authorized.

23.9.4 Site Conditions

- Discharge, sewer / storm water connection
- Prevention of Mosquito Breeding
- General Housekeeping
23.10  Emergency Preparedness and Response

23.10.1  Objective

- To facilitate the rapid implementation of relief or emergency measures during incident disasters and prevent minor incidents which can develop into potential major incident.

23.10.2  First Aid Box

- A fully equipped First Aid Box is available at site. It should consists treatment coaches and wash facilities, lockable cupboards and sufficient medical supplies.

23.10.3  Contents of First Aid Kit

- Dressing cotton
- 25mm Roller bondage
- 75mm Roller bondage
- Potassium Permanganate crystals or solution.
- Iodine Bottle
- Adhesive Plaster
- Scissors / safety pins
- Dettol
- Burn Ointment (Burnol)
- Antiseptic Cream
- General Medicines
- Record of first aid treatment given to any worker is recorded in register.

Necessary tie up with local hospitals is made for emergency treatment.

23.10.4  Procedure

- A List of emergency contact numbers is displayed at site.
- First Aid Box is made available at site.
- Whenever work is in progress a vehicle is kept at site.
- Engineer / Supervisor is trained to give first aid to injured person with the help of safety staff.
- First Information Report (Police Report) is prepared in case of major incident. Administrative staff or other senior staff member will contact nearest police station for first incident report.
- Administrative staff should arrange for emergency vehicle / Doctor for injured if necessary.
- Injured person is admitted in the civil hospital with the help of Labour Contractor.
- Site In Charge / Administrative staff / Safety Officer informs to Head office in case of major accident.
- If Company's Vehicle is not available, any other vehicle available with the Sub-contractor Supplier / client may be used on request.
CHAPTER - 24

SAFETY MANAGEMENT

24.1 Introduction

24.1.1 General

24.1.1.1 Safety is the most important & critical part on the project sites. Safety policy is established to ensure the safe working condition at site and at every stage of construction activity. Safety management has been introduced at site to ensure safety at site.

24.1.2 Purpose

24.1.2.1 Safety management consists of Responsibility matrix of each cadre at site, Emergency plan, Procedure of review for safety function, Safety training procedure, Storage & House keeping at site, First Aid Treatment and other basic guidelines for each activity which are helpful to execution staff to ensure the safe working conditions at the site at all time.

24.1.2.2 Updating of Safety Plan

24.1.2.3 Safety plan will be reviewed and updated every six month or as per requirement.

24.1.3 Objective

    The Objective of the Safety Management System is:

    • To provide safe working condition at site during execution at any time.
    • To provide identification of potential hazards and establish safe guards against hazards.
    • To provide response to emergency situations.
    • To strive to continuously improve Safety Management Skills.
To create safety awareness among all Staff and Site Personals to achieve zero incidents at site.
- To carry out investigations on occurrence of any incident and carry out corrective & preventive actions.
- Identify Line of Responsibility for Safety function.

24.2 Elements of Safety Management System

24.2.1 In compliance with the regulations, the following safety elements are included:

- Safety Policy
- Safe Work Practices
- Safety Training
- Safety Committee Meeting
- Safety Promotion
- Incident Investigation and Analysis
- In-House Rules and Regulation
- Safety Inspection
- Emergency Preparedness Plan
- Maintenance of Equipments
- Hazard Analysis & Safety Audit
- Environmental Management

24.2.2 Safe Work Practices

a) Objective

- The objective of the Safe Work Practice is to eliminate or reduce to a minimum, the risk of death or injury to the Persons and damage to the properties and assets during the execution of work.
b) Procedure

- When making work assignments to Sub-Contractor, REL safety person will educate the Sub-Contractor’s staff and his staff about safety practices, work methods and personal protective equipments.

- REL Staff and Sub-Contractor’s staff shall be responsible for determining that each worker has the proper protective equipments and suitable tools for the assignment.

- Sub-Contractor shall provide documentation of Compliance with Project Safety Plan in advance of starting the work. Safety Officer shall notify to Project Manager or Deputy Project Manager of any safety and/or security documentation (information for work passes, crane certificates, operator’s certificates, Declaration by the Sub-Contractor), which are outstanding for more than 7 days.

- Project Manager shall take the necessary actions to correct such deficiencies.

24.2.3 Safety Training

a) Objective

- The objective of safety training is to equip personnel with the knowledge, skill and attitude, which will enable them to perform their duties in a safe manner.

b) Procedure

- All workers and staff should know about the potential hazards that may exist on construction site, elimination of hazards precaution to be taken to perform all work safety.
• The Safety Officer shall liaise with the Construction Department and maintain a program for the training of workers to meet Project Safety Plan and statutory regulations in advance of work.

• The Safety Officer shall keep an updated record of the training courses completed by workers.

24.2.4 Safety Committee Meetings

a) Objective

• Objectives of the Safety Committee meeting is to assemble people with particular responsibilities for safety so that they can formally address issues and take appropriate actions in relation to the achievement of the work site safety management objectives.

• REL will organize a safety committee meeting with the following main aims:

• Confirm if the management of safety and health is being properly carried out by all the parties concerned.

• Ensure that the construction work is being performed safely and smoothly, complying with safety and rules and regulations.

• Conduct Safety inspections of the entire site prior to the Safety Committee Meeting.

• Co-ordinate and control congested or hazardous working conditions of the sub-contractors.

• Resolve safety issues submitted by any Sub-Contractors.

• Increase Sub-Contractors' safety knowledge and safety awareness.

• Enforce Safety Training Programs.
• Promote and maintain housekeeping and waste disposal at the highest standards.

• Review safety statistics of previous month.

• Review of the laid down Safety Practices and their improvements, if necessary.

24.3 Incident Investigation and Analysis

24.3.1 Objective

24.3.1.1 To ensure that every accident, incident and near misses is investigated thoroughly to determine its cause.

24.3.1.2 Corrective and preventive measures are implemented to prevent recurrence of similar accident.

24.4 In-House Safety Rules and General Safety Rules & Regulations

24.4.1 Objective

24.4.1.1 The objective of Safety Manual and In-House Safety Rules and Regulation is to provide all personnel with a common understanding of their obligation and responsibilities with respect to the achievement of the work safety.

24.4.2 Procedure

24.4.2.1 A set of In-house Safety Rules and Regulation will be established and maintained, which gives a clear instructions to the Personnel in each of the following general areas:

• Safe Operation of Plant, Machinery and Equipments.
• Maintenance of Plant, Machinery and Equipments.
• The handling of materials.
The reporting of hazards and incidents.
The use of personal protective equipments.
The reporting of incidents.
Cleanliness of the work places.
Storage of Gas Cylinders.

These rules will be communicated and issued to all Sub-Contractors.

All new workers will be briefed on the In-House Safety Rules and Regulations.

24.5 In-House Safety Rules and Regulations

24.5.1 Appropriate personal protective equipments, such as Helmet, Safety Belts, Gloves and Goggles etc. are provided and worn at all time.

24.5.2 Only electricians are authorized for any electrical connections or disconnections & D.G. Operations.

24.5.3 Do not touch any hazardous chemicals or unknown item at the site.

24.5.4 Work place is kept neat and clean. Wastage / Debris are removed after the completion of the work on daily basis.

24.5.5 Access is kept free from any obstruction at site.

24.5.6 During transportation at site, no body is authorized to seal except driver on the vehicle.

24.5.7 During heavy rain, improper lighting, heavy wind blowing at site, nobody is deployed on work at height.

24.5.8 The Contractor will not deploy any labor having age below 18 years on the job. No Children are permitted inside the working area.

24.5.9 Persons / Equipments / Material enter or exit the site only through the gate designated.

24.5.10 Only license holder drivers / operators are allowed to operate any vehicle and mobile power equipments.
24.5.11 All vehicle and equipments are inspected by a competent person prior to use.

24.5.12 All the safety related points / decisions are put and discussed in site internal weekly meeting.

24.5.13 Separate training program for the following are carried out frequently:
   - First Aid
   - Scaffolding

24.5.14 Separate / Trained gang for scaffolding is deployed for safe condition at site.

24.5.15 For the hazardous activities like working at elevated level, blasting etc. work permit system is introduced.

24.6 SITE SAFETY INSPECTIONS

24.6.1 Objective

24.6.2 The objective of the site inspections are as follows:

- To ensure that workers carry out safe work practices during the execution of their tasks in accordance with safety requirements.

- To check and correct immediately any un-safe acts / conditions.

- To maintain a register of daily site safety inspection check list for audit purpose.

- To demonstrate management commitment towards safety.
24.7 Hazards Analysis and Safety Audit

24.7.1 Objective

24.7.1.1 The objective of the analysis is to provide a means whereby hazards are identified and managed in a way that eliminates, or reduces to acceptable level, the risk of an accident occurring.

24.8 Emergency Preparedness & Responses

24.8.1 Objective

24.8.1.1 To facilitate the rapid implementation of relief or emergency measures during incident, disasters and prevent minor incidents which can develop into potential major incidents.

24.8.2 First Aid Box

24.8.2.1 A fully equipped First Aid Box is available at site. It is easily accessible and marked.

24.8.2.2 First Aid and temporary care given to the victim of an accident or sudden illness till the treatment from the doctor is made available. All execution members are trained for giving First Aid treatment. First Aid room is prepared at main office building. It consist treatment coaches, hand wash facilities, lockable cupboards and sufficient medical supplies. Necessary tie up with local hospitals is made for emergency treatment.

24.8.2.3 Contents of First Aid Kit:

- Dressing Cotton,

- 25 mm Roller Bondages,

- 75 mm Roller Bondages,

- Potassium Permanganate crystals or solution,
- Iodine Bottles,

- Adhesive Plasters,

- Scissors / Safety Pins,

- Dettol Bottles,

- Burn Ointments (Burnol),

- Antiseptic Creams,

- General Medicines,

(Record of First Aid treatment given to any worker is recorded in the register).

24.8.3 Procedure

- A list of emergency contact numbers is displayed at site.

- First Aid Box is made available at site.

- Whenever work is in progress a vehicle is kept at site.

- Engineer / Supervisor is trained to give first aid to injured person with the help of safety staff.

- First Information Report (Police Report) is prepared in case of major incident. Administrative staff or other senior staff member will contact nearest Police Station for the first incident report.

- Administrative staff should arrange for an emergency vehicle / Doctor for injured, if necessary.

- Injured person is admitted in the Civil Hospital with the help of Labor Contractor.
• Site In-Charge / Administrative Staff / Safety Officer informs to Head Office in case of major accident.

• If company's vehicle is not available, any other vehicle available with the Sub-Contractor / Supplier / Client may be used on request.

24.9 Safety Manual

24.9.1 Introduction

This Safety Manual outlines the safety rules and regulations, which must be followed by all personnel within work site area.

It is produce primarily for the use of supervisory staff who are required to ensure that the rules and procedures are brought to the notice of Sub-Contractor's employee and that such rules and procedure are strictly followed.

Any person uncertain of the safety manual should consult the Safety Department for clarification. Any person doing work requiring special precautions or more detailed guidance on safety procedure he should discuss with Safety Department prior to commencement of his work.

24.10 Safe Work Practices – Machines And Equipments

24.10.1 Machinery

• Machines are none of the leading causes of injuries. Improperly trained operators are often the victims. So until the operator has checked the machine and is authorized to run it – hands off!

• The following must be remembered when operating a machine:

• Before turning on a machine, safety check shall be made for – people clearance, Guards in place and emergency stops in working condition.
The machine shall not be left running by the operator.

Loose clothing or jewelry shall not be worn while operating machines. Also long hair must be tied.

When a machine is out of order, a warning sign “Machine under Repair” must be displayed.

Machines shall be kept clean.

24.10.2 Hand Tools

Many hand tools are available for specific jobs or for specific materials. The right tool for each job shall be used correctly and safely.

The following shall be noted when using hand tools:

- The right size spanner to fit the nut shall be used.
- All the files shall have a handle.
- Chisels and punches with mushroomed heads should be ground
- Hammerheads shall be tightly wedged on their shafts.
- Split wooden handles shall be renewed.
- Edges of cutting tools shall be kept sharp.
- Hands shall be kept behind the cutting edges when working.
- Tools shall be kept in boxes or racks when not in use.
- Sharp edges of tools that are to be stored or carried shall be protected.
• Tools that are worn or damaged beyond repair shall be scrapped.

• The correct tool for the job shall be always used.

24.10.3 Maintenance Work

• Before maintenance work is started, it should be made sure that all machinery comes to a complete stop.

• The safety guards shall be replaced when work is completed.

• Proper co-ordination between the maintenance crew and site personnel during maintenance work shall be ensured.

24.10.4 Electricity

When performing any work that involves electricity, no matter what the voltages are:

• It has to make sure that all the safety precautions and procedures are followed.

• A check for defective cables, plug or sockets shall be carried out.

• Electrical equipment shall not be over loaded.

• Any equipment that sparks or stalls shall be switched off and disconnected.

• Cables shall not trail across the floor.

• The equipment shall be disconnected when not in use, cable shall not be pulled to disconnect; the plug shall be pulled.

• Kinking, twisting, binding or crushing of cables shall be avoided.

• All electrical equipment shall be kept clean and dry.
The operative shall not stand on the wet area while using electrical equipment.

No bare wires to be installed in the plug sockets.

Only industrial plugs must be used to install any wires to the sockets.

24.10.5 Abrasive Wheels / Disc Machines

Abrasive wheels, cutting discs and related machines shall have the manufacturer's specification plate (Stating voltage, maximum speed etc.)

Hand held tools shall be equipped with an operating switch or lever requiring constant hand or finger pressure to operate (Dead-man Switch).

The use of such tools / equipments shall be limited to the safe design capacity of these tools. They shall not be modified.

Safety guards must be in place and maintained at all times.

Person using such tools / equipments must be experienced / trained in the safe operation, and authorized by his supervisor to use and operate them. They shall carry the necessary certificates with them.

They shall be maintained in safe working condition for use at all times.

Such tools / equipments shall be inspected at least once every month and records shall be maintained.

Electrical driven tools / equipments must be checked and inspected by a licensed electrician.

Defective tools / equipments shall not be used.
Tools / equipments found defective or unsafe, must be tagged 'Unsafe – Do not Use'.

Portable type must be removed from the work area immediately. Non-portable type must be de-energized or lockout.

Appropriate personal protective equipment, such as safety goggles, face shields, hand gloves etc. shall be worn when grinding or cutting.

24.10.6 Pneumatic and Hydraulic Powered Tools

- Only tools in safe and serviceable condition shall be used.

- Person using pneumatic and hydraulics powered tools must be trained in the safe use of such tools.

- Only authorized person shall be allowed to use such tools. The use of such tools must be supervised.

- Pneumatic and hydraulics powered tools must be checked before use.

- Coolant shall be used to dispense the heat and reduce the possibility of sparks generated by the tools.

- Pneumatic and hydraulic powered tools shall have a fail-safe device such that they stop automatically when the operator releases his hold.

- Air must be shut off before attempting to disconnect the air hose from the airline. Any air pressure inside the line must also be released before disconnecting.

- Using the air supply of such tools from the hose or otherwise for blowing off dust on machine, clothing or any surfaces is strictly not permitted.

- Pneumatic and hydraulic power lines must be positioned so as not to be liable to damage or present a tripping hazard.
• Compressed air lines shall have outlets directed downwards toward the floor, away from the operator.

• Pneumatic and hydraulics powered tools must first be disconnected from the supply before any adjustment or repair to be made.

• All joints and couplings shall be inspected before the start of the day's work

• Safety valves shall be checked and maintained to ensure functioning condition.

24.10.7 Air Receivers

• All air receivers shall be fitted with a pressure relief valve and shall have the safe working pressure clearly marked upon them.

• Every air receiver shall be subjected to an annual test, which shall be carried out by a duly authorized person. The results of the tests shall be recorded and the records shall be kept available for inspection by the employer's representative and a copy of certificate displayed on the receiver.

• The connection couplers on compressed airlines shall be securely fixed together and have whip lash or be wired at the joints in order to ensure that the joints do not come apart when charged with compressed air.

24.11 Safe Work Practices – Hot Works

24.11.1 Welding And Cutting

• All hot works has to be carried after obtaining a Hot Work Permit from the authorized person.

• All welding and cutting apparatus shall be inspected daily. Defective apparatus and equipments shall be replaced or repaired. Regular monthly testing and inspection is necessary.
- Keep fire extinguishers available and check area before leaving.

- Combustible items such as gas cylinders, rubber hoses and debris shall be removed or shielded from heat, sparks and slag from welding and cutting.

- Welding or cutting work at elevated places shall be conducted only under safe conditions (a safety harness shall be worn).

- Welding or cutting in confined areas is required; the space shall be well ventilated. During the work, the concentration of oxygen in the air must be greater than 20%. When working in a confined area, the workers should at least be paired (2 persons) to monitor hazards in preventing accidents.

- Where electric welding work is carried in such a manner as to involve risk or persons employed (other than persons employed in the welding process) being exposed to the electric are flash, effective provision shall be made by screening or otherwise to prevent such exposure.

- Domestic LPG cylinders shall not be used for any hot works.

24.11.2 Electric Welding

- It shall be ensured that the shield, helmet or goggles contain the correct filter glasses.

- Adequate protective clogging shall be worn by the operative.

- When necessary, screens shall be used to protect neighboring workers.

- It shall be ensured that the cables and connections are in good condition and firmly attached. Joints in the cables to be avoided, if any joints are required it should be joined with proper and approved connectors.
It should be made certain that the welding equipment, bench or work piece is properly earthed.

It should be checked that the electrode holder is fully insulated and it is always placed on an earthed surface when not in use.

When the ground is damp the operative shall stand on an insulated mat.

Good ventilation in the welding areas shall be maintained, but oxygen SHALL NOT be used to ventilate confined spaces.

Welding near flammable materials shall be avoided.

Welding shall never be done in an enclosed vessels, drums or tank which contained flammable materials unless they have been purged by steaming or any suitable methods as per the contents of the vessels tested and certified safe to work on.

No welding shall be done on the weld material degreased with solvents unless it is absolutely dry.

No welding shall be allowed on galvanized or coated metals without taking proper precautions against fumes generation.

No attempt to weld enclosed vessels of tanks until safety precautions has been taken.

All the welding cables shall be kept clear of roads and walkways. It shall be secured to overhead fixtures where possible.

Welding cables and power cables shall never be tied together and always to be kept separated.
24.12 Safe Work Practices – Hoisting, Lifting, Erection

24.12.1 Lifting Equipments

While using lifting equipments:

- Know the correct weight of the load before it is lifted.
- Do not operate if you are not trained. Never overload when lifting materials, keep to its safe working load (SWL).
- Examine lifting equipment before use.
- Don’t lift if the load is not secured.
- Do not stand or walk under a suspended load.
- Crane operators found tempering with any safety devices shall be dismissed from site immediately.

24.12.2 Erection Work – General

- The erection work plan and procedure shall be checked thoroughly by Contractor’s construction supervisor. Warning signs of “NO ENTRY” and safety ropes shall be provided by work supervisor.
- The strength of the road on the route of the crane shall be checked by the Engineer. The valid certificate shall be displayed on the lifting machines, Cranes or winches shall be locked or broken when not in operation.
- Sub-Contractors performing work requiring daily / regular lifting operations – such as piling, decking, erection of major plant – shall provide a person competent in
lifting operations who will be appointed by REL using appointed letter as the lifting supervision for the Sub-Contractor's work.

- The lifting supervisor will fill out and submit to the Station Manager / Safety Engineer a check list before lifting operations are carried out.

24.12.3 Erection By Crane

- Lifting work shall begin only after confirming by preliminary check that the crane is set up satisfactorily. The crane access and its position must be checked for stability to prevent crane form toppling. Site Engineer must check and ensure that the load bearing of the ground is adequate for the lifting work. If the crane will be positioned a long period of time, the appointed Site Engineer must carry out daily check to ensure no deterioration of the ground condition.

- The crane shall be secured horizontally and steel plates or square timbers shall be placed under the outriggers firmly, and then secured with knock pins after being set in position.

- The operator shall not leave the crane or winch during lifting work.

- The inclined angle of the boom during operation shall not exceed the range of 30-80 degrees unless otherwise specified for the machinery. When using the jib its length must be minimized. An angle indicator shall be provided for the crane operator to visually check the boom angle. Crane booms shall be lowered to the ground level and the hook shall be secured to the specified position when the crane is not in use.

- When moving cranes, the boom must be lowered and a boom walker must be provided in addition to the crane operator. Crane boom must not be operated closer than 2 m to any overhead line or electric transmission wire. When it is absolutely necessary to operate closer than 2 m, special permission must be secured from the Employer's representative and arrangements made to cover or de-energize the circuit line.

- Only authorized persons with a license / training shall be permitted to operate the crane or do slinging for lifting equipment.
• The strength of the ground where the crane is to be placed shall be examined. If necessary, reinforcements, such as installation of steel plate shall be arranged.

• The foreman and signalman shall be assigned for each erection work under an operating system established for the work. They shall stand where they can observe the loading operation and be clearly visible to the crane operator during the crane work. A uniform signal system shall be used for flags, transceivers or hands for signaling.

• The capacity of the crane to be used for erection shall be determined after careful consideration.

• Total lifting weights and the center of gravity of equipment to be erected shall be carefully re-checked before commencing erection work.

• Load indicators shall be installed on the cranes.

• Before starting the work, the condition and functioning of the brakes, limit switches, over hoist prevention devices, wire ropes and lifting devices, shall be checked and inspected and the crane shall be operated on a trial basis without a load. As a preliminary check, the equipment shall be lifted and held 10 cm from the ground. In this state all elements shall be checked and inspected to see if they are functioning properly. If the risky conditions are detected, the lifting work shall stop immediately. Lifting work shall not be carried out during bad weather, such as strong winds or heavy rains.

• Crane shall never be loaded in excess of the manufacturer's stipulated rating.

• Lifting load for each crane shall be controlled within 90% of the maximum lifting load (to read from load indicator). The lifting load shall include dead load of lifting, hook, rope etc.

• During the lifting work, the operation shall be carefully supervised to prevent hasty lifting, prolonged suspensions and lifting beyond the limit. Abrupt lifting and stopping shall be prohibited.
- Simultaneous rotation and sudden lifting or rotation and boom movement shall be prohibited.

- The boom shall be slowly rotated so as not to produce centrifugal forces on equipment or materials being lifted.

- Workers shall be forbidden to ride on lifted equipment or material when lifting or swinging is taking place.

- The crane's wire rope shall be rolled up entirely after the work is completed.

- Safe Working Load (SWL) for the crane shall be conspicuously displayed on the crane body.

- The crane shall have a valid operating license issued by the competent authority. The validity of the license shall be of six months.

- The lifting hooks shall be provided with a safety catch.
24.13 SAFE WORK PRACTICES – TRENCHING AND EXCAVATION

24.13.1 Notification

- No person shall carry out any excavation work without first notifying the relevant authority.

- Such notification shall be submitted in prescribed Excavation Work format to the relevant authority.

- Every notification shall be accompanied by detailed layout plans, sectional plans of the excavation and method of construction with projected schedule of work.

24.13.2 General Requirements

- No personnel be permitted to enter any excavated area unless seat piling shoring or other safe guards necessary for the protection are provided.

- Where any person in excavation is exposed to the hazards of falling or sliding of materials from any bank or side more than 1.5 m high above his footing, adequate piling and bracing shall be provided against the bank or side to eliminate such hazard.

- The excavation site and its vicinity shall be checked by a competent person after every rains storm or other hazard increasing occurrence and the protection against slides and cave-ins shall be increased, if necessary.

- Temporary sheet piling installed to permit the construction of a retaining wall shall not be removed until the wall has developed its design strength.

- Where banks are undercut, adequate shoring shall be provided to support the overhanging material.
Excavated material and other superimposed loads shall be placed at least 1 meter setback from the edge of open excavations and trenches and shall be so piled and retained that no part thereof can call into the excavation or cause the banks to slip or cause the upheaval of the excavation bed.

- Banks shall be stripped of loose rocks or other materials which may slide, roll or fall upon persons below.

- Open sides of excavation where a person may fall more than 1.5 m shall be cordoned off by approved rigid pipes or A-frames barricades and suitable warning signs shall be put at conspicuous positions.

- All excavations in public places have to be cordoned off.

24.13.3 Piling, Shoring And Bracing

- Planks used, as shoring shall not be less than 50 mm thick. The maximum spacing between horizontal stringer and Wales shall be such as to keep the planks within their safe bending stress. Shores and braces shall be of adequate dimensions for stiffness and shall be so placed as to be effective for their intended purposes.

- Each end of each stringer piece shall be separately braced.

- Earth supported shores or braces shall bear against a footing of sufficient area and stability to prevent their shifting.

24.13.4 Excavation Works Near Existing Utilities

- Machine excavation near existing utilities and hand excavation around existing utilities will be conducted under the full time Supervision / site Engineer.

- Persons involved in excavation works near existing utilities without the approval are subject to disciplinary action that may include removal from the project.

24.14.1 False Work

- False Work is any temporary structure used to support a permanent structure during the erection.

- False Work shall be carried out in accordance with the relevant applicable Indian Standards.

- An experienced and qualified Engineer shall be assigned to co-ordinate all major false work schemes.

- The design of the temporary structure shall include requirements such as material inspection, foundation and erection checklists. This shall be specified prior to carrying out any false work.

- Safety provisions in accordance to statutory requirement shall be incorporated in the design of the false work.

- All false work shall be subjected to safety inspection.

24.14.2 Safety Provision

24.14.2.1 General Scaffolds

- In the erection, maintenance and subsequent dismantling of scaffolding, all the regulations and acts shall be adhered to. In general, it is required that the scaffold shall be firstly of adequate strength stability, good construction and material and secondly that the related permanent structures shall be at all stages of construction be safely supported against collapse. All scaffold materials shall be free from patent defects.
- The works supervisor shall assign a competent approved person (CA) to the site to supervise all aspects related to the erection, maintenance and dismantling of scaffold.

- The scaffolding erectors should use safety lines to anchor their body harness in the process of erection and dismantling. These safety lines should be securely anchored onto an expansion bolt anchored on to the roof of the building or to any other adequately strong anchorage. Scaffold erectors should not be allowed to work on scaffold without the use of body harness, safety lines and helmets.

- Handrails and intermediate guard rails shall be provided along each ledger level approximately 1.1m and 600mm above each ledger respectively. Where safe access to the scaffold from the building or structure is not available, ladders should be provided. This is to avoid workers having to scale a scaffold. Toe boards should also be added onto platform on scaffolds to prevent materials falling over it.

- Materials should not be allowed to be discharged from the side of the building and hitting the scaffold structure as serious damage such as broken ledgers and dislodged tie-backs could result.

- Scaffolds should not be used as supports for hoisting materials unless it is designed for and approved.

- During scaffold erection, the site supervisor (CAP) shall carefully keep watch, provide “NO ENTRY” and “DANGER OVERHEAD” signs, and if possible rope off the related work area for safety and provide warning lights for night works.

- All scaffolding that are in the process of erection, alteration and dismantling shall be properly indicated and warned to make sure that no workers will use such scaffold. Access to the scaffolds can only be allowed, after it is fully completed and checked. All scaffolding structure build must display either. A tag indicating that the scaffolds is “NOT SAFE FOR USE” if it is incomplete, has been damaged or weakened.

OR
• A tag indicating that the scaffolds are "SAFE FOR USE" if the works are completed checked for safety and certified.

• Excess materials shall not be placed on the work floor or runway, avoid storage or deposit.

• Materials, tools and equipments on the working platform which may be displaced or fall from the scaffold shall be secured adequately.

• Barricade all open sides of scaffold with proper guard rails and replace all missing or damaged guard rails.

• All working platform shall be fully and properly boarded.

• Provide proper ladders or steps for workers to gain access from one level or another.

• All other general safety requirement for site work shall be observed.

24.14.2.2 Suspended Scaffolds

• Erection of any suspended scaffold shall comply with standard scaffold practice.

24.14.3 Platform

• Safe working platform shall be provided for person to work at height, Proper means of access (such as stairs, ladders and ramps) must be provided

• The working platform shall be tagged with the safe load (in terms of number of persons) hat can be carried out by the platform.

24.14.4 Safety Net

• Safety nets used at the construction site shall comply with acceptable standards.
24.14.5 Ramps And Ladders

- Ramps and ladders shall be provided to secure safe passage for workers required for work at a height or depth of more than 1.2 m.

- As a rule, the slope of ramps shall be limited to 30 degrees. For slopes of more than 30 degrees, rungs and guard rails shall be provided. The rungs shall be spaced 30 cm apart and nailed firmly.

- One platform shall be provided for every 7m in height.

- All ladders, ramps, runways and through surrounding areas shall be free of materials, machines and obstructions at all time.

24.14.6 Material Handling

- Materials, rubbish and tools shall not be thrown from upper levels to lower levels or to the ground.

- When lowering or moving materials on the ground, suitable devices, such as chute, bag, container with a rope or a device tied securely with rope shall be used.

- During the work, the foreman shall carefully keep watch; provide “NO ENTRY” and “DANGER OVERHEAD” signs and rope off the related work are for safety.

- Excess materials shall not be placed on the work floor or runways.

- Materials, tools and equipments which may be displaced or fall shall be secured adequately.
24.15 Safe Work Practices – Electrical

24.15.1 General

- Temporary electrical work shall comply with applicable Indian Standards electrical safety requirements.

- All electrical works shall be performed by qualified and trained electrical workers. Equipment shall be locked or secured to prevent starting by unauthorized persons.

- Live parts of apparatus and wiring shall be effectively guarded to protect all workers or object from coming into contact.

- All equipments and wiring shall be checked daily by the operator before starting work. All electricity circuits shall be grounded.

- Warning signs or posters, such as "DANGER", "NO ENTRY" shall be displayed at dangerous places, such as substations, switch boxes and overhead or underground cables.

- All cables should be run at high level, at a height not obstruction the movement of people and equipment. No naked wires shall be inserted in to plug sockets.

- Only industrial sockets shall be used.

- Joints in the cables shall not be allowed between the local switch box and the equipment.

- Jointing of cables shall only be done with proper cable connectors.

- Jointing of cables shall not be done with plastic insulation tapes.
24.15.2 Transformer Bank

- Transformer banks or high voltage equipments shall be barricaded with a fence. The entrance shall be locked.

- For transformer banks, warning signs of "DANGER – LIVE", "NO ENTRY" and "NO WATER SPRAYING" shall be posted. Posters shall show the name of the Supervisors or Maintenance Engineer. Primary and secondary voltage and capacity also be displayed.

24.15.3 Circuit Breaker

- Circuit breakers shall be provided for all electrical equipment complying to the relevant Indian Standards, such as conveyors, winches, pumps, grinders and similar equipments to prevent workers from being injured by electric shock.

- Automatic leakage breakers shall be installed in switch boxes.

24.15.4 Switch Box

- Temporary switch boxes shall be constructed of metal with a water proofed door and locked.

- Switch boxes shall be installed adjacent to the work area at a height of 0.8m or more from the ground or working floor.

- An ample number of switch boxes shall be provided with adequate capacity. Switches and fuses shall be of proper capacity for the circuit protection. Over loading beyond the rated capacity shall be prohibited.

- The name of the person responsible for inspection and maintenance of the switch box shall be marked on every switch box. Name or number of equipment served by each switch shall be tagged on the switch.

- Switch boxes shall be grounded with vinyl insulated copper wire.
• Using copper or steel wire instead of a fuse shall be strictly prohibited. Multiple connections of cables from one switch shall be prohibited.

• When inspection or repairing, switches shall be cut out and the switch box locked.

• All connections shall be done by cable glands and lugs.

24.15.5 **Welding Machine**

• Before welding machines are used, insulation shall be tested and certified to be in safe operating condition.

• Low voltage shock prevented devices shall be provided for all welding machines.

• Welding machines shall be installed 100 cm or more away from the switch box.

24.15.6 **Movable Wiring**

• Wiring shall be cab-type cable having a dielectric strength of not less than the rated voltage of 600v. Cable having ample capacity to the load shall be used. Cable with any external damage shall not be used.

• All cables shall be installed away from any steel materials such as wire rope, steel frame, scaffold etc.

• Three / four core cable shall only be used.

24.15.7 **Grounding**

• To prevent short circuits or electric shocks, special precautions such as grounding shall be taken for wiring work where metal scaffolds or steel structures are erected. Grounding shall be secured by connecting the wire to an earth rod buried firmly in the ground. Brass or steel bolts and nuts shall be used for grounding terminals of all electrical equipments.
• Earth Leak Circuit Breakers (ELCB) shall be provided to every temporary electrical installation.

• All distribution boards shall have earth fault relay in the incoming.

• Power cables are to be earthed from the armor of the cables with clamps.

• All welding generators shall be provided with double earthing. One at the neutral and the other at the external casing.

24.16 Safe Work Practices – Confined Space

24.16.1 Confined Space Entry

• Entry and working in confined spaces must be in compliance with all the relevant statutory codes and requirements.

• An “Entry / Excavation Permit” must be obtained from authorized officer before entry or working in Confined Space.

• The conditions stipulated in the “Entry / Excavation Permit” must be totally complied with before entry, While working inside and after the work.

• Pipelines attached to Confined Space must be physically disconnected and blanked or capped.

• Radioactive sources must be shielded or removed. The lighting and power sources used shall meet the REL requirements.

• Lock & tag procedure shall be applied where necessary to prevent isolated supply from being activated prior to entry into Confined Space.
24.16.2 Confined Space Environment

- Hazardous or toxic material must be removed and cleaned out of the Confined Space.

- The atmosphere in the Confined Space shall be able to sustain life and must be free of combustible and toxic gas/vapor.

- Checking and testing of the atmosphere in the Confined Space shall be done prior to permit approval and prior to entry.

- Forced ventilation or exhaust fan shall be provided to maintain the atmosphere safe. The atmosphere conditions must meet REL requirements.

- If the work is being performed inside the vessel, which can generate any toxic or flammable vapors or produce an oxygen deficient atmosphere, testing shall be done continuously while work is being performed.

24.16.3 Rescue Plan

- A rescue plan shall be developed and reviewed with all personnel entering Confined Space and all standby personnel.

- The Rescue Plan shall include:
  - An immediate call for assistance of back-up person.
  - Assist persons/s in Confined Space by providing breathing air mask or assistance in getting out of the Confined Space.
  - Help the person out of Confined Space by using safety harness, if unconscious.
  - Report within 30 minutes, air bottle or air-supplied respirator to enter the Confined Space to move the person out.
  - Get medical assistant on the standby.
  - Activate medical emergency procedure.
24.16.4  **Danger, Warning & Caution Tags**

- The purpose of providing danger, Warning & Caution tags / boards / signs is for protecting personnel, plant and equipments from injuries or damaged by maintaining safe methods of installation and starting up operational circuit's plants and equipments.

- All personnel will be trained on the procedure.

24.16.5  **Illumination**

- Temporary illumination for maintaining safe working condition shall be provided and will be in accordance with the applicable Indian Standards and requirements. All levels shall be provided with illuminations, including platform, concourse, under platforms, tunnels and any other places as directed by the Employers representatives.

- REL shall provide temporary general illumination in each room or basement. The lighting shall be not less than 200 lux. With a minimum of 2 luminaries room or basement.

24.17  **Safety Equipment**

Safety Officer in consultation with Project Manager decides the requirements of PPEs for the project. Minimum inventory level for the same is decided and maintained by the store as per direction by the Safety Officer / Project Manager.

24.17.1  **Personal Protection**

- Suitable protective equipments required for personnel, such as clothing, goggles, gloves, respiratory equipment, helmets, ear plugs and safety shoes are provided by the safety officer / store and are worn where required.

- Safety Officer / Execution team train workers and ensure that all employees wear appropriate personal protective equipments and is maintained in good condition.
Safety Officer / Execution team do not commence work till workers have used protective equipments.

24.17.2 **PPEs**

Head and Scalp – Hard helmets are worn properly under the following conditions:

- In any area posted as hard hat area
- Beneath any overhead work, including areas below ladders (e.g. Ground man working with a man on a ladder), scaffolds, open gratings and other openings.
- In any other area where a head bumping hazard is present.

24.17.3 **Eyes And Ears**

Safety goggles are worn by everyone who is:

- doing mechanical / electrical work
- In an area where mechanical / electrical work is being done, or
- In an area where chemicals are stored or handled (which includes, but is not limited to all laboratories)
- Carrying out drilling / chipping work at site
- Working in areas that are designated by the Supervisor.

24.17.4 **Fingers, Hands and Wrists (Glove Rules)**

- Gloves suitable for the job being performed are worn unless the job cannot be done with gloves or unless wearing Gloves increase the hazard.

24.17.5 **Toes, Feet And Legs**

- An Industrial-quality leather shoes, safety shoes or toe protection are worn at all times by persons doing mechanical / electrical / construction work or in an area where such work is being performed. Safety shoes or toe protection are also worn in all areas so posted.
Robber shoes with safety toe protection are used on jobs with the potential for chemically hazardous conditions.

- Foot guards are worn while using hammers, tempers and similar equipments.
- Minimum stock is always kept site. Minimum level is prepares based on judgment of Site In Charge / Safety Officer.

24.18 Safety Aspects For Machineries

24.18.1 Authority And Authorization

- The person is thoroughly scrutinized, tested and provided with required documents prior to providing him the authorization to operate their respective machinery / vehicles by site administrative officer.
- Only the authorized personnel are deployed for operating machinery / vehicles.
- Every employee is provided with a proper identity card.

24.18.2 Safety Guidelines – Machineries

24.18.2.1 Concrete Mixer

- All gears, chains and rollers of concrete mixer are adequately guarded to prevent damage / danger.
- Concrete mixer hopper is protected by side railing and operators have to ensure before lowering the skip that lower portion of skip is clear.
- Wire rope of Hopper is checked for its condition periodically.
- Hopper hoist and anchoring brake are checked / adjusted.
- Clutch of the Skip hoist is checked and adjusted while slipping occurs.
- Nothing is kept inside the motor enclosure.
- Be sure that motor fan guard is secured firmly.
- Be sure that wiring is properly connected and installed.
- Ensure double earthing is done for electric mixers.
24.18.2.2 Concrete Vibrators

- Vibrator unit are completely enclosed and belt transmitting the power to the unit adequately guarded.
- Electrically operated compacting vibrators are totally enclosed and are protected against over loads by suitable overload relays and are effectively earthed.
- Ensure that sufficient length of cable is provided to the vibrator.
- Ensure electric starters are fixed firmly on the stand.
- While needle is inserted in the vibrator, ensure needle rod is firmly locked.
- Ensure that proper lubrication of needle inner core is done.

24.18.2.3 Concrete Pump

- Piping is laid on adequate supports and secured to prevent movement.
- Pipelines are not attached to temporary structures such as scaffolds and form work supports as the forces and movements may effect their integrity. Pipe connectors particularly those installed at height are secured against dislodgement. Pipeline is checked for leakages and the couplers are to be properly tightened to avoid spillage and movement.
- While cleaning the pipeline using a ball always ensure that a ball catcher is provided to catch the ball at the end of pipe line. Ensure that persons are at safe distance while cleaning operation is being carried out.
## CHAPTER – 25

### LIST OF CONSTRUCTION EQUIPMENT

<table>
<thead>
<tr>
<th>S.No.</th>
<th>Name of Equipment</th>
<th>Nos</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A) CIVIL ENGINEERING</td>
<td></td>
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</tr>
<tr>
<td>1</td>
<td>Excavator – JCB (0.5 Cubic meter capacity)</td>
<td>5</td>
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<tr>
<td>2</td>
<td>Dumpers – TATA / LEYLAND (6 Cubic meter capacity)</td>
<td>20</td>
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<tr>
<td>3</td>
<td>Welding Generators – 400 AMPS</td>
<td>5</td>
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<tr>
<td>4</td>
<td>Concrete Vibrators – Internal x 4 Nos.</td>
<td>4</td>
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<tr>
<td>5</td>
<td>Vibrating Needles – 60 mm x 8 Nos.</td>
<td>8</td>
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<tr>
<td>6</td>
<td>Transit Mixers</td>
<td>20</td>
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<tr>
<td>7</td>
<td>Concrete Pumps</td>
<td>5</td>
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<tr>
<td>8</td>
<td>Batching plants</td>
<td>5</td>
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<tr>
<td>9</td>
<td>Long line casting beds less than 25 meters x 2 Nos.</td>
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<tr>
<td>10</td>
<td>Long line casting beds more than 25 – 31 meters x 6 Nos.</td>
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<tr>
<td>11</td>
<td>Long line casting beds more than 31 meters x 2 Nos.</td>
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<tr>
<td>12</td>
<td>Normal moulds x 16 Nos.</td>
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<tr>
<td>13</td>
<td>Pier segment moulds independent x 4 Nos.</td>
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<tr>
<td>14</td>
<td>Pier segment moulds for long lines x 8 Nos.</td>
<td>8</td>
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<tr>
<td>15</td>
<td>Reinforcement Jigs x 20 Nos.</td>
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<tr>
<td>16</td>
<td>Goliath Cranes 80 tone capacity 30 meter span x 4 Nos.</td>
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<tr>
<td>17</td>
<td>Goliath Cranes 10 tone capacity 20 meter span x 12 Nos.</td>
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<tr>
<td>18</td>
<td>Total stations x 2 Nos.</td>
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<td>19</td>
<td>Auto levels x 2 Nos.</td>
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<tr>
<td>20</td>
<td>Theodolite x 2 Nos.</td>
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<tr>
<td>21</td>
<td>Hydraulic Jack150 T capacity Stroke250mm Single Acting with threaded ram, lock nut and base plate of size 300mm x 300mm x 25mm.</td>
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<tr>
<td>22</td>
<td>Power Pack to operate the above jacks simultaneously.</td>
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<tr>
<td>23</td>
<td>Hydraulic Jacks 200 T capacity 300mm stroke single acting with threaded ram &amp; lock nut plate of size 400mm x 400mm x 25mm.</td>
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<tr>
<td>24</td>
<td>Power Pack to operate the above four jacks simultaneously.</td>
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<tr>
<td>25</td>
<td>Longitudinal launching jacks 40 t capacities, Double acting with a stroke of 1200mm including over valve.</td>
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<tr>
<td>26</td>
<td>Power Pack to operate above jacks.</td>
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<td>27</td>
<td>Hydraulic Jack100 T capacity Stroke300mm Single Acting with threaded ram, lock nut and base plate of size 300mm x 300mm x 25mm.</td>
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<td>28</td>
<td>Hydraulic Jacks 100 T capacity 300mm stroke single acting with threaded ram &amp; lock nut plate of size 300mm x 300mm x 25mm.</td>
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<td>Power Pack to operate the above 2 jacks simultaneously.</td>
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<tr>
<td>30</td>
<td>Central Hole jack for pulling 26.5mm Mac alloy bar with stroke of 200mm.</td>
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<td>Hydraulic Jack with Central hole capacity 60T and stroke 200mm for moving suspenders of 40mm dia Mac alloy bars.</td>
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<td>40mm dia Mac alloy bars as suspended each of length 6.5m.</td>
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<td>Nut of dia 51mm to suit 40mm dia suspenders.</td>
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<td>26.5mm dia Mac alloy bar for side shifting over rear trolley.</td>
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<td>80 T capacity hoists resting over brackets of launching girder for fitting of segments.</td>
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<td>Mono jacks for pulling slider beams capacity 10 T.</td>
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<td>10 T Hoist for shifting supports operating on monorail attached to bottom of L.G.</td>
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<td>20mm dia wire rope for pulling sliders beams.</td>
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<td>40mm dia rope assembly for lifting segments.</td>
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<td>Thimble for 40mm dia wire rope assembly.</td>
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<td>Aluminum grip foil for 40mm dia wire rope assembly.</td>
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<td>Pre-stressing Equipment</td>
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<td><strong>(B) ELECTRICAL</strong></td>
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<td>Signaling &amp; Telecommunication Trailer for carrying girders</td>
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<td>Rail Cum Road Vehicle</td>
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<td>Trailer for Standard Gauge</td>
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<td>Heavy duty trucks</td>
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<td>56</td>
<td>Ladder trolleys</td>
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<td>Pull lifts, jacks</td>
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<td>58</td>
<td>25 T E.O.T Crane</td>
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<td>10 T floor Operated E.O.T Crane</td>
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<td>Under floor wheel crane</td>
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<tr>
<td>63</td>
<td>Surface Wheel Lathe</td>
<td>1</td>
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<tr>
<td>64</td>
<td>Wheel Turner &amp; Burnishing Machine</td>
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<tr>
<td>65</td>
<td>Vertical Burnishing Machine</td>
<td>1</td>
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<tr>
<td>66</td>
<td>Horizontal Burnishing Machine</td>
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<tr>
<td>67</td>
<td>Cement Lathes</td>
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<tr>
<td>68</td>
<td>Bogie Testing Fixture</td>
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<tr>
<td>69</td>
<td>Fixture Bogie Quarir</td>
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<tr>
<td>70</td>
<td>Traction Motor Test Bed</td>
<td>1</td>
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<tr>
<td>71</td>
<td>Transformer Oil Filtration Plant</td>
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<tr>
<td>72</td>
<td>Slotting M/C</td>
<td>1</td>
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<tr>
<td>73</td>
<td>Shaping M/C</td>
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<tr>
<td>74</td>
<td>Electronic Testing Kits</td>
<td>L/s</td>
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<tr>
<td>75</td>
<td>Compressor Testing Bed</td>
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<td>76</td>
<td>Brace Equipment</td>
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<td>77</td>
<td>Test Bed Panel</td>
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<td>78</td>
<td>Spring Testing M/C</td>
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<tr>
<td>79</td>
<td>Grit Blasting Plant</td>
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<td>80</td>
<td>Coach Painting Booth</td>
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<td>81</td>
<td>Automatic Coach</td>
<td>1</td>
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<td>82</td>
<td>Jigs And Fixtures</td>
<td>1</td>
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<tr>
<td>83</td>
<td>Measurement &amp; Testing Equipments</td>
<td>L/s</td>
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<tr>
<td>84</td>
<td>Measuring Handling Equipments</td>
<td>L/s</td>
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<tr>
<td>85</td>
<td>Simulator</td>
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<tr>
<td>86</td>
<td>Auxiliary Relief Train</td>
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<tr>
<td>87</td>
<td>Tower Wagon</td>
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<td><strong>(C) S &amp; T</strong></td>
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<tr>
<td>88</td>
<td>Rail cum – Road vehicle</td>
<td>2</td>
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<tr>
<td>89</td>
<td>Trailer for standard gauge</td>
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<tr>
<td>90</td>
<td>Heavy duty trucks</td>
<td>4</td>
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<tr>
<td>91</td>
<td>Jack and pull lift</td>
<td>LS</td>
<td></td>
</tr>
<tr>
<td>92</td>
<td>Small Tools</td>
<td>LS</td>
<td></td>
</tr>
<tr>
<td>93</td>
<td>Workshop machinery</td>
<td>LS</td>
<td></td>
</tr>
<tr>
<td>94</td>
<td>Construction, furniture stationery</td>
<td>LS</td>
<td></td>
</tr>
</tbody>
</table>
CHAPTER - 26

LIST OF CODES

26.1 Civil

Foundation
- IS 2911 (Part 2)
- IS 1892 Code Of Practice For Site Investigation For Foundation
- 2911 (Part 1 To 4) Code Of Practice For Design And Construction Of Pile Foundations.
- IS 1888 Method Of Load Test On Soil
- IS 1904 Code Of Practice For Design And Construction Of Foundation In Soil: General Requirement.
- IS 456:2000 Code Of Practice For Plain And Reinforced Concrete.
- IRS/Bridge Structure And Foundation Code 1985 With Addendum & Correction Slip No.13
- IS 2720 Methods Of Test For Soils.
- IS 1498 Classification & Identification Of Soils For General Purposes.
- IRC 78 Standard Specification And Code Of Practice For Road Bridges.

Load
- IS 875 (Part 1): 1987 Code Of Practice For Dead Load (Other Than Eq) For Building And Structure.
- IS 875 (Part 2): 1987 Code Of Practice For Imposed Load
- IS 875 (Part 3): 1987 Code Of Practice For Wind Load
- IS 875 (Part 5): 1987 Code Of Practice For Special Load And Load Combinations.
- IRS/Bridge Rule 1964 With Addendum & Correction Slip No.30
Safety
- IS 13920: 1999 Code Of Practice For Ductile Detailing Of Reinforcement Concrete Structure Subjected To Seismic Forces.

Steel
- IS 1566: 1982 Specification For Hard Drawn Steel Wire Fabric For Concrete Reinforcement.
- IS 1786 : 1985 Specification For Strength Deformed Steel Bars And Wires For Concrete Reinforcement.
- IS 2062 : 1984 Steel For General Purpose.
- IS 2090 : 1983 Specification For High Tensile Steel Bars Used In Prestressed Concrete.
- IS 2502 : 1963 Code Of Pratice For Bending And Fixing Of Bars For Concrete Reinforcement.
- IS 2751 : 1979 Recommended Practice For Welding Of Mild Steel Plain And Deformed Bars For Reinforced Concrete.
- IS 6003 : 1983 Specification For Indented Wire For Prestressed Concrete.
- IS 6006 : 1983 Specification For Uncoated Stress Relieved Strand For Prestressed Concrete.
- IS 9417 : 1989 Recommendations For Welded Cold Worked Steel Bars For Reinforced Concrete.
- IS 14268 : 1995 Specification For Uncoated Stress Relieved Low Relaxation Seven Ply Strands For Prestressed Concrete.
- IS 1363 : 1992 Hexagon Head Bolts Screws And Nuts Of Products Grade C
- IS 5624 : 1970 Foudation Bolts.
- IS 3757 : 1985 High Strength Bolts.
- IS 6623 : 1985 High Strength Structural Nuts.
- IS 8500 : 1992 Structural Steel-Microalloyed (Medium And High Strength Qualities)
- IS 6649 : 1985 Hardened And Tempered Washers For High Strength Bolts And Nuts.
- IS 5374 : 1975 Tapered Washer For I Beams.
- IS 800 : 1984 Code Of Practice For General Construction In Steel.
- IS 1024 : 1979 Code Of Practice For Use Of Welding

Concrete
- IS 1343 : 1980 Code Of Practice For Prestressed Concrete.
- IS 9103 : 1999 Specification For Admixture For Concrete.
- IS 7861 (Part 1): 1975 Recommended Practice For Extreme Weather Concreting.(Hot Weather)
- IS 7861 (Part 2): 1975 Recommended Practice For
Literature for RCC and PSC
- Design Aids For Reinforced Concrete To IS 456 SP: 16. BIS Publicaions
- Prestressed Concrete. By N Krishna Raju. Tata McGow Hill Publishing Ltd.
- Advanced Reinforced Concrete Design. By P C Verghese. Prentice-Hall Of India.

Literature for Steel
- SP 6(1) -1964 Reprint 2001 -Handbook For Structural Engineers. BIS Publication.
- Steel Structures, Design And Behavior By Charles G Salmon And John E Johnson. Harper & ROW Publishers, Inc.
- Design Of Steel Structures. By L S Negi. Tata Mcgow Hill Publishing Ltd.

Literature for Foundation
- Engineering Code Issued By Ministry Of Indian Railways (Railway Board)
- Hand Book On Soil Engineering For Railway Engineers Issued Ministry Of Indian (RDSO)
- Geotechnical Earthquake Engineering By Steven L Kramer. Prentice-Hall Of India.

26.2 Rolling Stock

- Bureau of Indian Standards (BIS)
- Indian Railway Standards (IRS)
- Research, Design & Standards Organization Specification (RDSO-spec)
- British Standards Specifications (BS)
- UIC, ASTM, IEEE, IEC

26.3 Electrical

Traction Power System
- IEC 60044 Current Transformers
- IEC 60076 Power Transformers
- IEC 60186 Voltage Transformers
- IEC 60296 Specification for unused and reclaimed Mineral Insulating Oils for Transformers and Switchgear
- IEC 60137 Insulated Bushing for Alternating Voltages above 1000V
- IEC 60529 Degree of Protection provided by Enclosures
• IEC 60056 High Voltage Alternating Current Circuit Breakers
• IEC 60060 High Voltage Test Techniques
• IEC 60071 Insulation Co-ordination
• IEC 60129 Alternating Current Disconnectors and Earthing Switches
• IEC 60255 Electrical Relays
• IEC 60265 High Voltage Switches
• IEC 60288 High Voltage A.C. Metal-Enclosed Switchgear
• IEC 60376 Specification and Acceptance of Sulphur Hexafluoride
• IEC 60688 Electrical Measuring Transducers
• IEC 60694 Common Specification for High Voltage Switchgear and Controgear
• IEC 61634 Use and Handling of SF6 in HV Switchgear
• IEC 60502 Power Cables with Extruded Insulation and their Accessories for Rated Voltage from 1kV up to 30kV
• IEEE Std. 80 IEEE Guide for Safety in AC Substation Grounding
• EN 50122-1 Protective Provisions Relating to Electrical Safety and Earthing

Overhead Line General

• IEC 60050-811 Electric Traction
• IEC 60913 Electric traction Overhead Lines
• IEC 60099-4 Metal Oxide Surge Arresters

OHL Wires

• BS 23 Specification for Copper and Copper-Cadmium Trolley and Contact Wire for Electric Traction
• BS 7884 Specification for Copper and Copper Cadmium Stranded Conductors for Overhead Electric Traction and Power
• DIN 43148 Flexible Cables for Overhead Equipment and Return Current
• DIN 48201 Part 1 Copper Stranded Conductors
• DIN 48201 Part 2 Bronze Stranded Conductors
• EN 50149 Copper and Copper Alloy Grooved Contact Wire
• IEC 60494 Rules for Pantograph of Electric Rolling Stock
• UIC 606-1 Application of Kinematic Gauges to Contact Lines
• UIC 606-2 Installation of 25kV Contact Lines

Insulator

• IEC 60060 High Voltage Test Techniques
• IEC 60071 Insulation Co-ordination
• IEC 60305 Insulators for Overhead Lines with a Nominal Voltage above 1000V- Ceramic or Glass Insulator Units for a.c. System
• IEC 60383 Insulators of Overhead Lines with a Nominal Voltage above 1000V
• IEC 60433 Characteristics of String Insulator Units of Long Rod Type
• IEC/TR 60797 Residual Strength of String Insulator Units of Glass or Ceramic Material for Overhead Lines
• IEC 60672 Ceramic and Glass Insulating Materials
• IEC 60815 Guide for the Selection of Insulators in respect of Polluted Conditions

Isolator

• IEC 60129 Alternating Current Disconnectors and Earthing Switches

Mast
BS 4  Structural Steel Sections – Specification for Hot Rolled Sections
BS 449  Specification for use of Structural Steel in Building
BS 729  Specification for Hot Dip Galvanized Coatings on Iron and Steel Articles
BS 4848  Specification for Hot Rolled Structural Steel Sections
BS 5493  Code of Practice for Protective Coating of Iron and Steel Structures against Corrosion
BS 8100  Lattice Towers and Masts

Electrical Services
IEC 60364  Electrical Installations of Buildings
BS 7671  Requirements for Electrical Installations
IEC 60439  Specification for Low Voltage Switchgear and Control gear Assemblies
IEC 60947  Specification for Low Voltage Switchgear and Control gear
IEC 62040  Specification for Uninterruptible Power System
BS 5655  Lifts and Services Lifts
BS EN 115  Safety Rules for the Construction and Installation of Escalators and Passenger Conveyors
BS 5000  Rotating Electrical Machines

26.4  Automatic Fare Collection

- Fire Safety (Fixed Guideway) - American National Standards, National Fire Protection Association (NFPA)
- Magnetic properties - High Coercivity Ticket Specification
- Contactless Smart Card/Token - ISO 14443 Type A, Type B or Type C
- Ticket/Card – ISO 7816
- AFC LAN – IEEE 802.3 CSMA/CD

26.5  Communication Systems

CCTV
- ITU-R 624-4 Characteristic of TV system
- ITU-R 451-2 transmission of TV signal
- H.264/ MPEG 4 part 10 – Advance video coding

Fiber Optic Transmission
- ITU-T G.652 trackside fiber
- ITU-T G.651 station fiber
- ITU-T G.703, 704 transmission characteristics and sync. frame structure
- ITU-T G.783 SDH characteristics
- IEEE 802.3 – Ethernet LAN
- EIA RS 232/422/485 – serial interface

Master Clock
- ITU – G..811, 812, 813 clock synchronization
- RFC 1305 (network time protocol)

Public Address
- BS EN 60849 – speech quality

**Telephone**
- ISDN PRI, BRI interface
- E1, T1 interface
- G.712, 713 2W/4W VF E&M interface
- ITU-T I.431, I.441, CCS signalling interface
- ITU-T Q.23 DTMF tone dialing
- RJ-11 plug

**Radio**
- Local telecom authority’s regulations
- EIA/TIA/IS-55 – minimum performance standard for 800MHz dual mode mobile station
- ETSI 300-392, TETRA, Voice plus data Specification

**26.6 Signaling**
- BS 1224 Electroplated Coatings of Nickel and Chromium
- BS 1376 Colours of Light Signals
- BS 4568 Steel Conduit and Fittings for Electrical Wiring
- BS 3382 Electroplated Coatings on Threaded Components Part 1: Cadmium on Steel Components
- BS 376 part 1 Railway Signalling Symbols
- BS 3900 Methods of Test for Paints
- BS 729 Hot Dip Galvanized Coatings on Iron and Steel Articles
- BS 729 Part 1 Quality Requirements for Welding Fusion Welding of Metallic Materials
- BS 489 1995 Railway Signalling Lamps
- EN 50081-1 EMC Generic Emission Standard - Pt. 1 Residential, Domestic & Light Industry
- EN 50082-2 EMC Generic Immunity Standard - Pt. 2 Industrial Environment
- EN 50121-2  Railway applications - Electromagnetic compatibility Part 2: Emission of the whole railway system to the outside world
- EN 55014-2  EMC Requirements for Household Appliances, Electric Tools and Small Apparatus Part 2 Immunity - Product Family
- EN 55022  Limits and Methods of Measurement of Radio Disturbance Characteristics of Information Technology Equipment
- EN 55024  Information Technology Equipment – Immunity Characteristics – Limits and Methods of Measurement
- EN 60439 Part 1 1994 Amd 3 Low-Voltage Switchgear and Controlgear Assemblies Part 1: Specification for Type-Tested and Partially Type-Tested Assemblies
- EN 50061  EMC Standard for Cardiac Pacemaker
- ENV 50121-3-2  Railway Applications – EMC Part 3.2: Rolling Stock - Apparatus
- ENV 50121-4  Railway Applications – EMC Part 4: Emission and Immunity of the Signalling and Telecommunications Apparatus
- IEC 61000-4-1  Testing and Measurement Techniques Section 1: Overview of Immunity Tests
- IEC 61000-4-2  Testing and Measurement Techniques Section 2: Electrostatic Discharge Immunity Test
- IEC 61000-4-3  Testing and Measurement Techniques Section 3: Radiated, Radio-Frequency, Electromagnetic Field Immunity Test
- IEC 61000-4-4  Testing and Measurement Techniques Section 4: Electrical Fast Transient/Burst Immunity Test
- IEC 61000-4-5  Testing and Measurement Techniques Section 5: Surge Immunity Test
- IEC 61000-4-6  Part 4, Testing and Measurement Techniques Section 6: Immunity to Conducted Disturbances, Induced by Radio-Frequency Fields
- IEC 61000-4-8  Testing and Measurement Techniques Section 8: Power Frequency Magnetic Field Immunity Test
- IEC 61000-4-11  Testing and Measurement Techniques Section 11: Voltage Dips, Short Interruption and Voltage Variations Immunity Test
- IEC 529  Degrees of Protection Provided by Enclosures (IP Code) S Second Edition
- MIL-HDBK-217F  Military handbook 217F: reliability prediction of electronic equipment
- prEN50128  Railway Applications: Software for Railway Control and Protection Systems
- prEN50129  Railway Applications: Safety related electronic systems for signalling
- RIA 12  General specification for protection of traction & rolling stock electronic equipment from transients & surges in DC control systems
- RIA 13  General specification for electronic equipment used on traction and rolling stock
- RIA 18  General specification for interference testing for electronic equipment used on traction and rolling stock
- RIA 22  Technical guide on EMC for electronic equipment used on traction and rolling stock